

# Partitioning Graphs of **Supply** and **Demand**

—— Generalization of **Knapsack** Problem ——

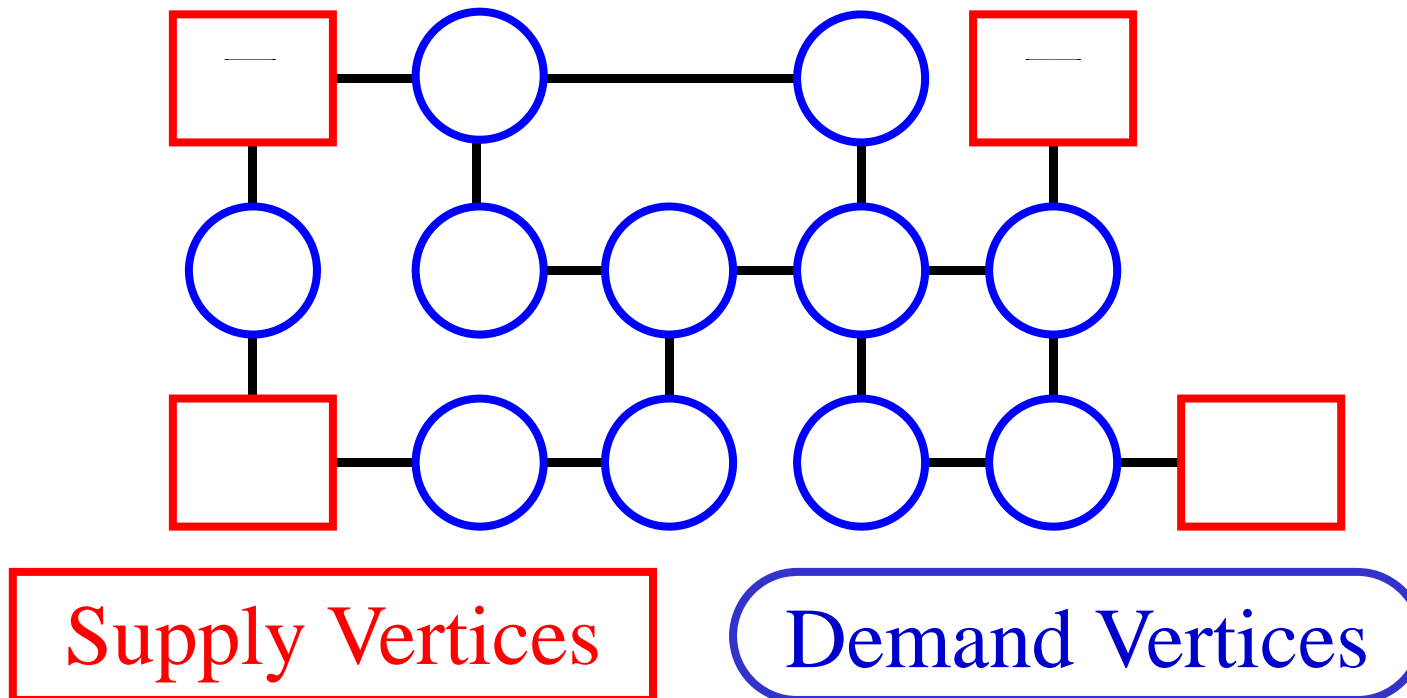
Takao Nishizeki

*Tohoku University*

# Graph

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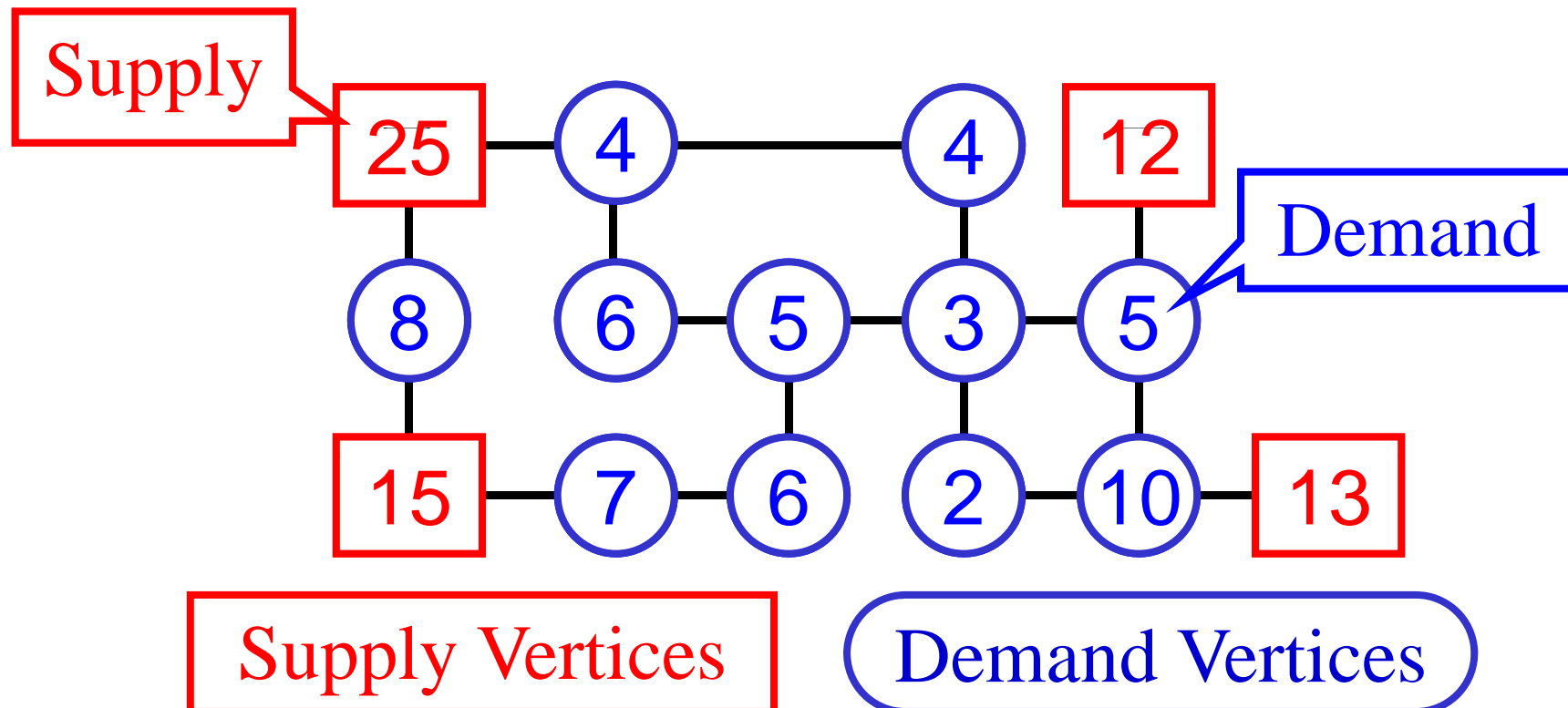
## Supply Vertices and Demand Vertices



# Graph

Each Supply Vertex has a number, called **Supply**.

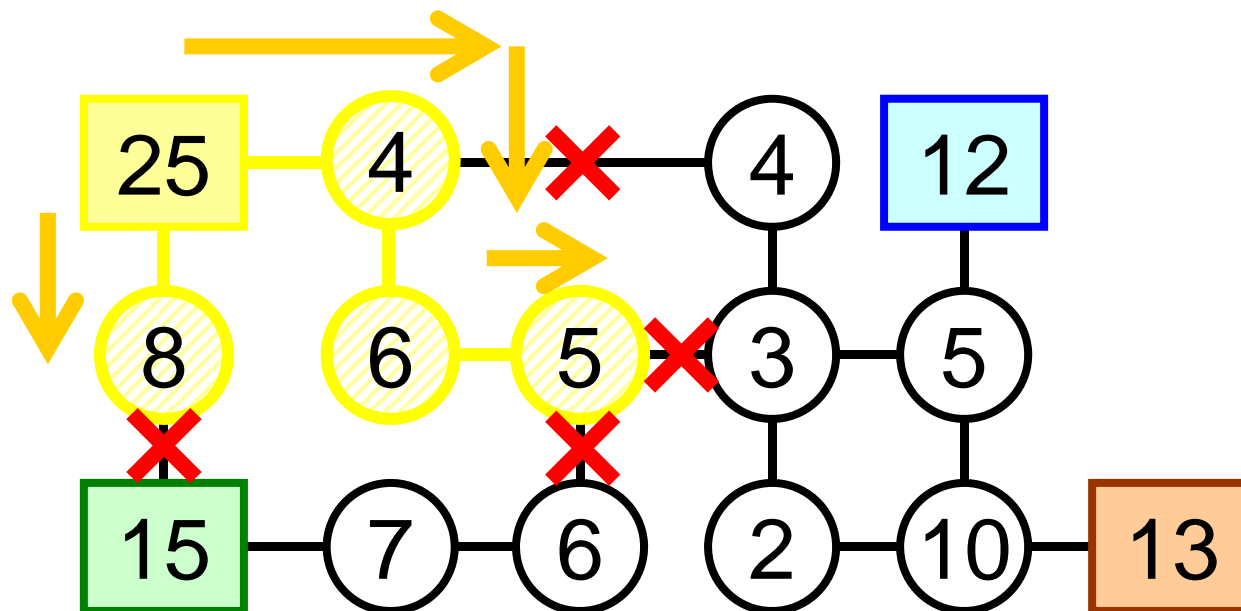
Each Demand Vertex has a number, called **Demand**



# Desired Partition

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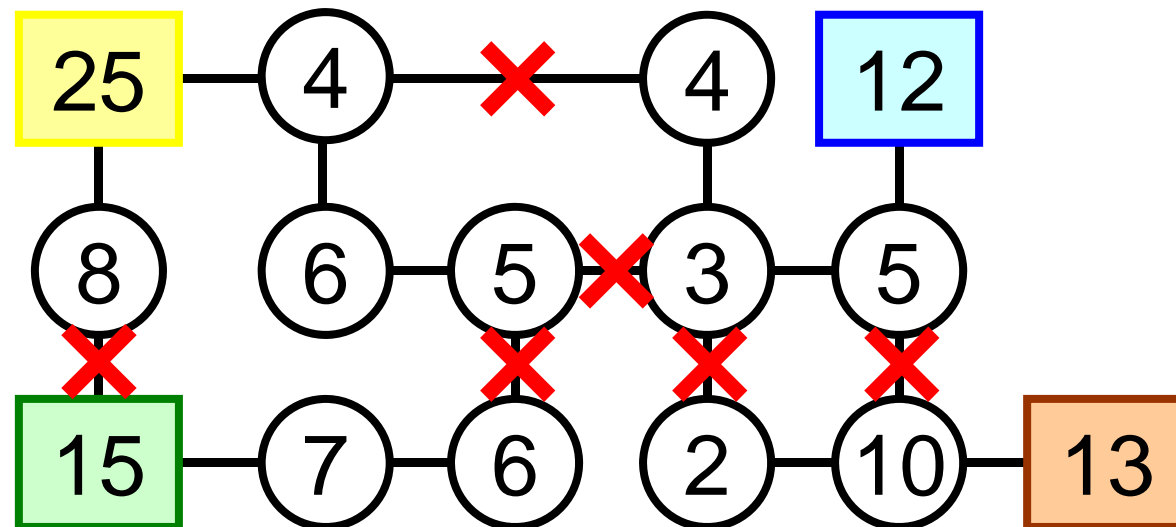
partition  $G$  into **connected components** so that



# Desired Partition

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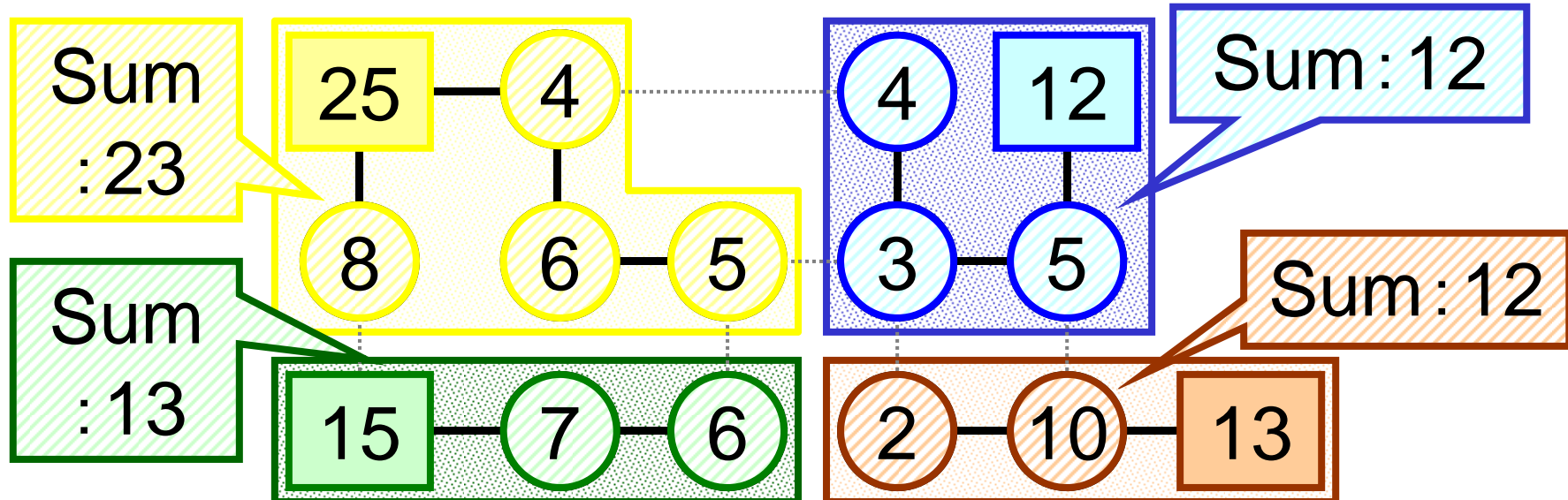


# Desired Partition

partition  $G$  into **connected components** so that

- (a) each component has **exactly one supply vertex**,
- (b) supply is no less than the sum of demands in the component.

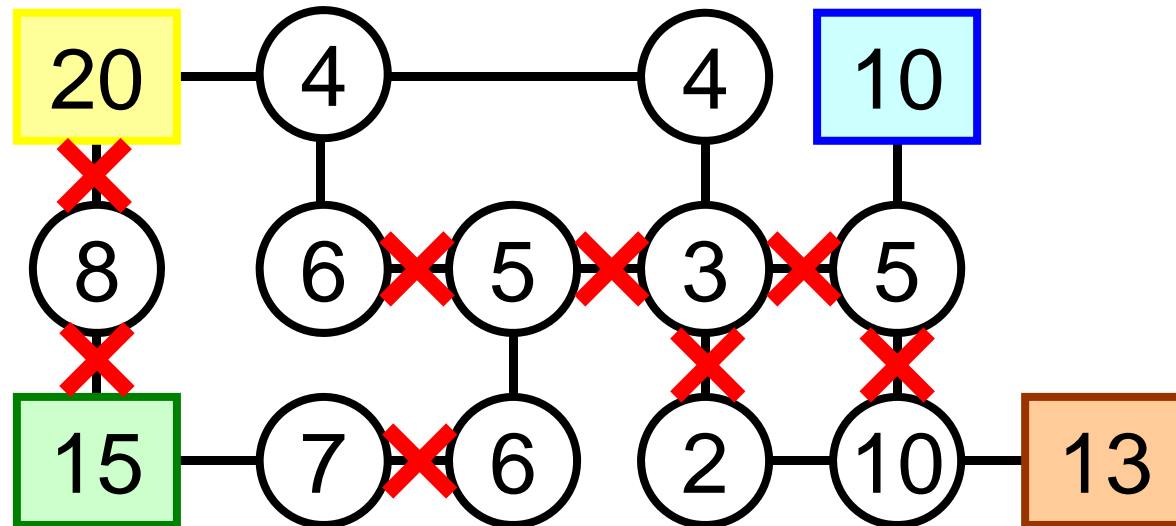
Desired partition



# Maximum Partition Problem (Max PP)

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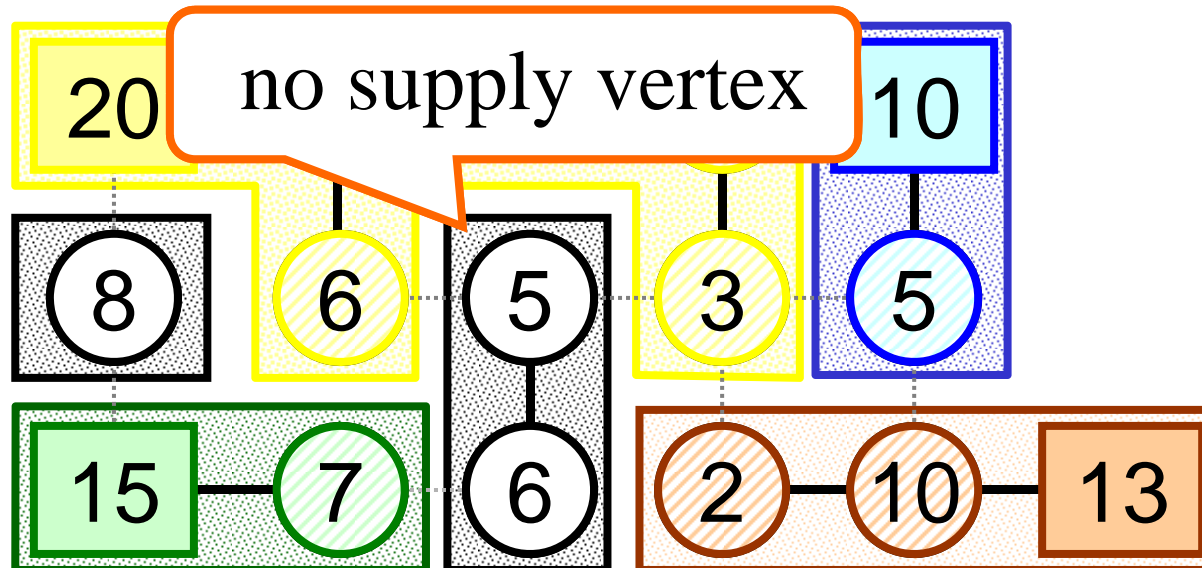
No desired partition



# Maximum Partition Problem (Max PP)

A partition of a graph must satisfy

- (a) each component has **at most** one supply vertex,
- (b) supply is no less than the sum of demands in the component.





# Maximum Partition Problem (Max PP)

finds a partition that maximizes the “fulfillment.”

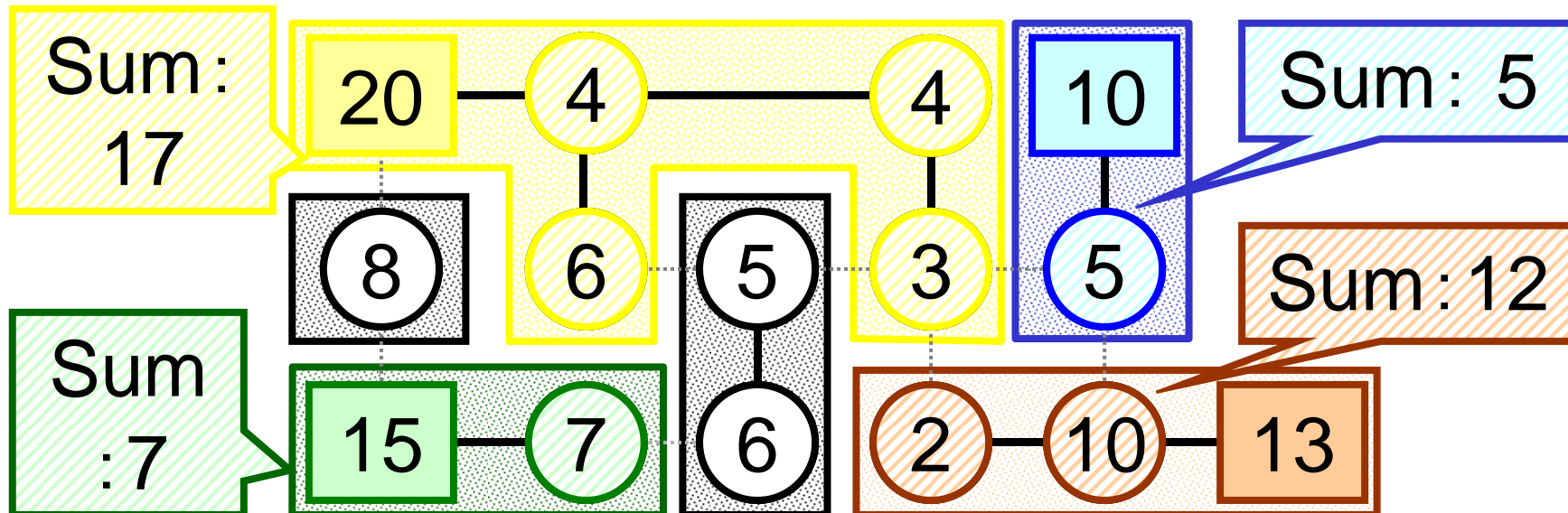
A partition of a graph must satisfy:

(a) each component

(b) supply is

component **if there is a supply vertex.**

**sum of demands** in all components with supply vertices

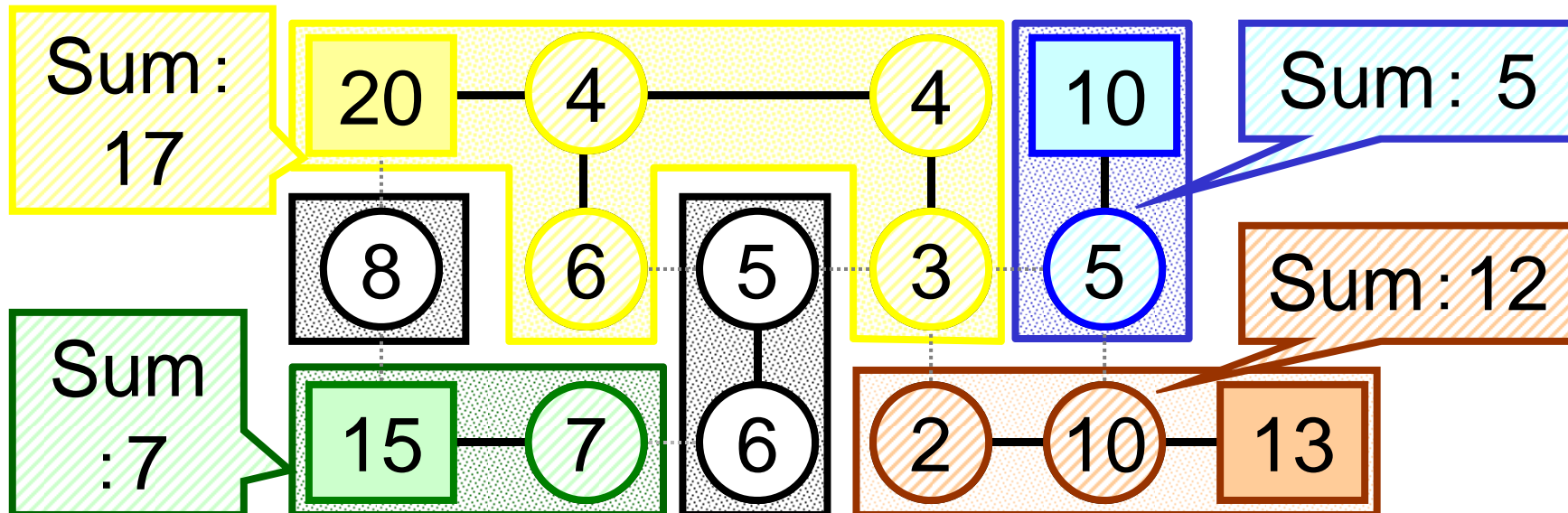


# Maximum Partition Problem (Max PP)

finds a partition that maximizes the “fulfillment.”

The **fulfillment** of this partition

$$17 + 5 + 12 + 7 = 41$$



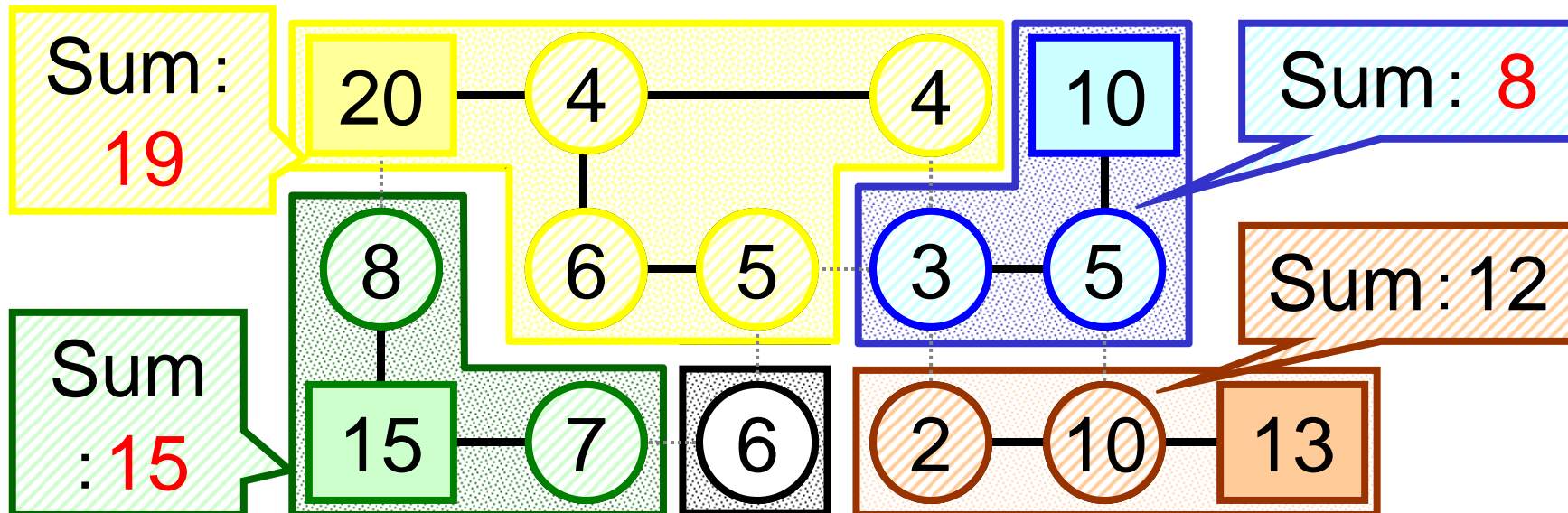
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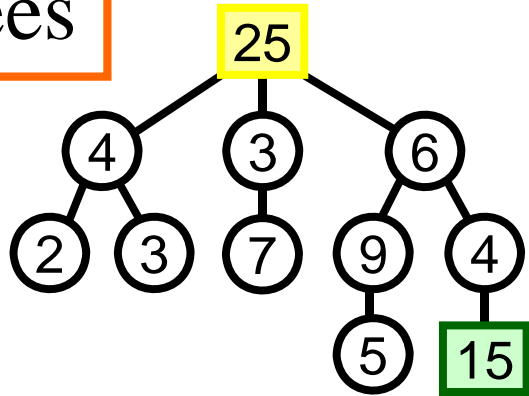
$$19 + 8 + 12 + 15 = 54$$

Maximum fulfillment



# Complexity Status

Trees

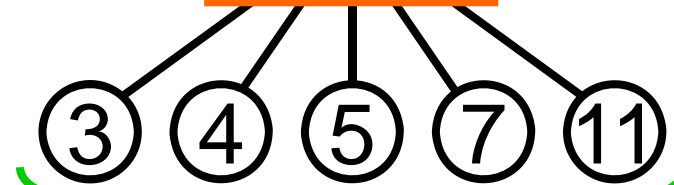


NP-hard

max subset sum problem  
(simple ver. of Knapsack)



$b = 13$



given set  $A$

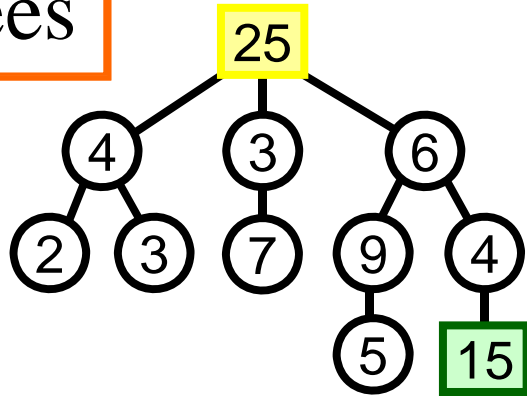
Maximum Subset Sum Problem (NP-hard)

instance: a set  $A$  of integers and an integer  $b$

find: a subset  $C \subseteq A$  which maximizes the sum of integers in  $C$  s.t. the sum does not exceed  $b$ .

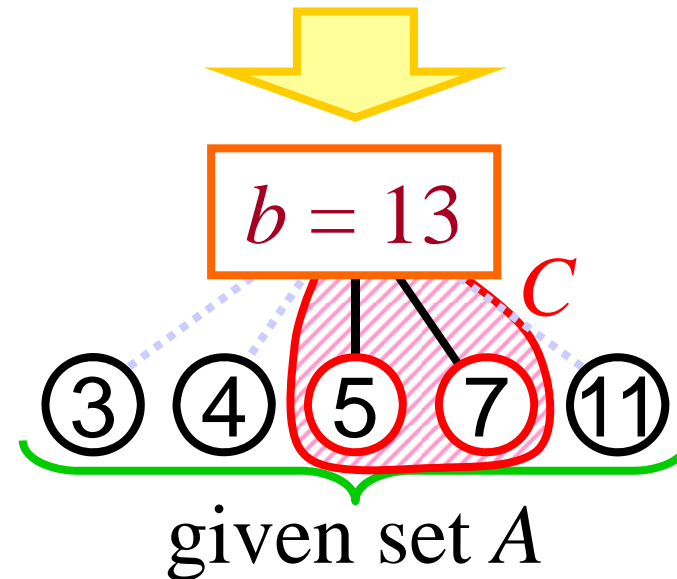
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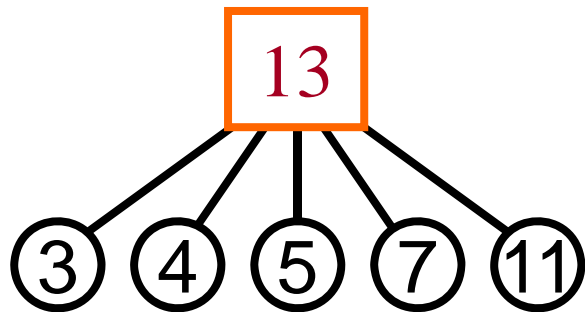
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# Related Result

max subset sum problem (NP-hard)



Max PP for Stars

with one supply at center

Fully Polynomial-Time  
Approximation Scheme  
(FPTAS)

[Ibarra and Kim '75]

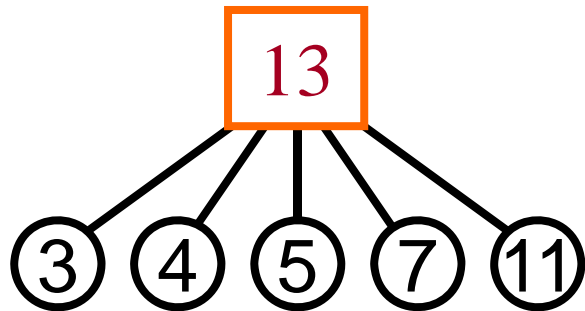
**FPTAS:** for any  $\varepsilon$ ,  $0 < \varepsilon < 1$ , the algorithm finds an approximation solution such that

$$\text{APPRO} > (1 - \varepsilon) \text{OPT}$$

in time polynomial in both  $n$  and  $1/\varepsilon$ .

# Related Result

max subset sum problem (NP-hard)



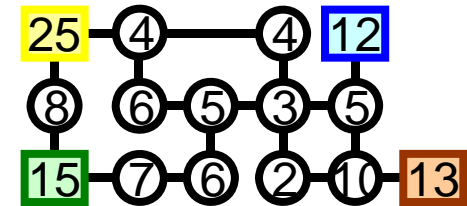
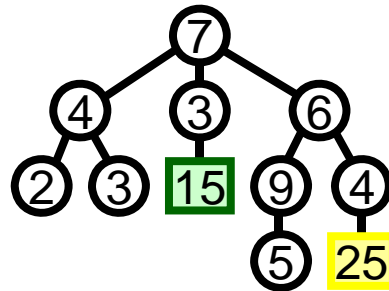
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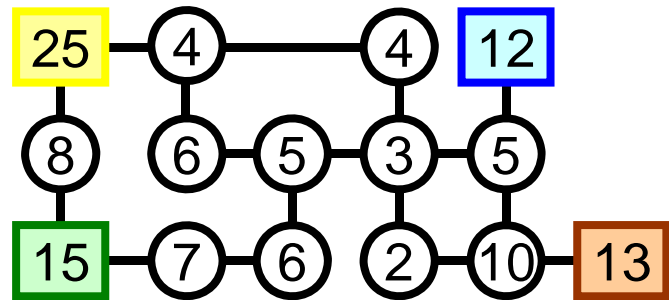
[Ibarra and Kim '75]

good approximation  
for larger classes ?



# Our Results (approximability)

General graphs



(1) **MAXSNP-hard**  
(APX-hard)

**No PTAS** unless P=NP

**No FPTAS** unless P=NP

**PTAS:** for any  $\varepsilon$ ,  $0 < \varepsilon < 1$ , the algorithm finds an approximation solution such that

$$\text{APPRO} > (1 - \varepsilon) \text{OPT}$$

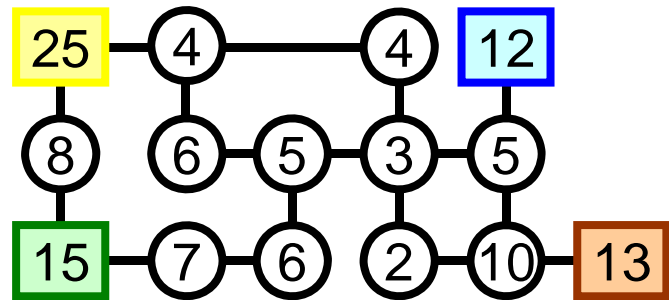
in time polynomial in  $n$ . ( $1/\varepsilon$ : regarded as a constant.)



# Our Results (approximability)

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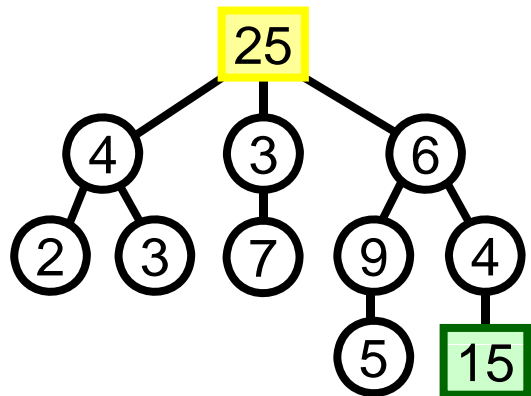
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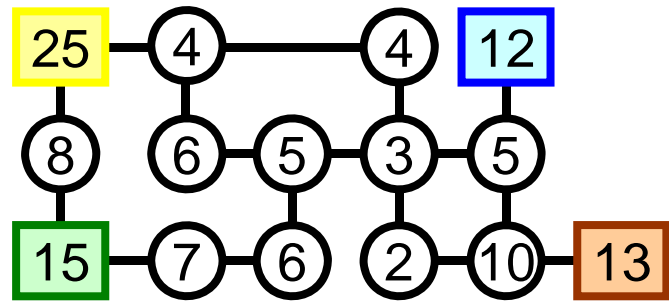


**NP-hard**

(2) **FPTAS**

# Our Results (approximability)

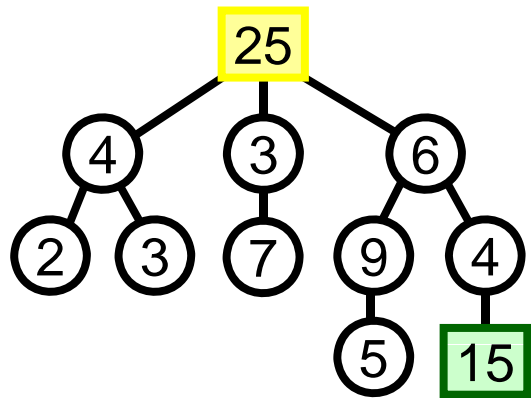
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**NP-hard**

(2) **FPTAS**

# (1) MAXSNP-hardness

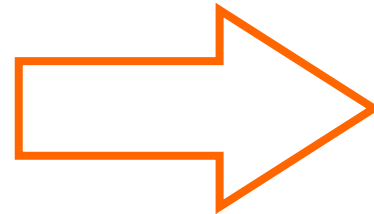
Max PP is **MAXSNP-hard** for **bipartite graphs**.

**L-reduction**: preserves approximability

error ratio:  $\varepsilon'$

**3-occurrence**  
MAX3SAT

**L-reduction**

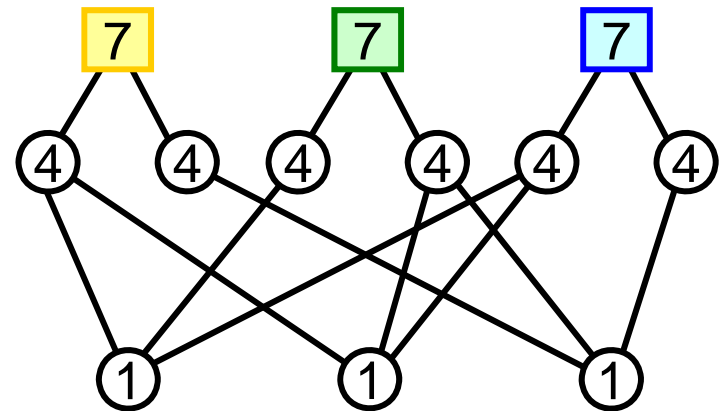


error ratio:  $\varepsilon$

Max PP for  
bipartite graphs

each variable appears  
**at most 3 times**

$$f = (x \vee y \vee z) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$



# (1) MAXSNP-hardness

## 3-occurrence MAX3SAT (MAXSNP-hard)

**instance:** variables and clauses s.t.

- each clause has exactly 3 literals; and
- each variable appears **at most 3 times** in the clauses

**find:** a truth assignment which **maximizes** # of satisfied clauses

variables:  $v$   $w$   $x$   $y$   $z$

at most 3 times

$$f = (v \vee w \vee y) \wedge (\bar{w} \vee \bar{x} \vee z) \wedge (\bar{v} \vee w \vee \bar{z}) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

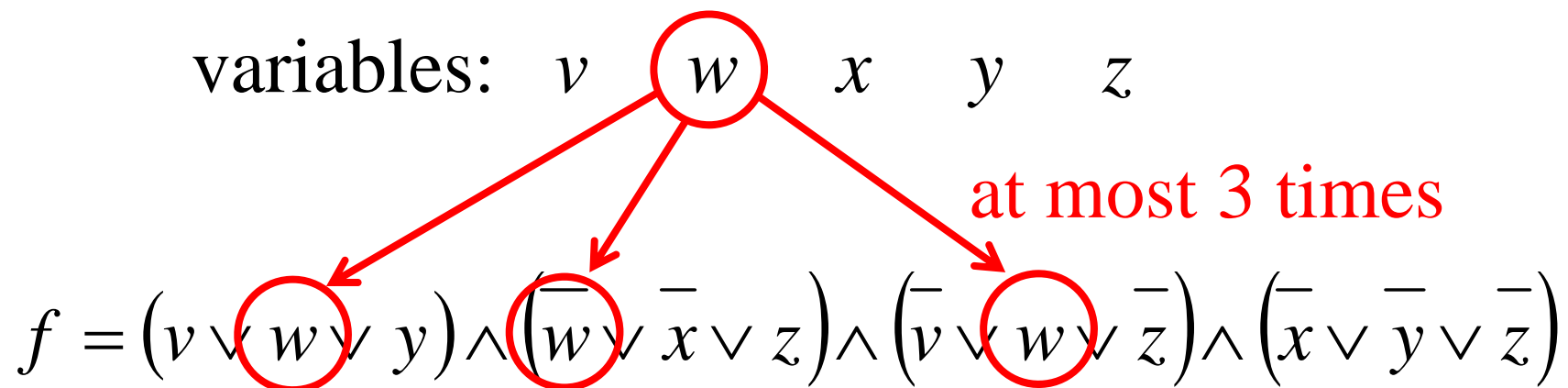
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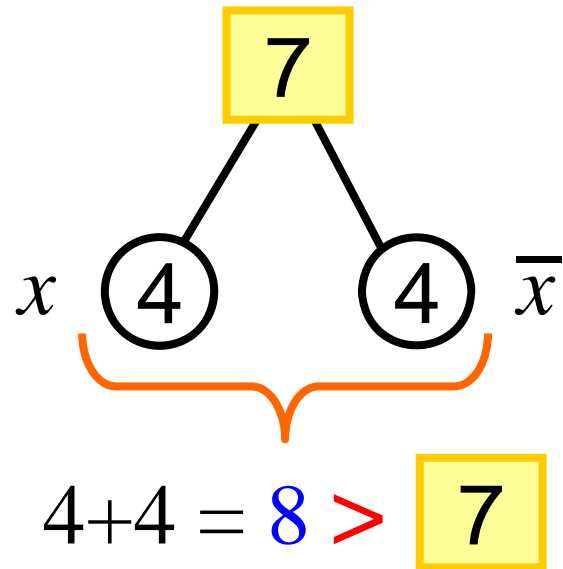
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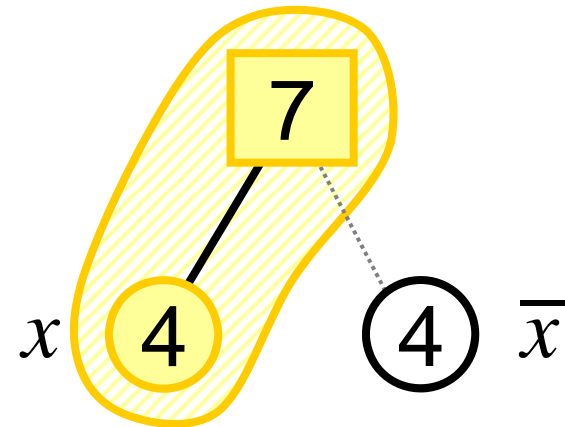
# (1) MAXSNP-hardness

variable gadget

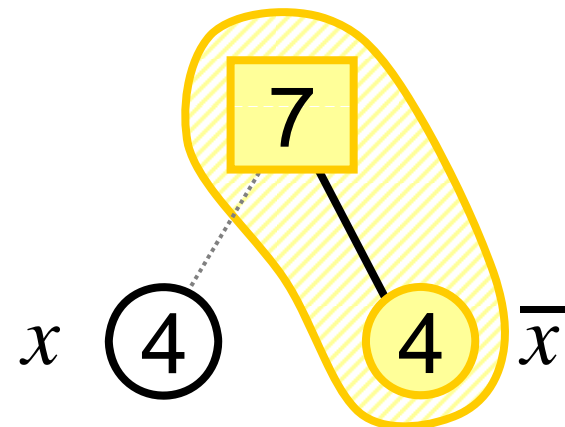
variable  $x$



$x = \text{true}$

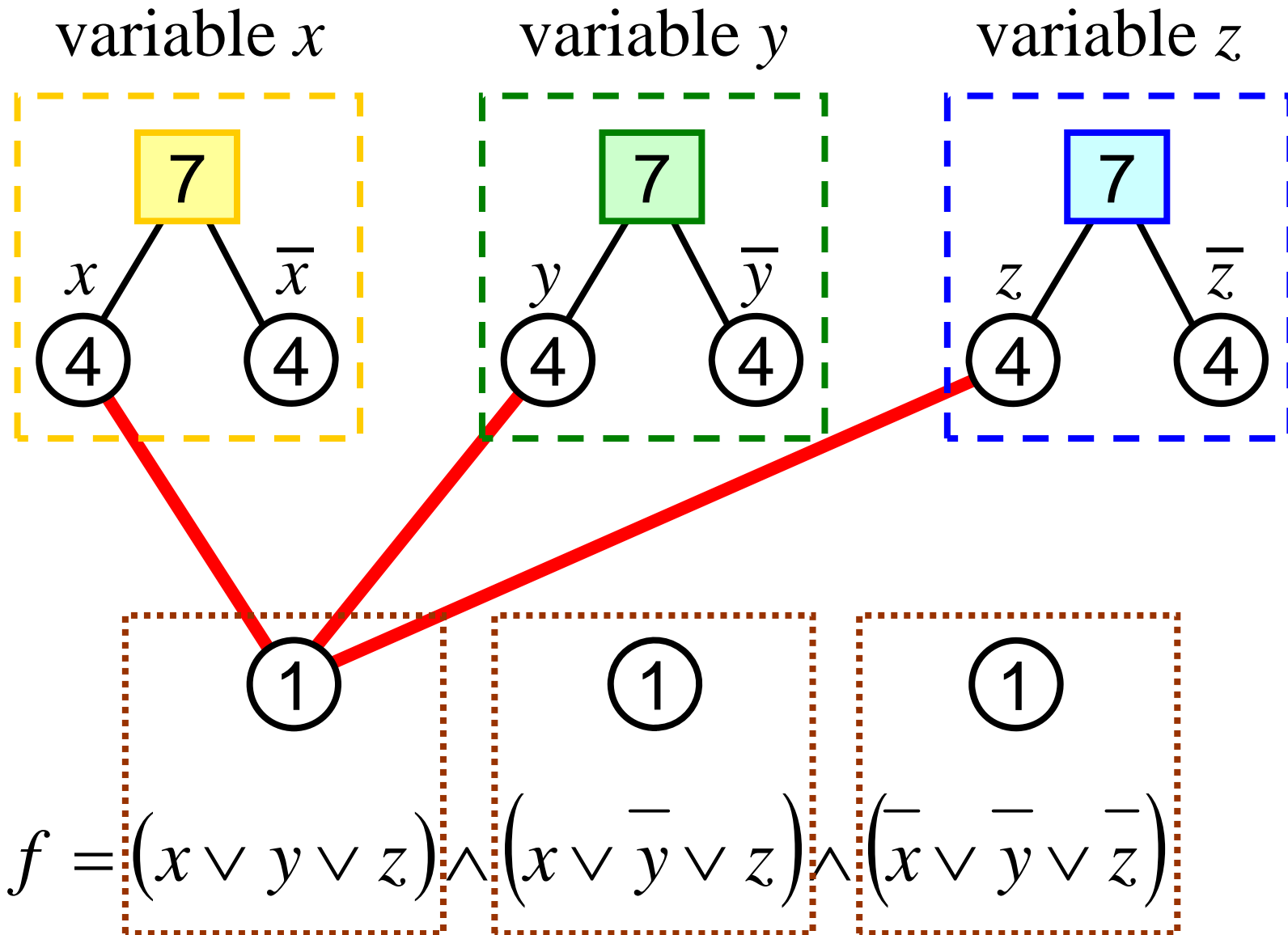


$x = \text{false}$



# (1) MAXSNP-hardness

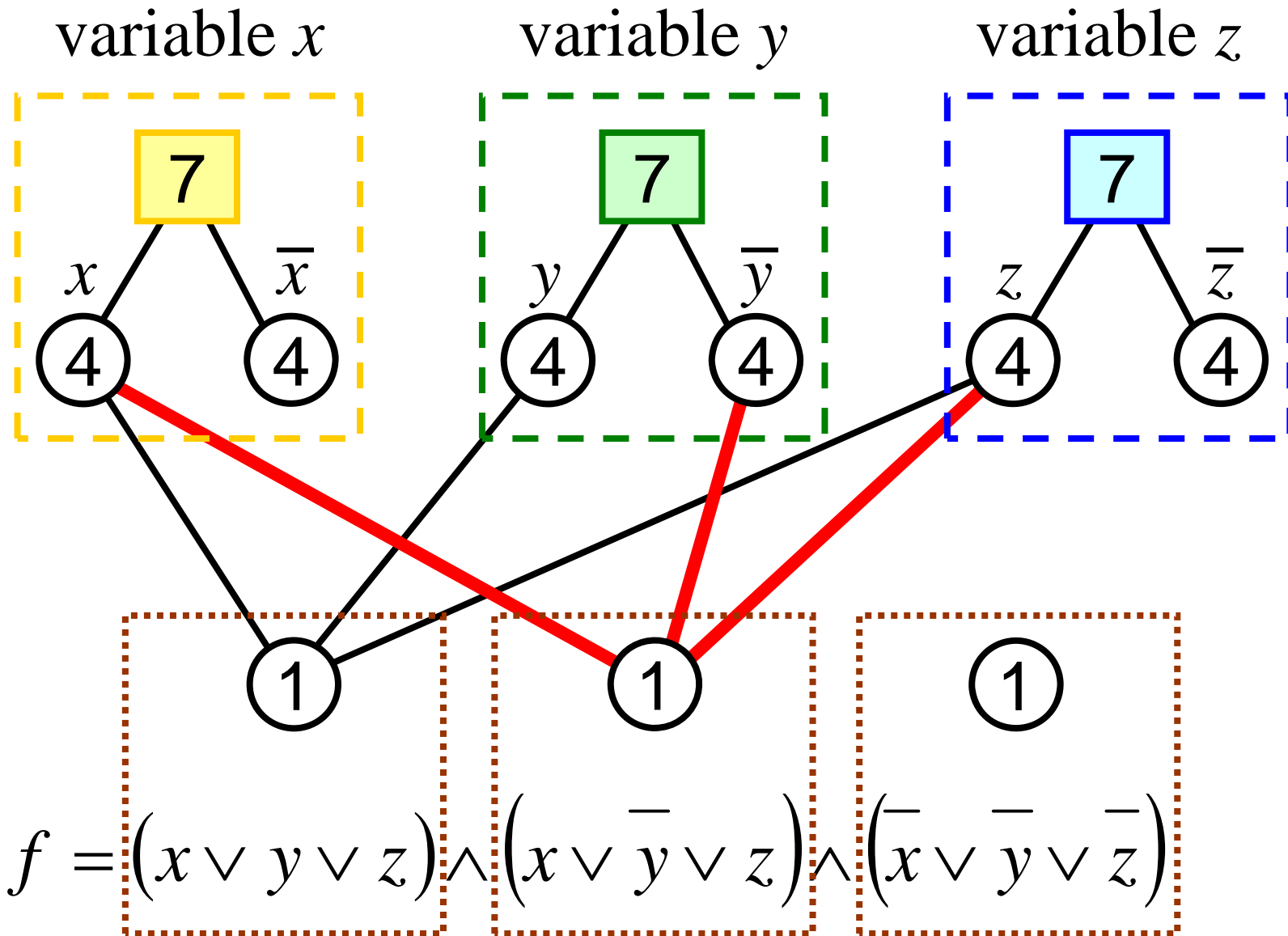
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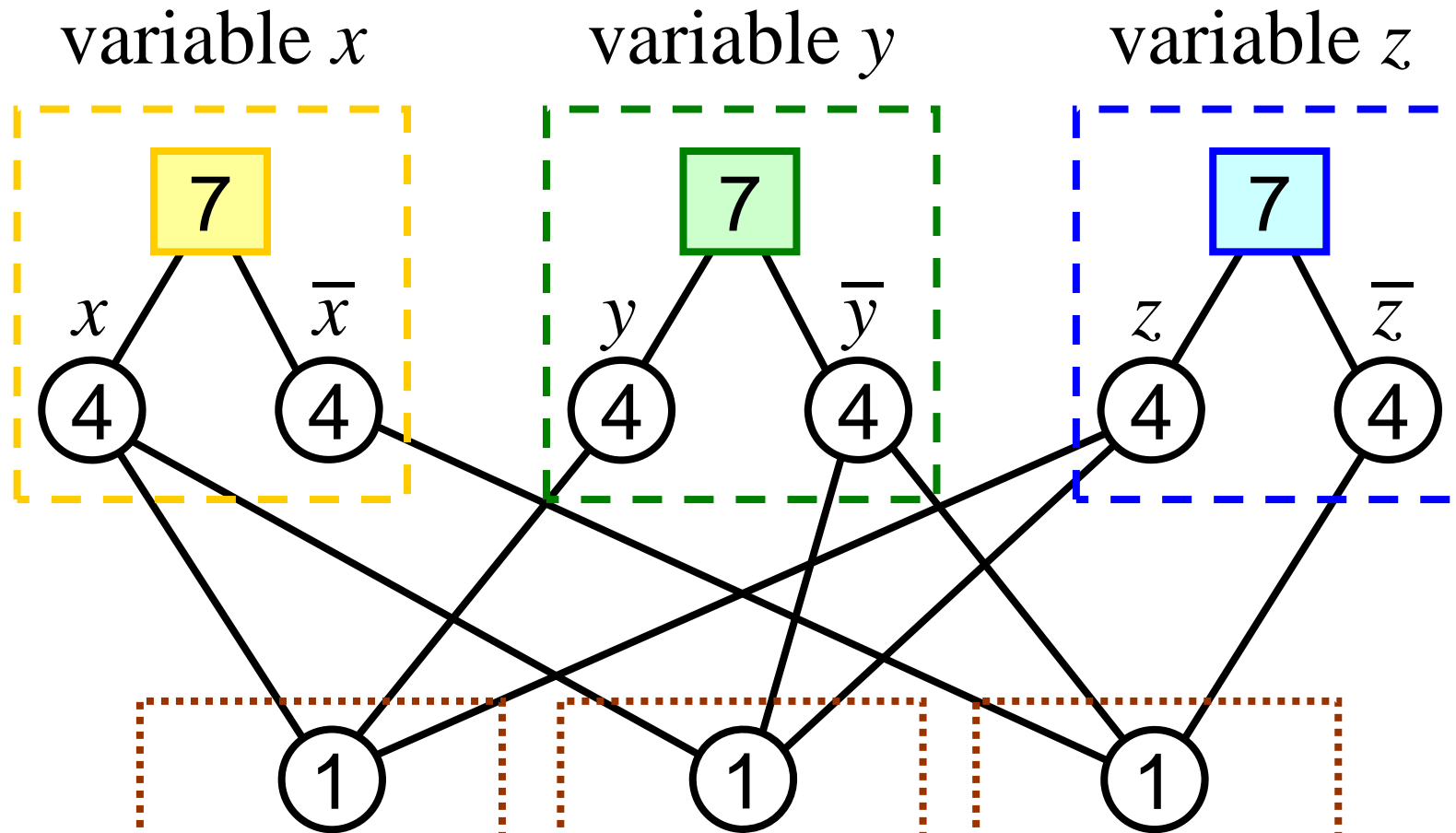
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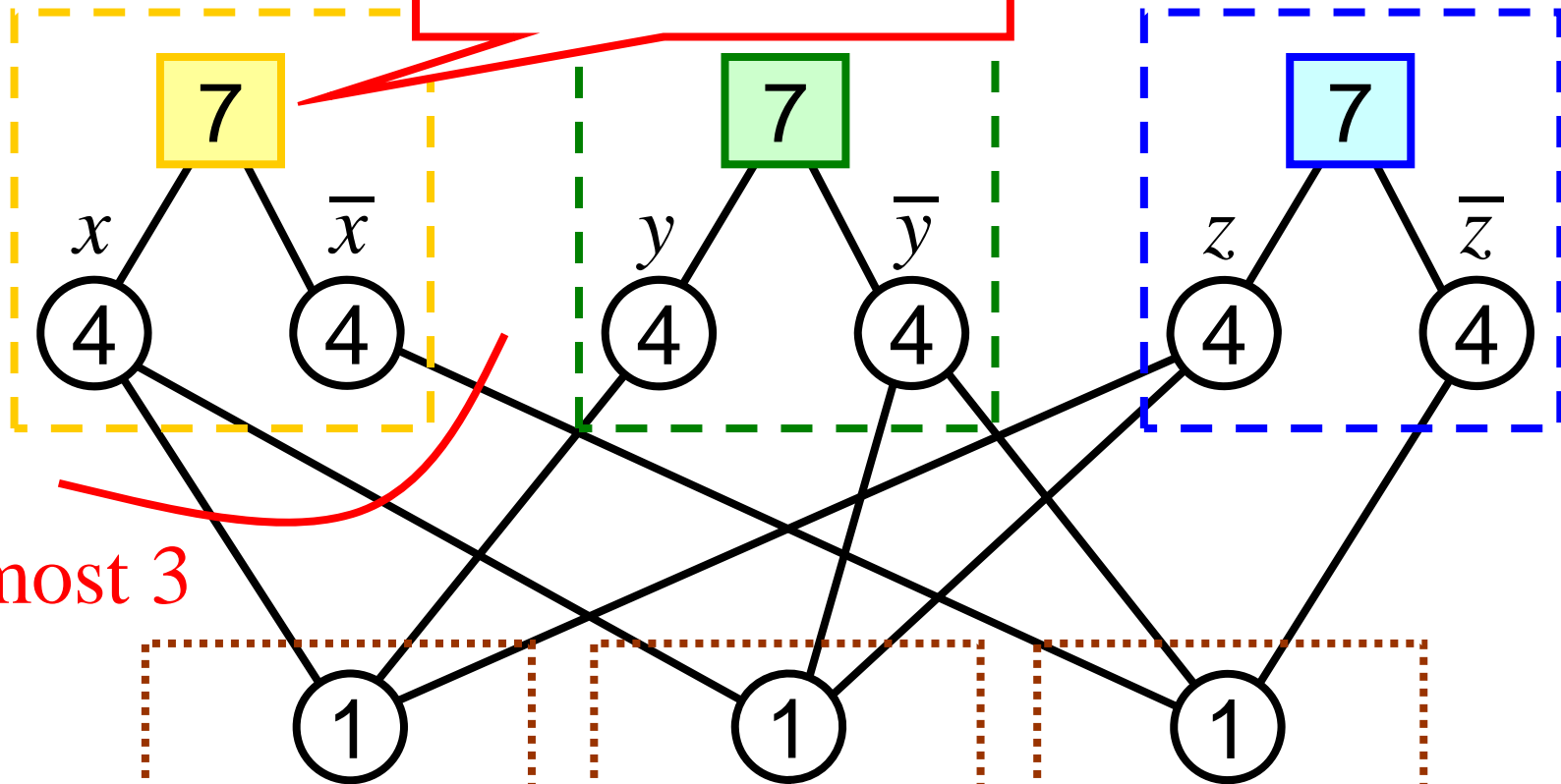
$$f = (x \vee y \vee z) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

# (1) MAXS

$7 - 4 = 3$   
enough power

variable  $x$

variable  $z$

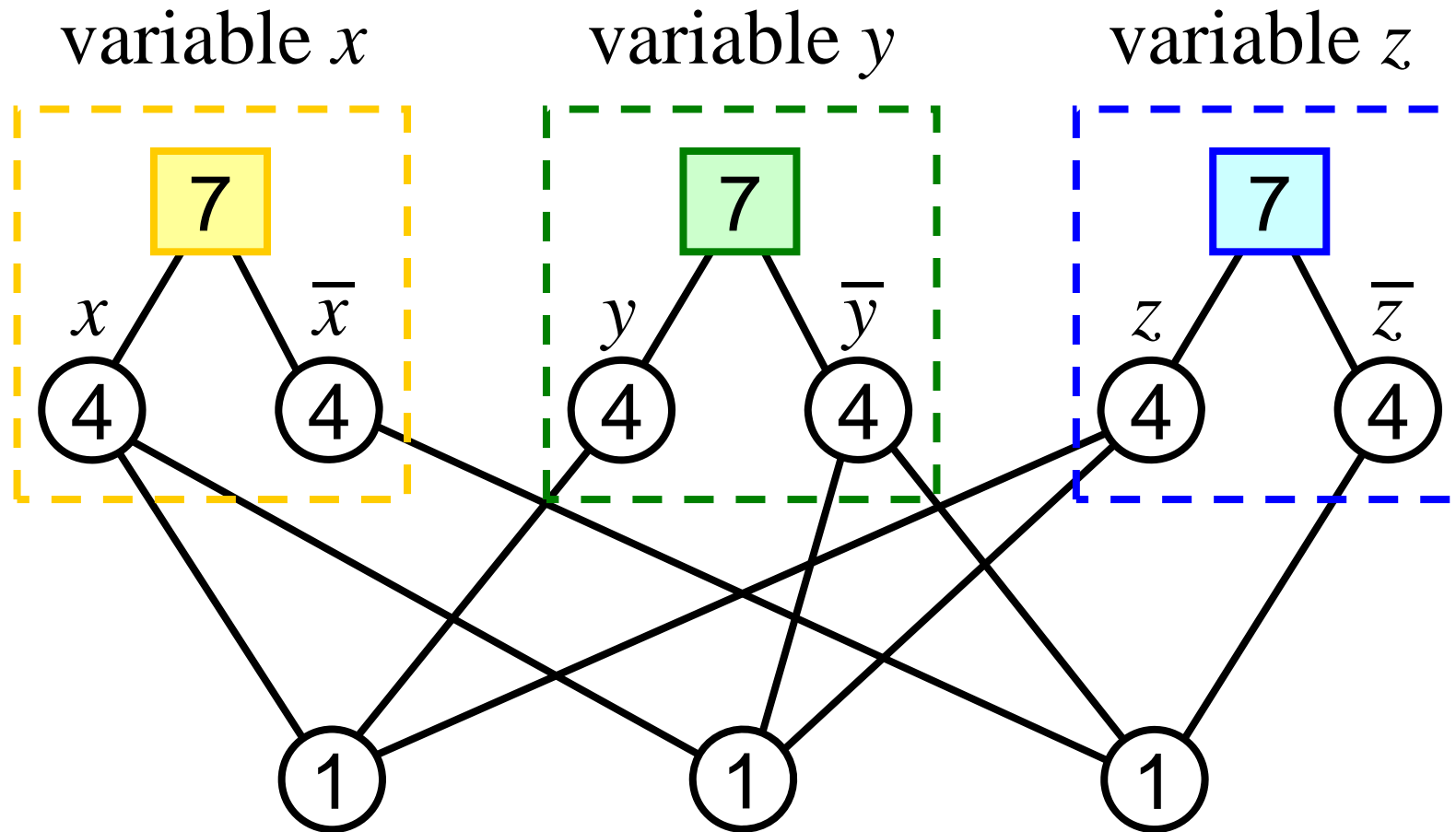


at most 3

$$f = (x \vee y \vee z) \wedge (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee \bar{y} \vee \bar{z})$$

# (1) MAXSNP-hardness

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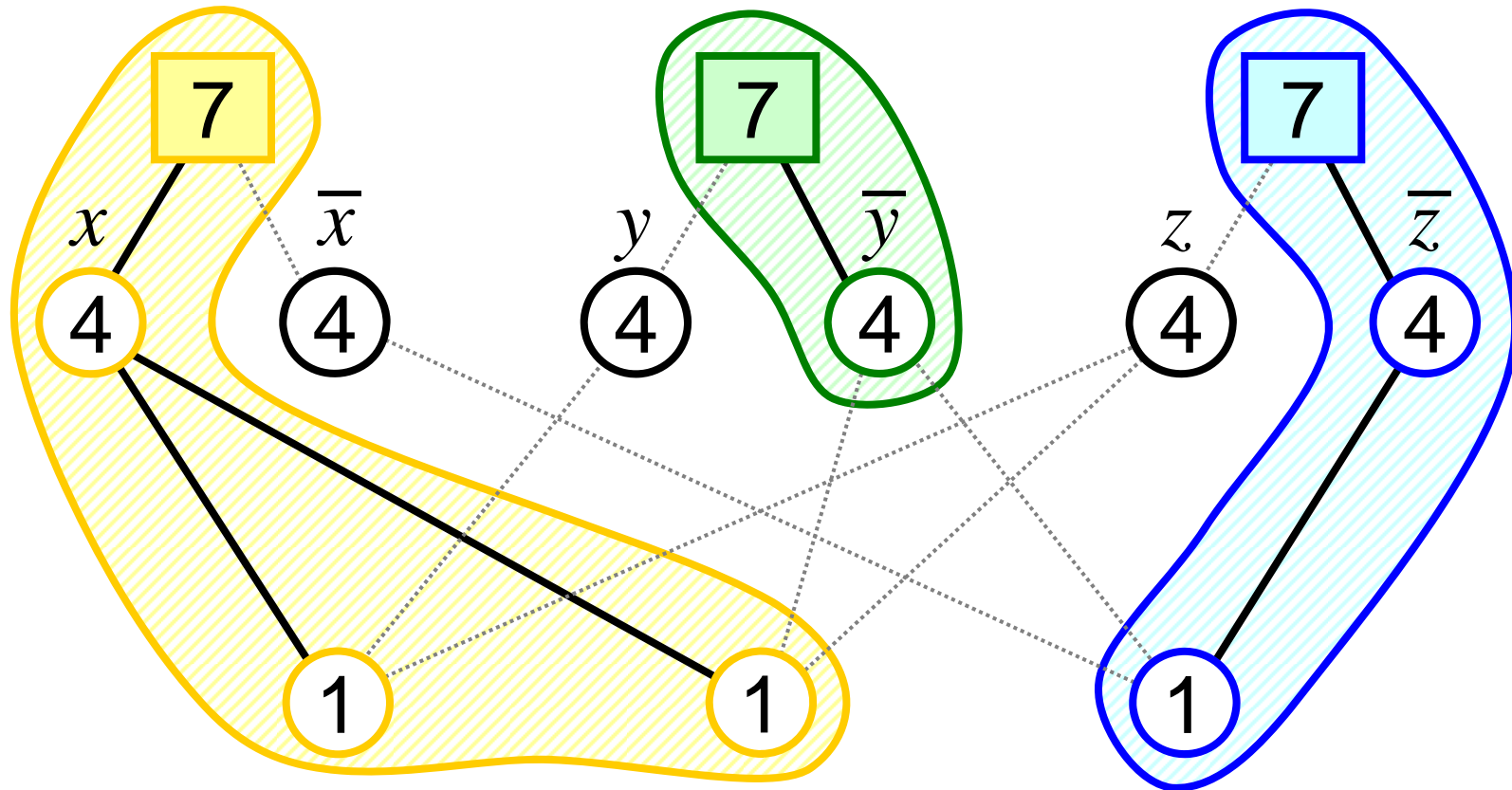
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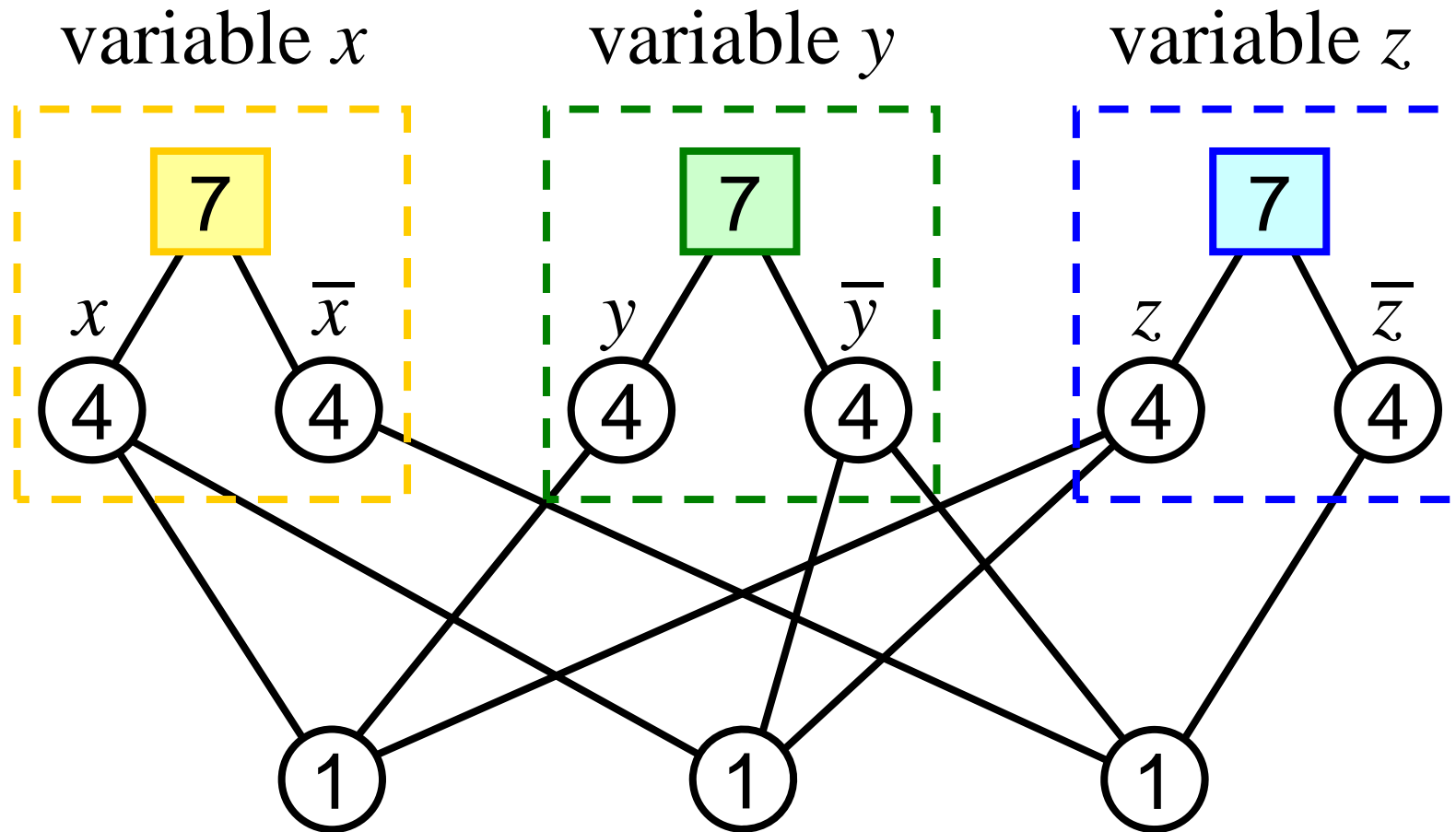
$z = \text{false}$



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# (1) MAXSNP-hardness

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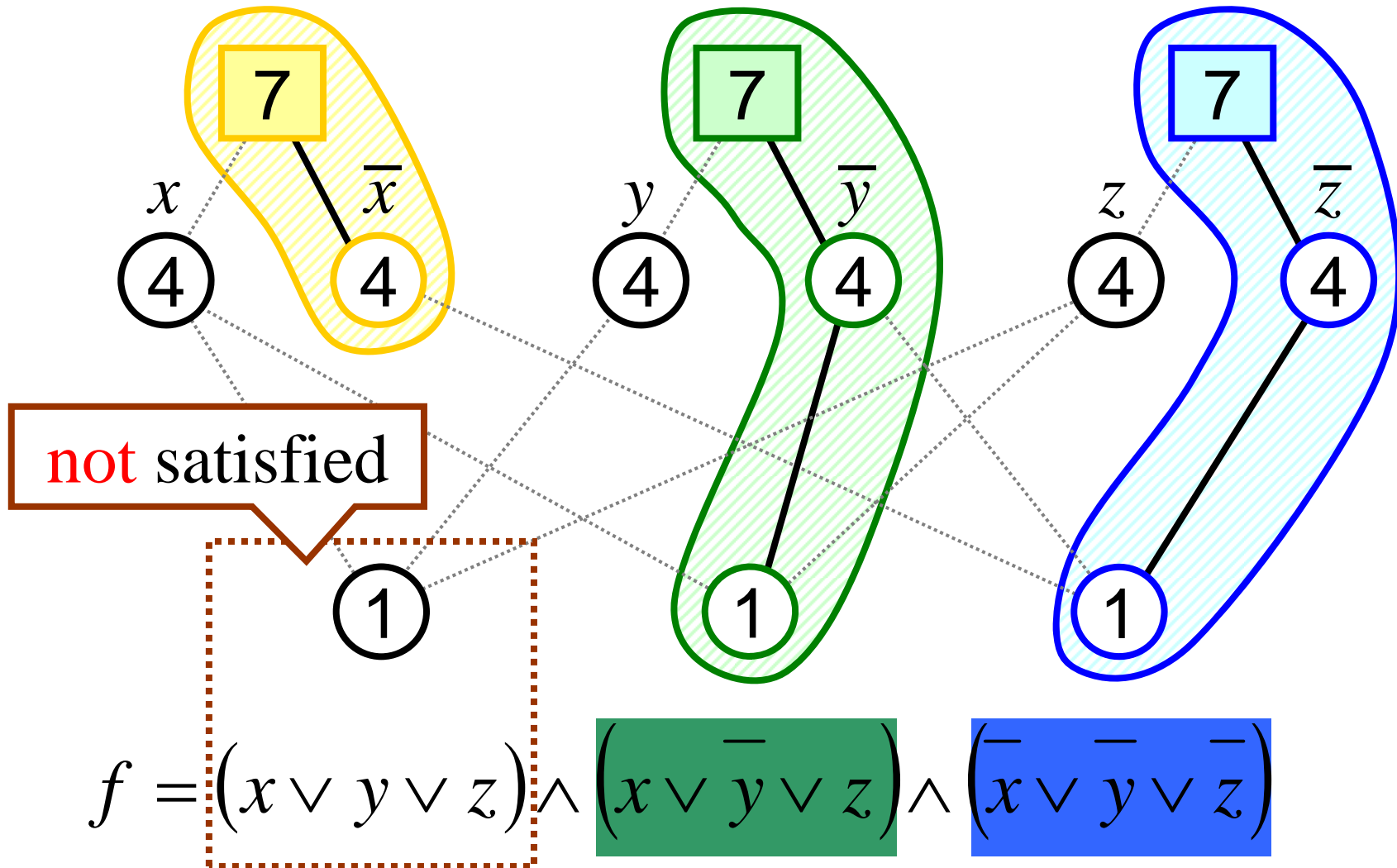
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# (1) MAXSNP-hardness

$x = \text{false}$

$y = \text{false}$

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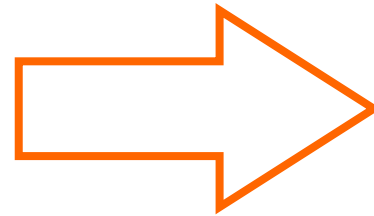
# (1) MAXSNP-hardness

Max PP is **MAXSNP-hard** for **bipartite graphs**.

**L-reduction**: preserves approximability

3-occurrence  
MAX3SAT

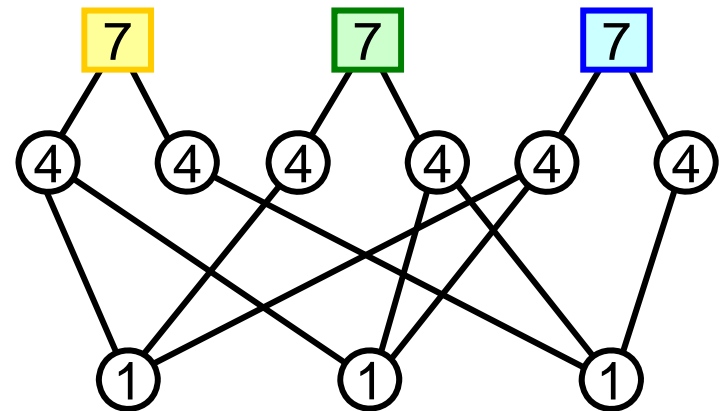
L-reduction



Max PP for  
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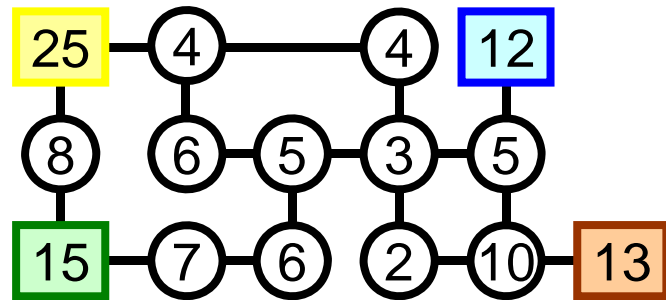
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# Our Results (approximability)

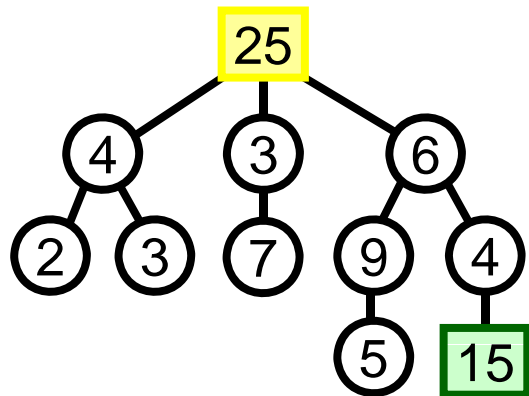
General graphs



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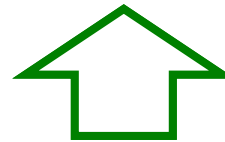
**No PTAS** unless  $P=NP$

Trees



**NP-hard**

(2) **FPTAS**



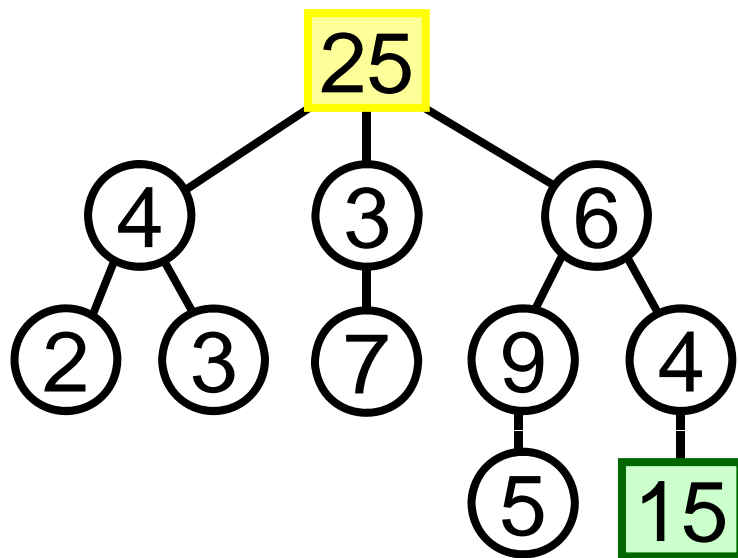
Pseudo-poly.-time algorithm

# Pseudo-Polynomial-Time Algorithm

Max PP is NP-hard even for trees.

Max PP can be solved for a tree  $T$  in time  $O(F^2n)$  if the supplies and demands are integers.

$$F = \min \begin{cases} \bullet \text{ sum of all demands} \\ \bullet \text{ sum of all supplies} \end{cases}$$



○  $2+3+4+\dots+4+5=43$

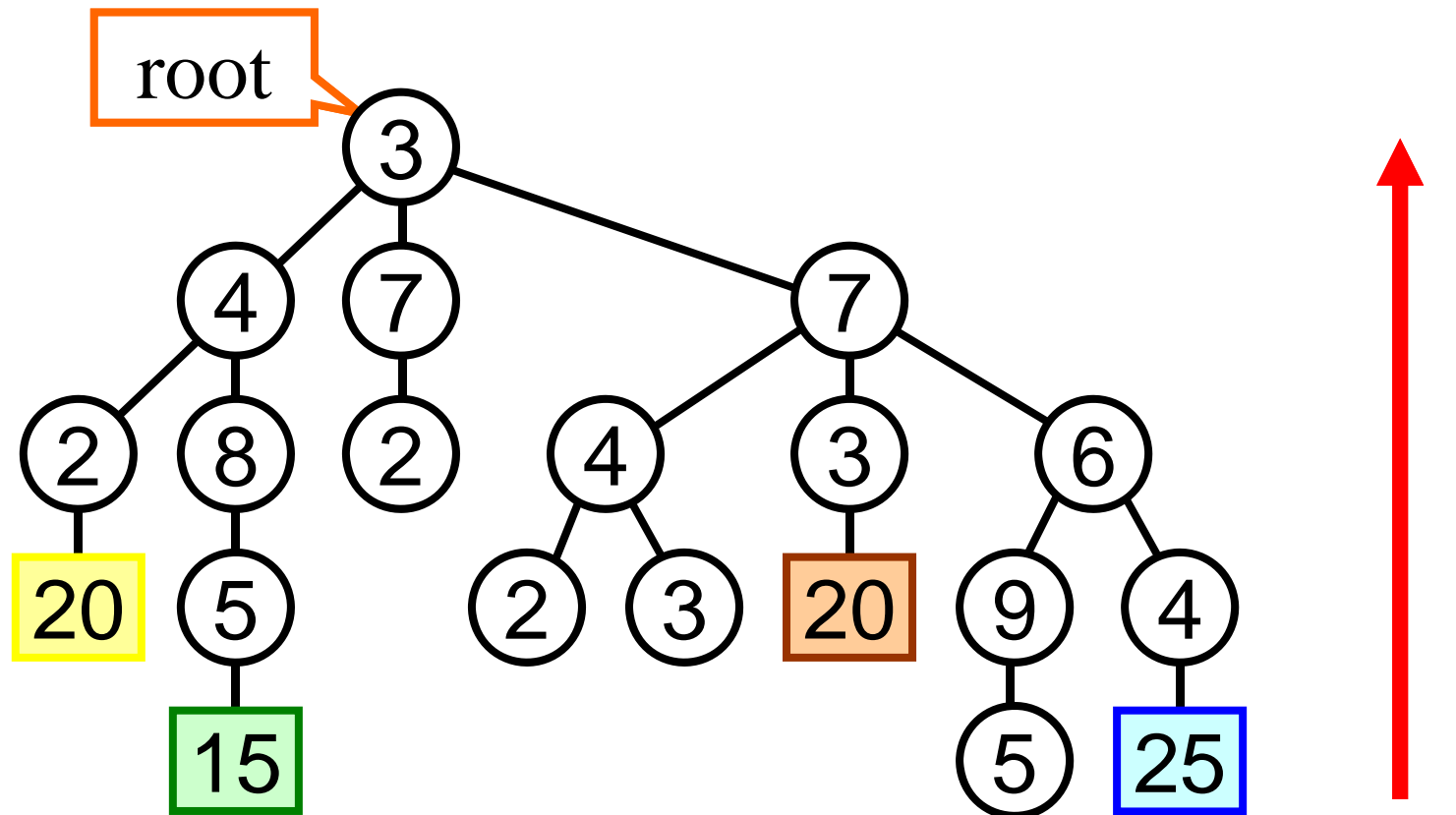
□  $15+25=40$

$$F = \min\{43, 40\} = 40$$

$$\text{max fulfillment} \leq F$$

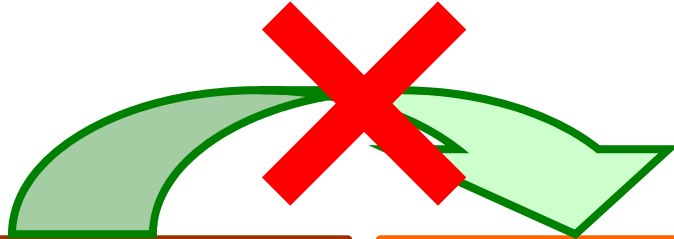
# Pseudo-Polynomial-Time Algorithm

## Dynamic Programming

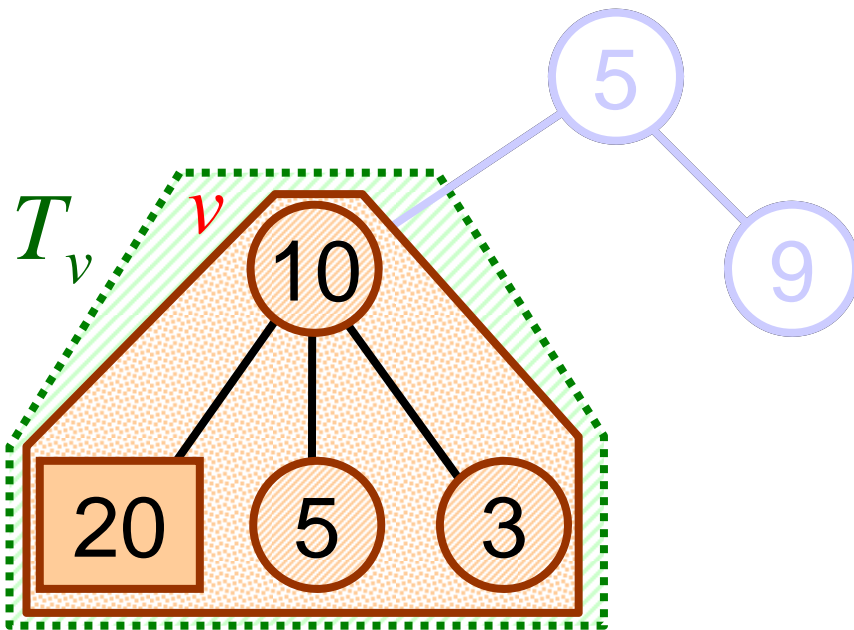


# Pseudo-Polynomial-Time Algorithm

$T$

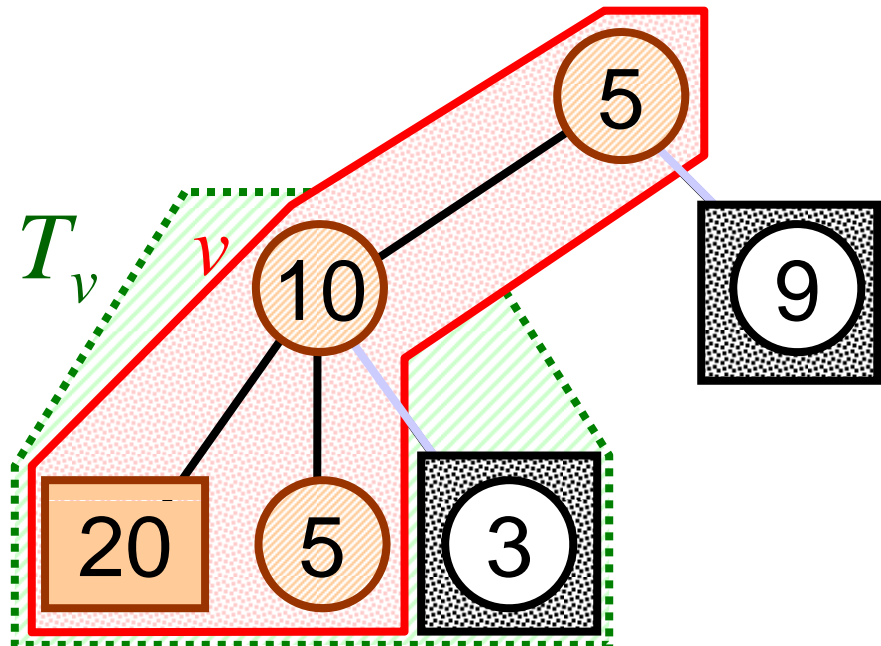


optimal partition of  $T_v$



fulfillment = 18

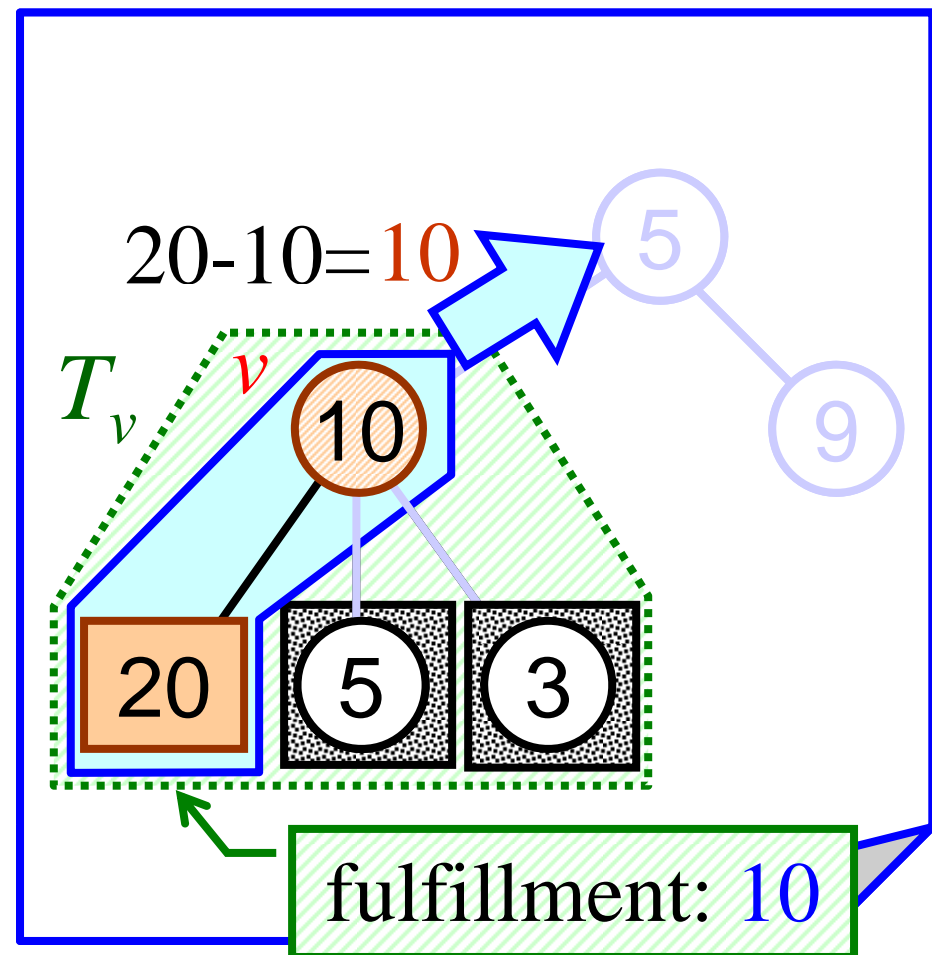
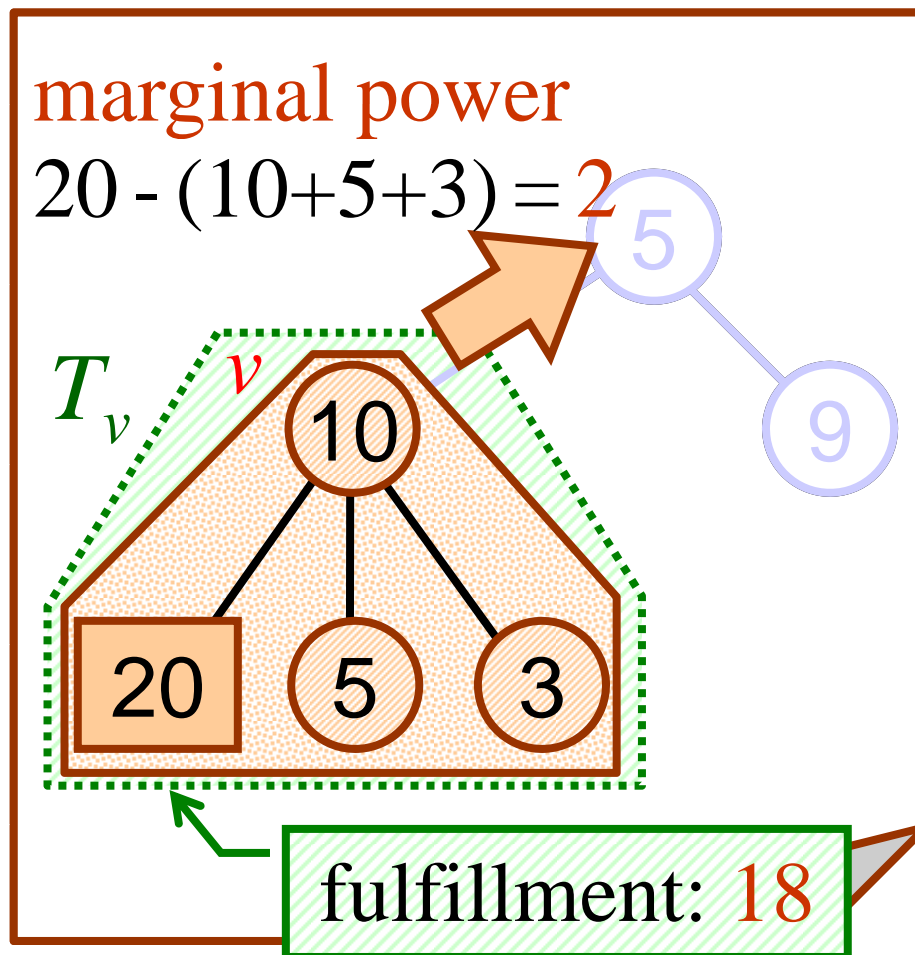
optimal partition of  $T$



fulfillment = 20

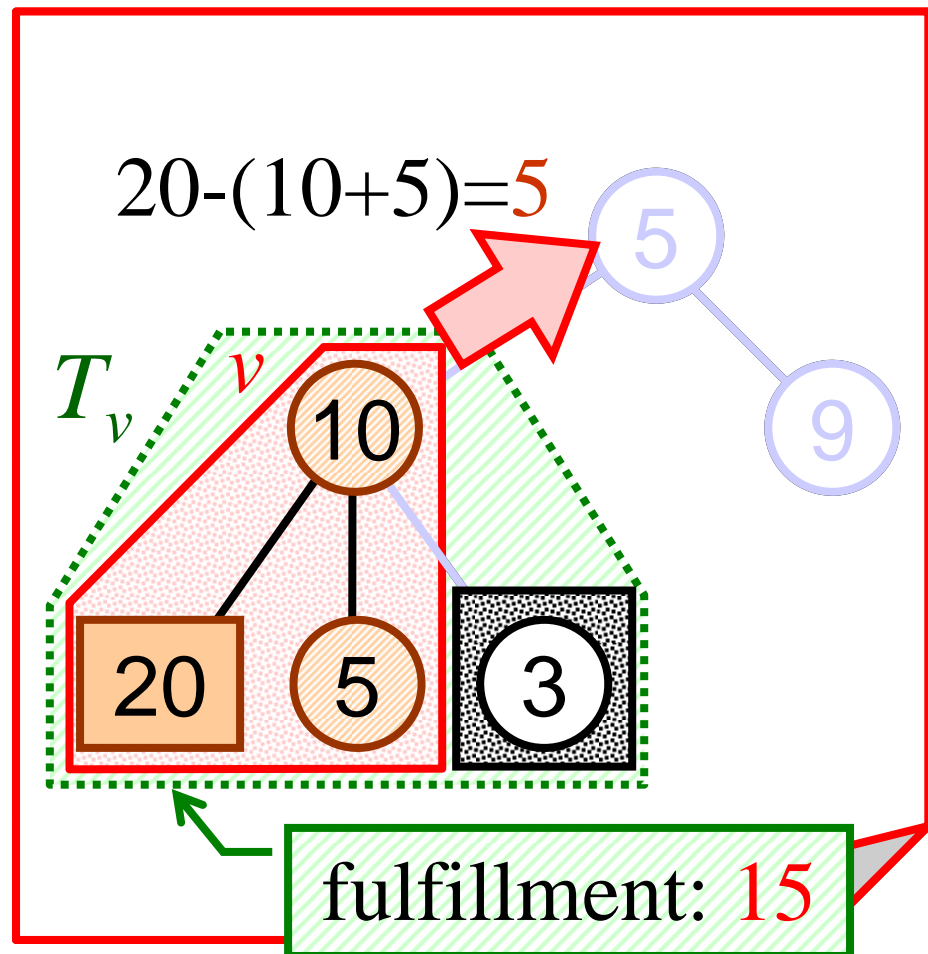
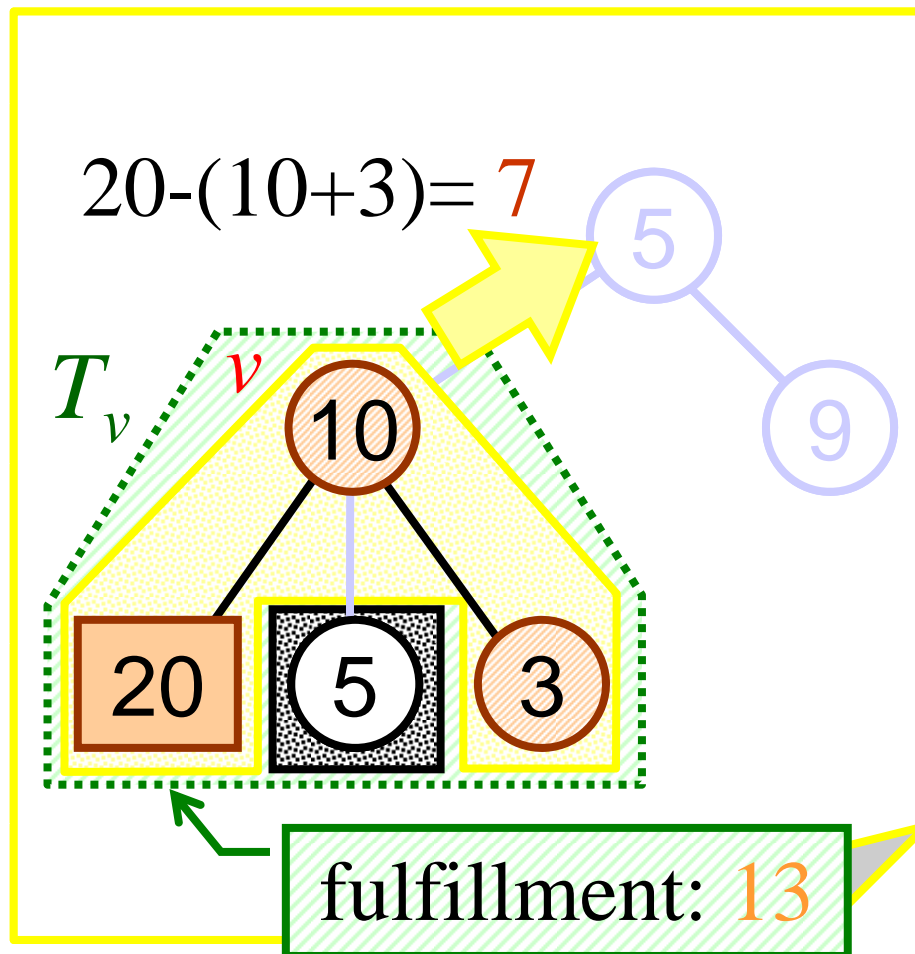
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## Dynamic Programming

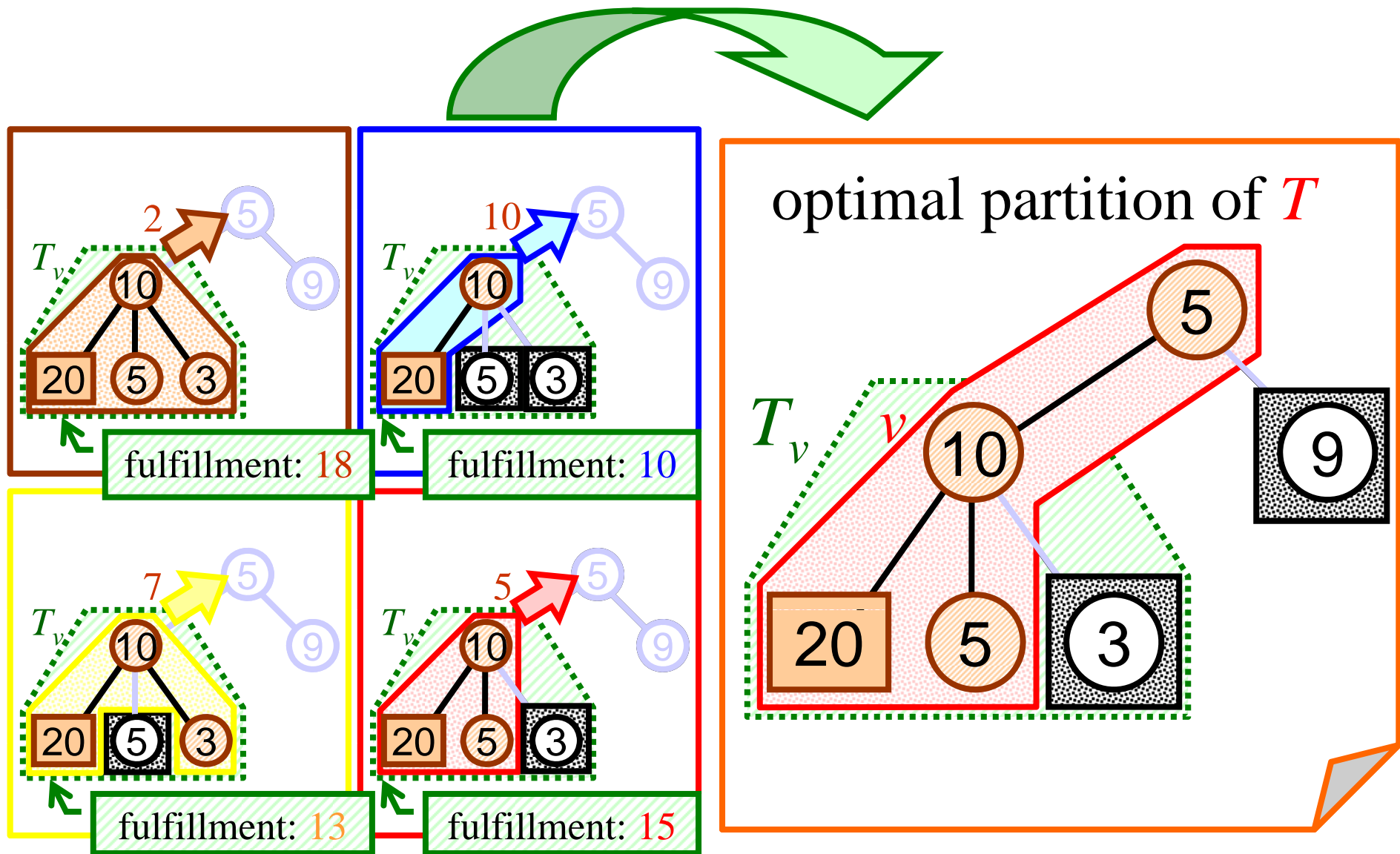


# Pseudo-Polynomial-Time Algorithm

## Dynamic Programming

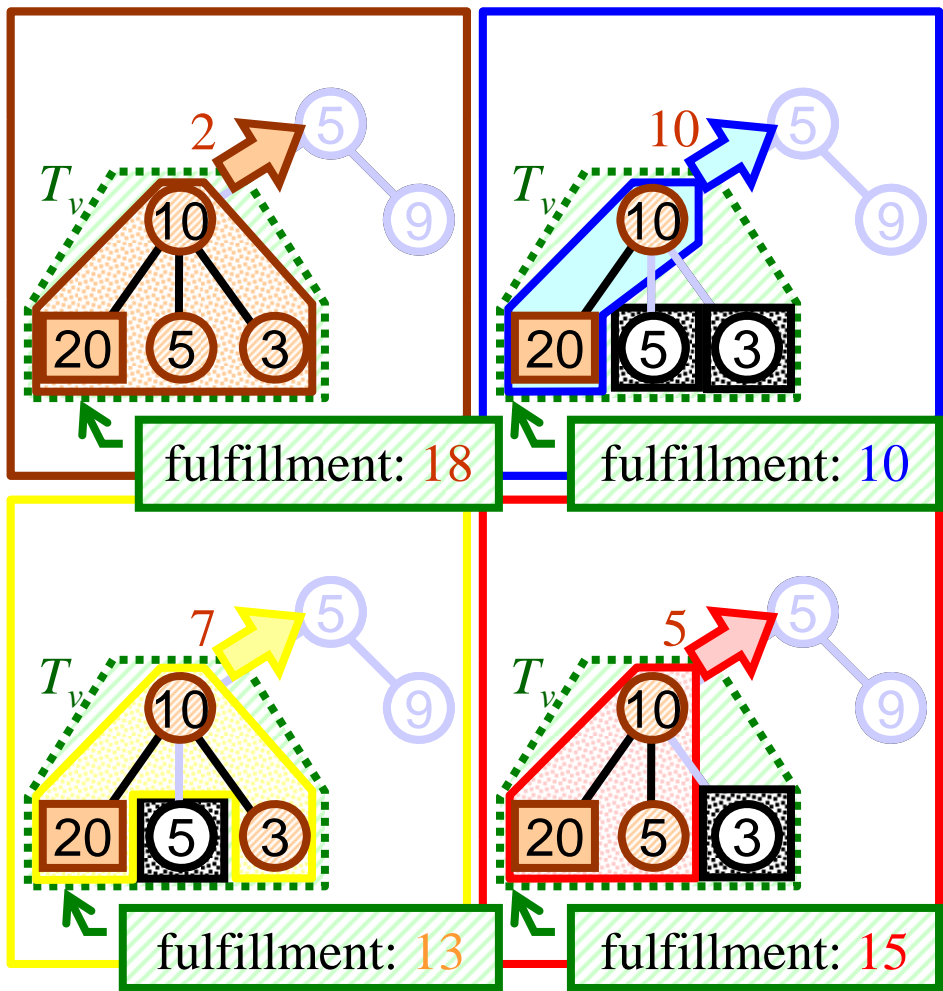


# Pseudo-Polynomial-Time Algorithm

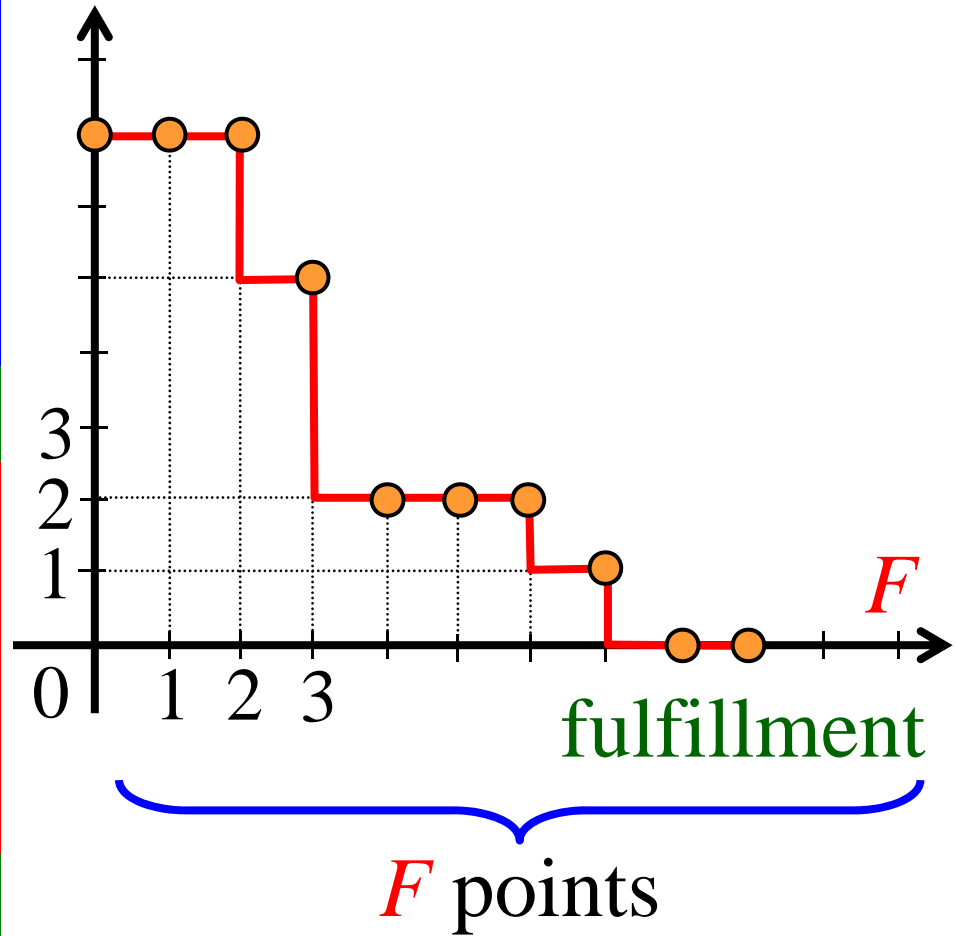


# Pseudo-Polynomial-Time Algorithm

staircase, non-increasing

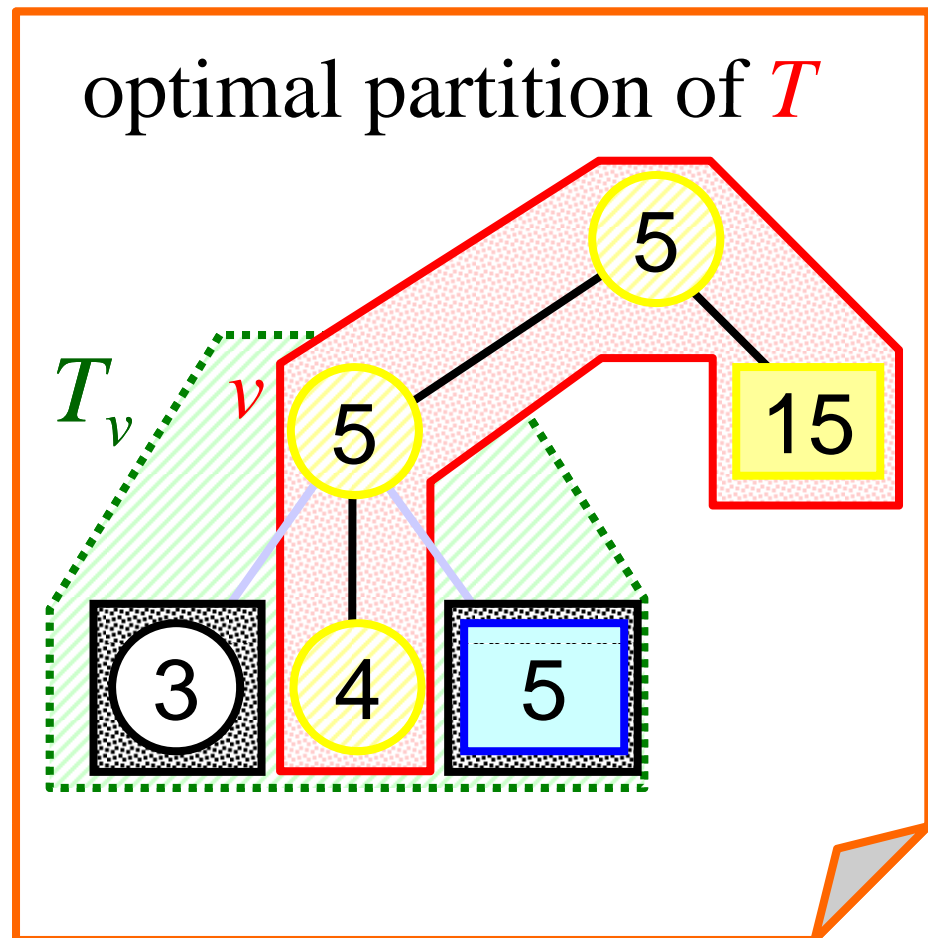
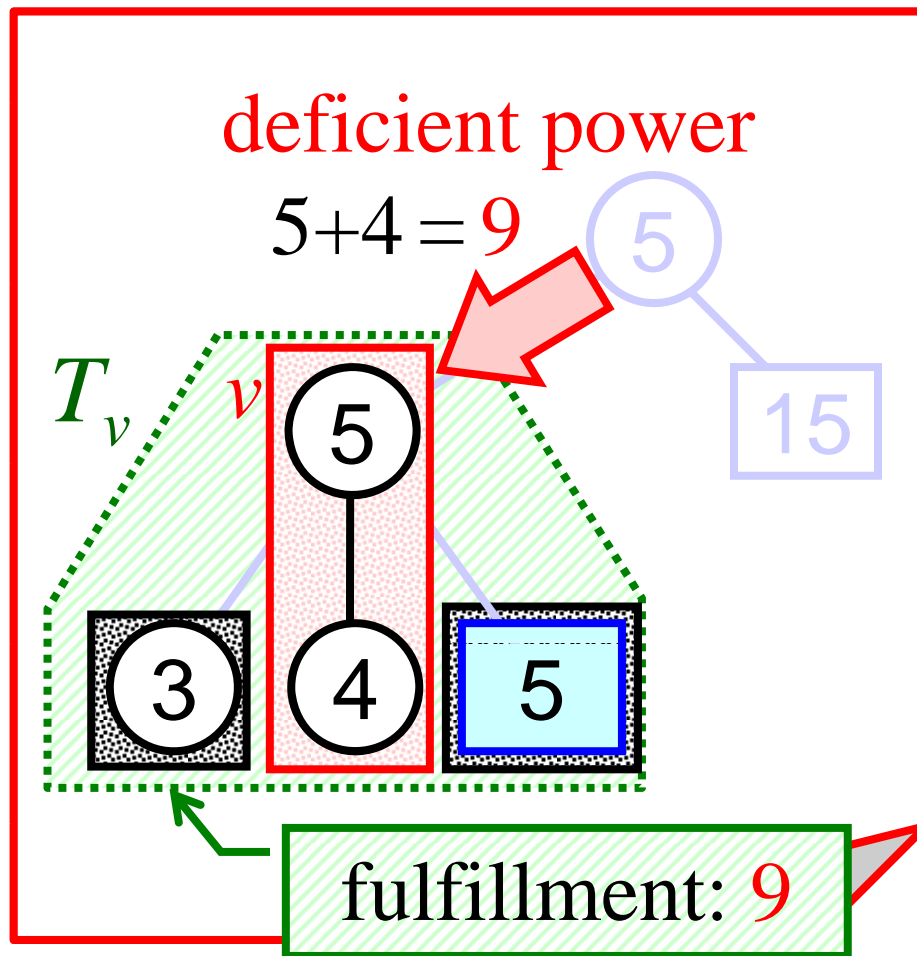


marginal power

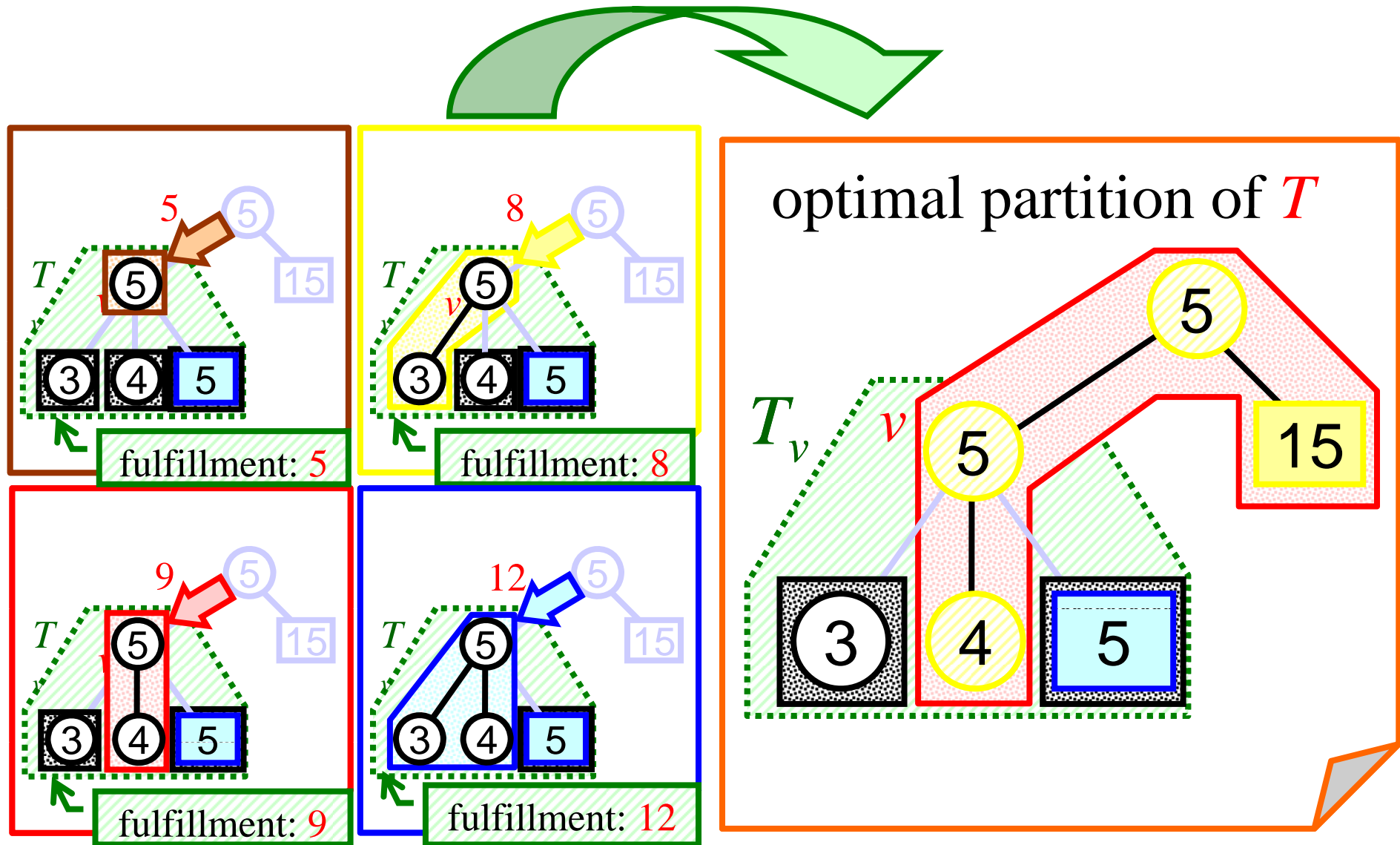




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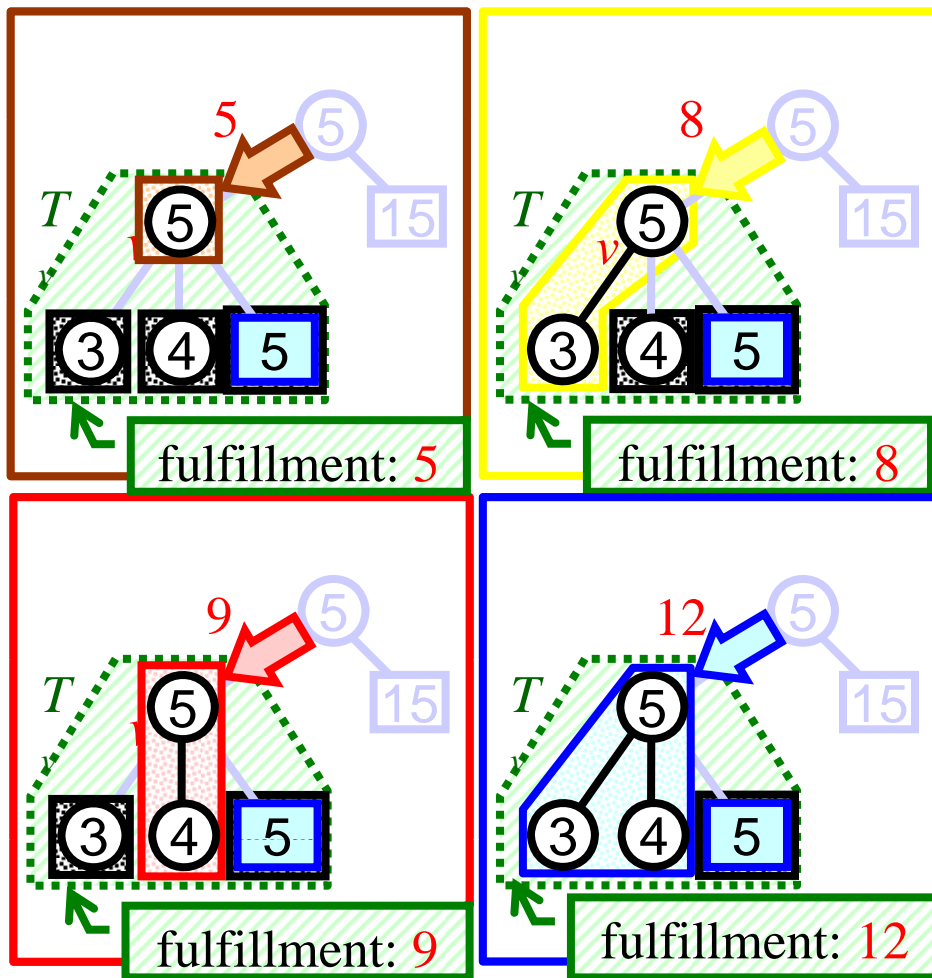


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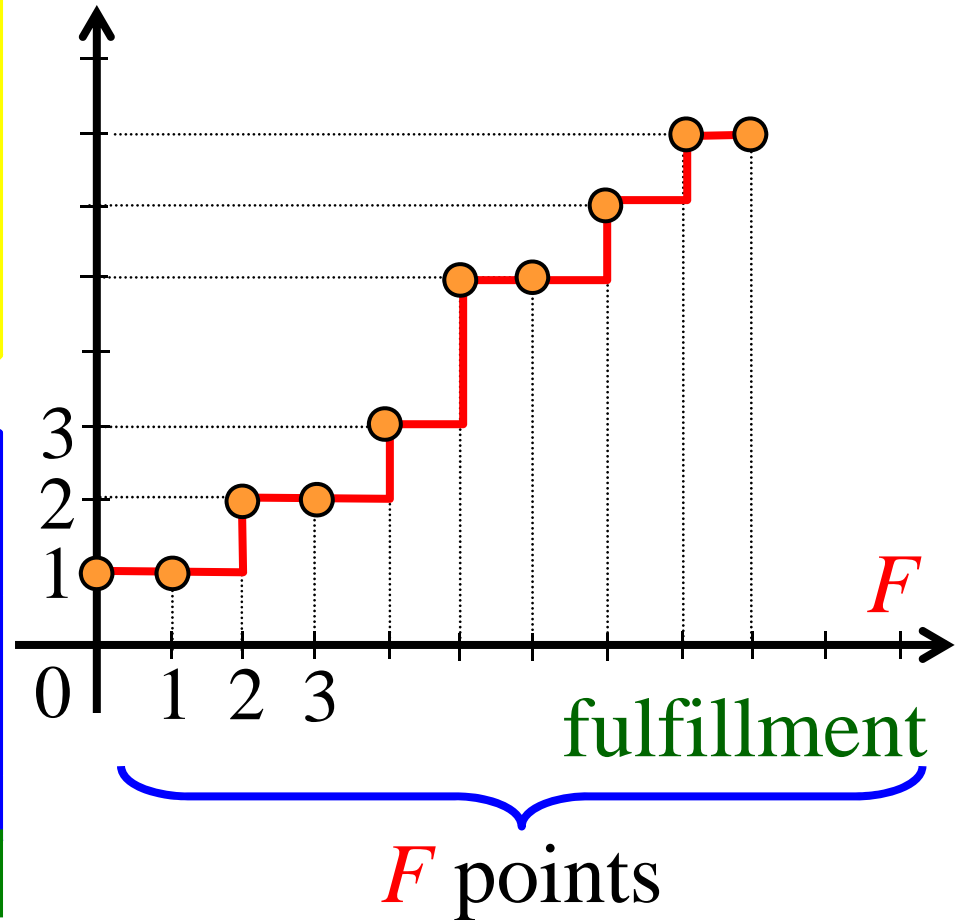


# Pseudo-Polynomial-Time Algorithm

staircase, non-decreasing

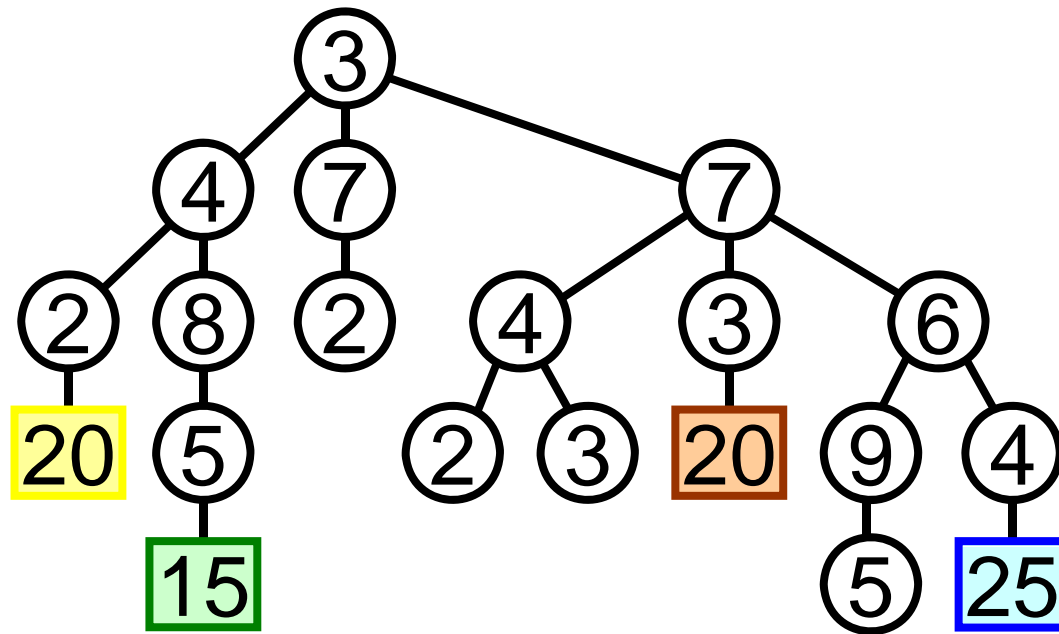


deficient power



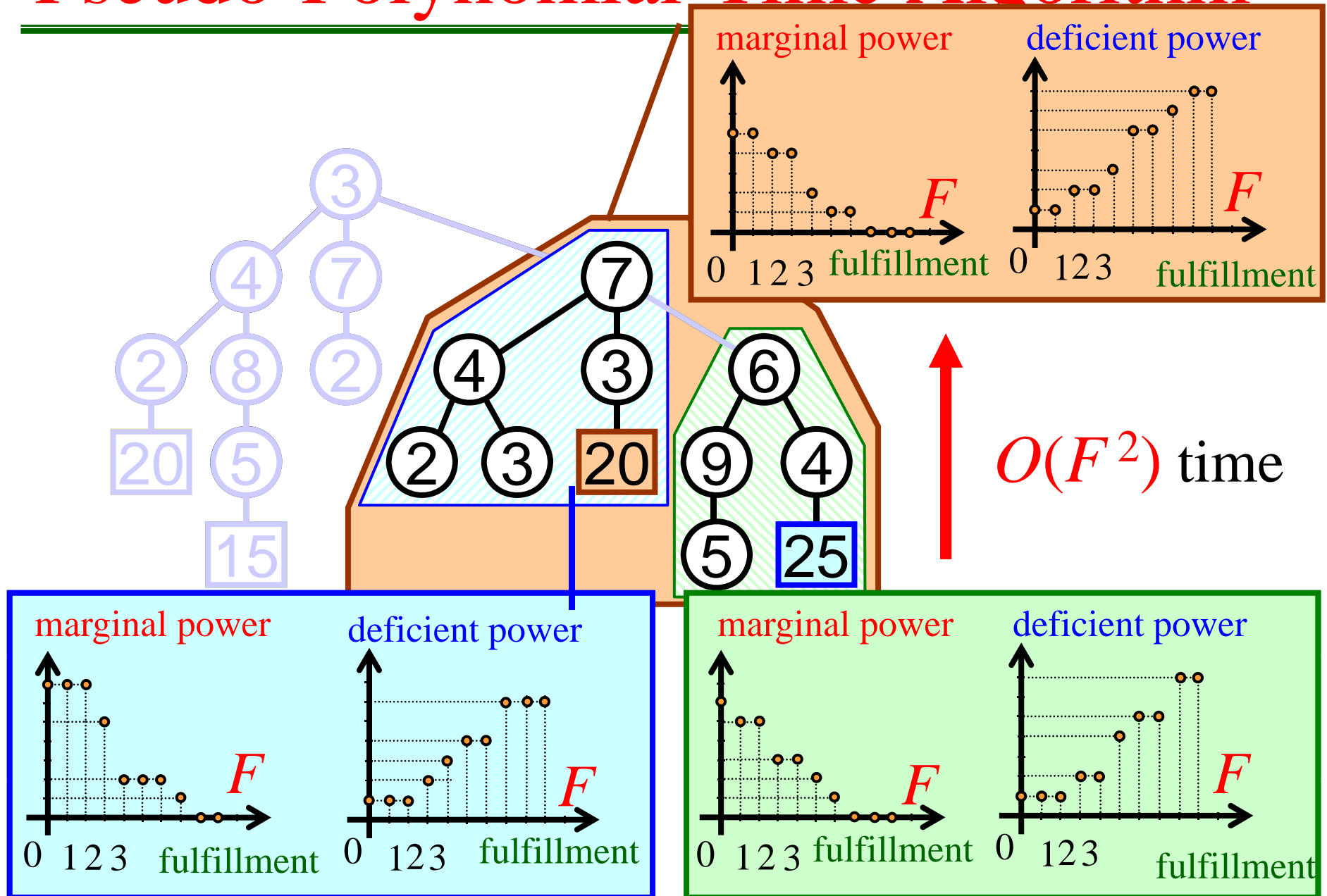
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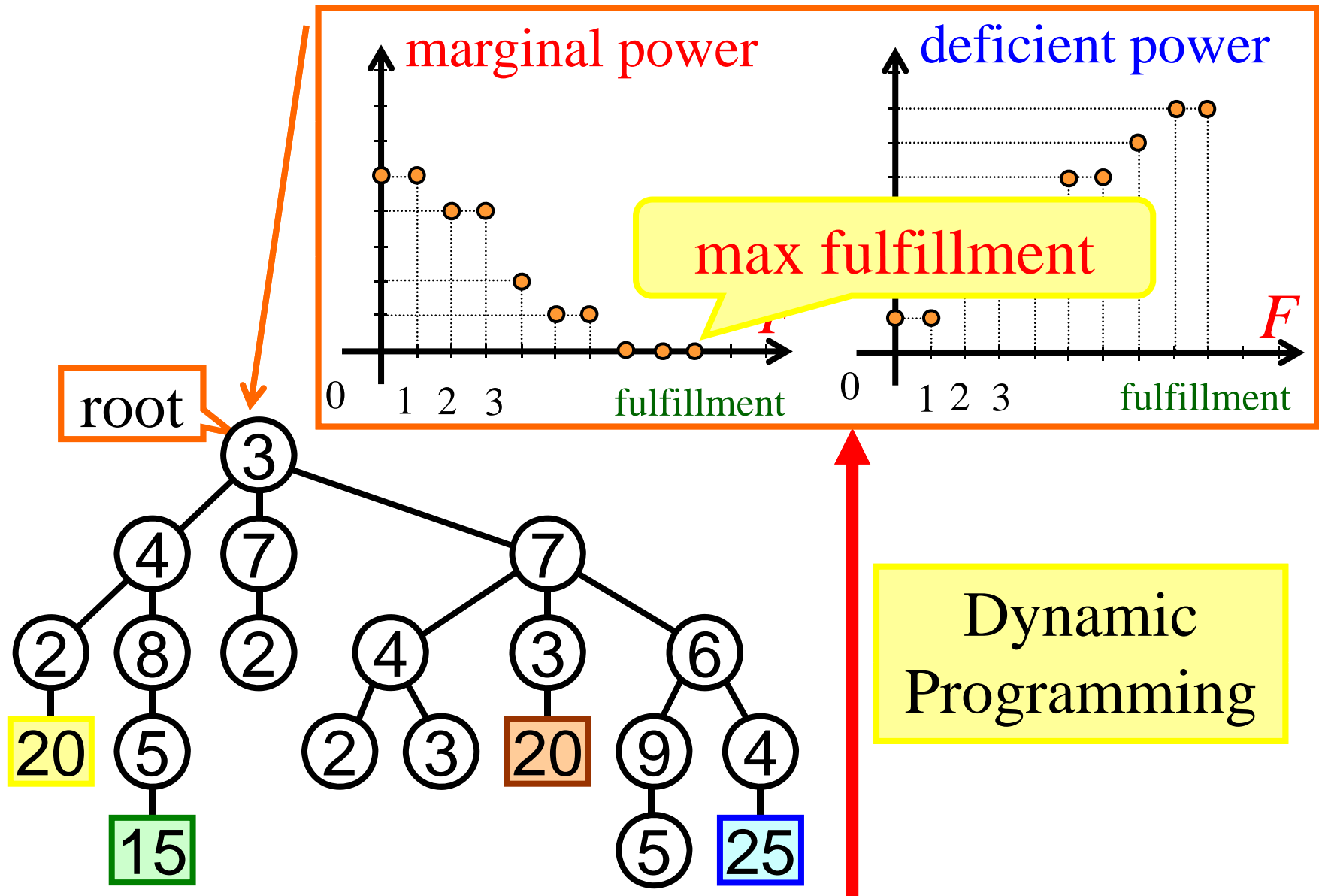


leaves

# Pseudo-Polynomial-Time Algorithm



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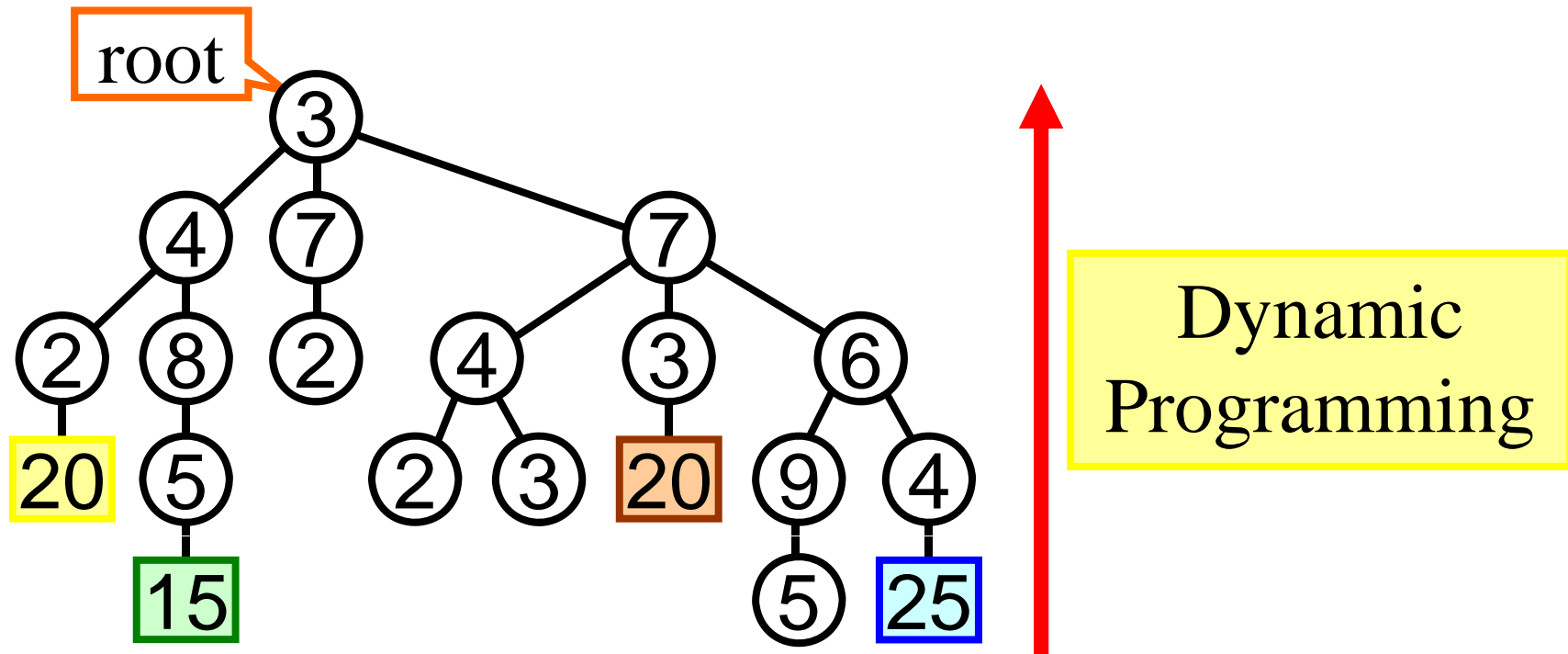


# Pseudo-Polynomial-Time Algorithm

Computation time

for each vertex .....  $O(F^2)$

There are  $n$  vertices.



# Pseudo-Polynomial-Time Algorithm

---

Computation time

for each vertex .....  $O(F^2)$

There are  $n$  vertices.

Computation time

$O(F^2n)$

The algorithm takes **polynomial time** if  $F$  is bounded by a polynomial in  $n$ .



## (2) FPTAS

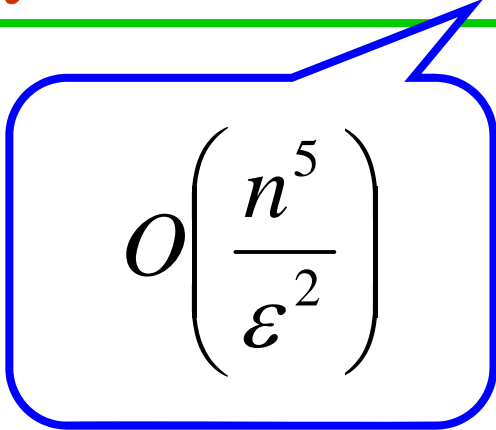
---

Let all demands and supply be **positive real numbers**.

For any  $\varepsilon$ ,  $0 < \varepsilon < 1$ , the algorithm finds a **partition of a tree  $T$**  such that

$$\text{OPT} - \text{APPRO} < \varepsilon \text{OPT}$$

in time **polynomial in both  $n$  and  $1/\varepsilon$** .

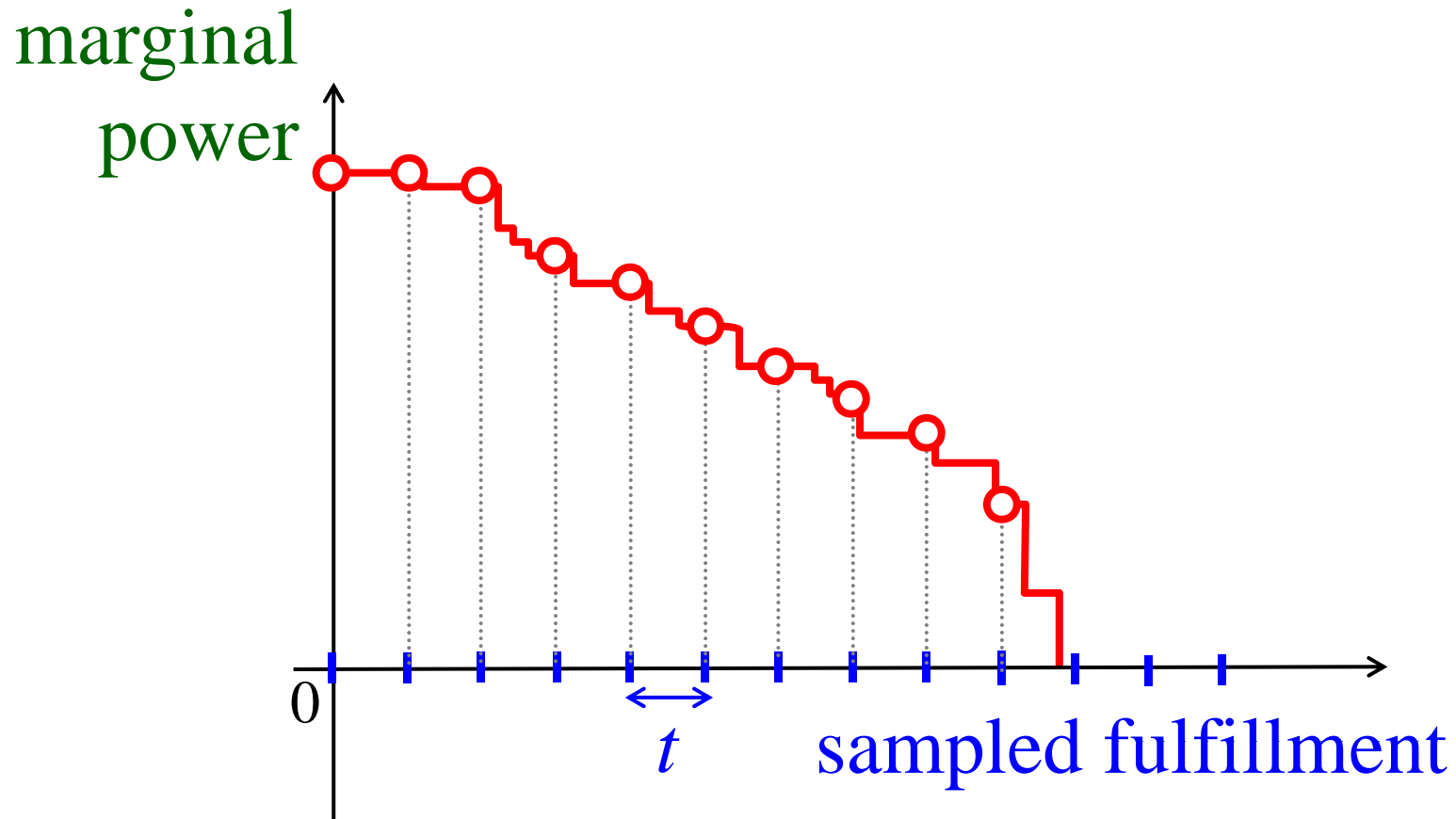

$$O\left(\frac{n^5}{\varepsilon^2}\right)$$

$n$  : # of vertices

## (2) FPTAS

---

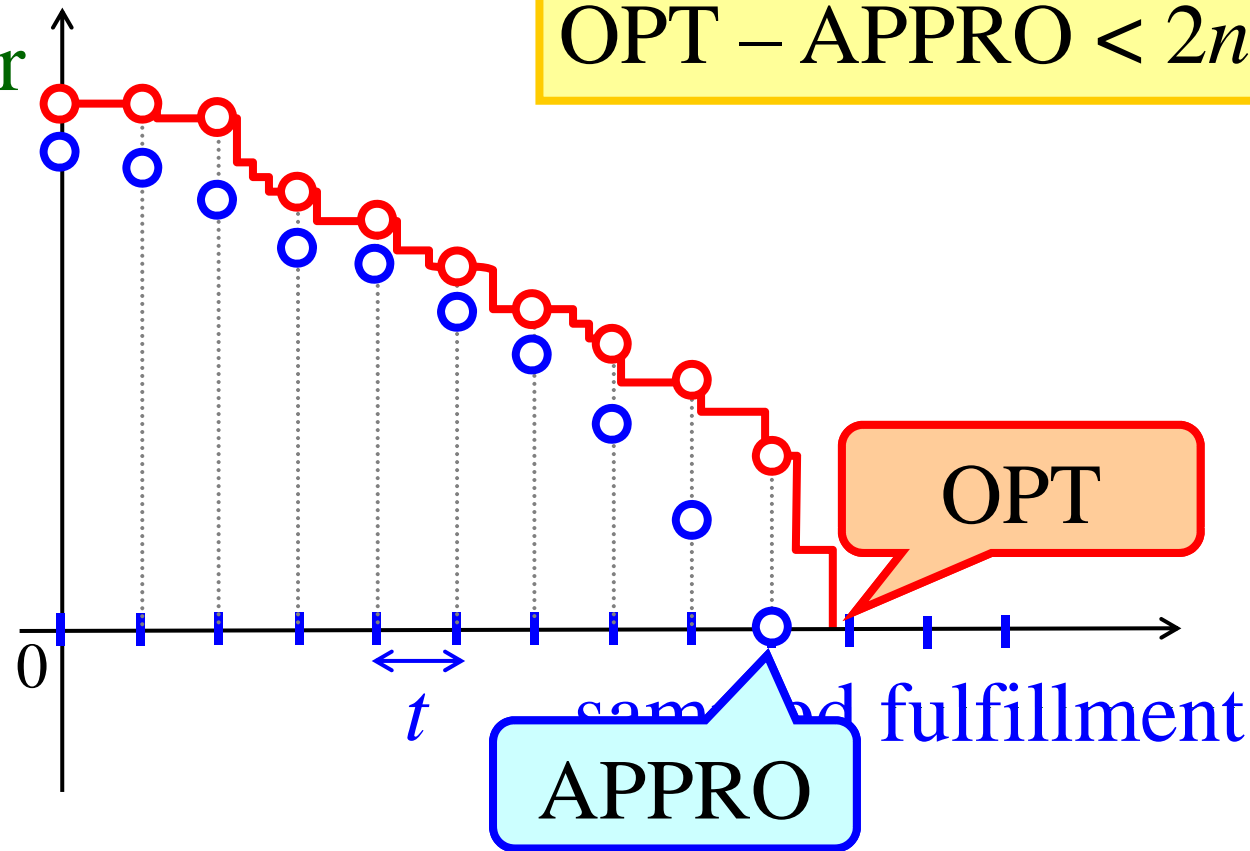
The algorithm is similar to the previous algorithm.



## (2) FPTAS

The algorithm total error < error/merge  $\times$  # of merge  
<  $2t$   $\times$   $n$

marginal  
power



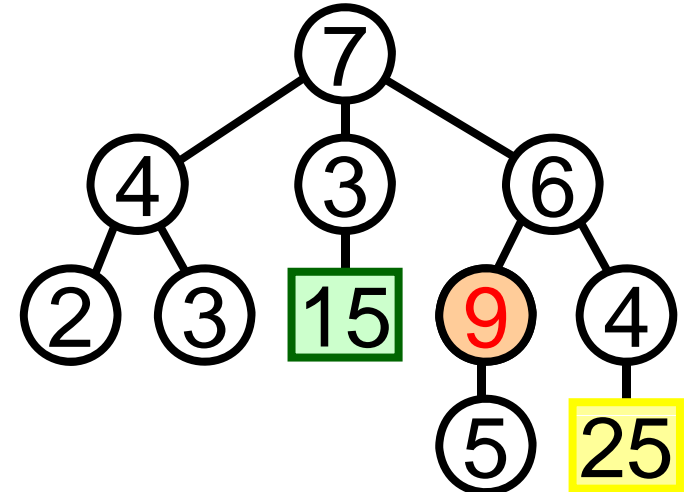
# (2) FPTAS

Error

$m_d$ : max demand

$$\text{OPT} - \text{APPRO} < 2nt$$

$$t = \frac{\epsilon m_d}{2n}$$



$m_d = 9$

## (2) FPTAS

Error

$m_d$ : max demand

$$\text{OPT} - \text{APPRO} < 2nt$$

$$t = \frac{\varepsilon m_d}{2n}$$

$$= \varepsilon m_d$$

$$m_d \leq \text{OPT}$$

$$\leq \varepsilon \text{OPT}$$

$$\text{OPT} - \text{APPRO} < \varepsilon \text{OPT}$$

error ratio  $\frac{\text{OPT} - \text{APPRO}}{\text{OPT}} < \varepsilon$

## (2) FPTAS

Error

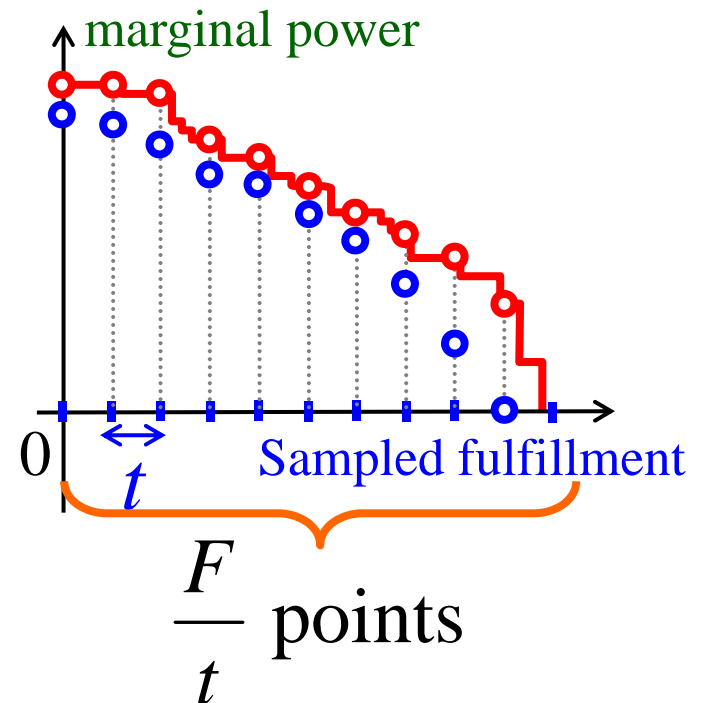
$$\text{OPT} - \text{APPRO} < \varepsilon \text{OPT}$$

Computation time

$$O\left(\left(\frac{F}{t}\right)^2 n\right) = O\left(\frac{n^5}{\varepsilon^2}\right)$$

$$t = \frac{\varepsilon m_d}{2n}, \quad F \leq nm_d$$

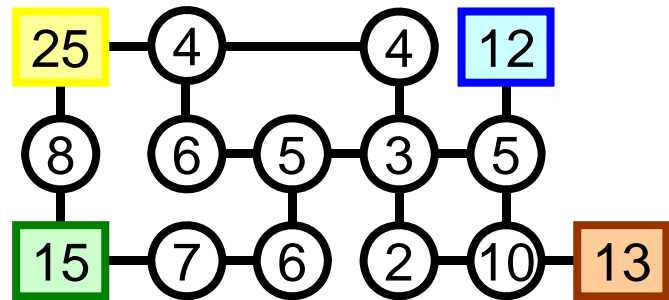
$m_d$ : max demand



# Conclusions

---

## General graphs

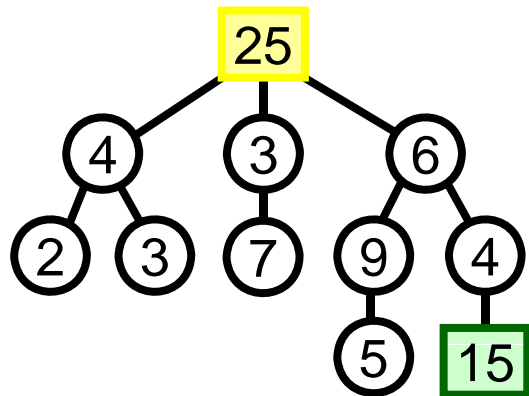


(1) **MAXSNP-hard**  
(APX-hard)

**No PTAS** unless  $P=NP$

---

## Trees



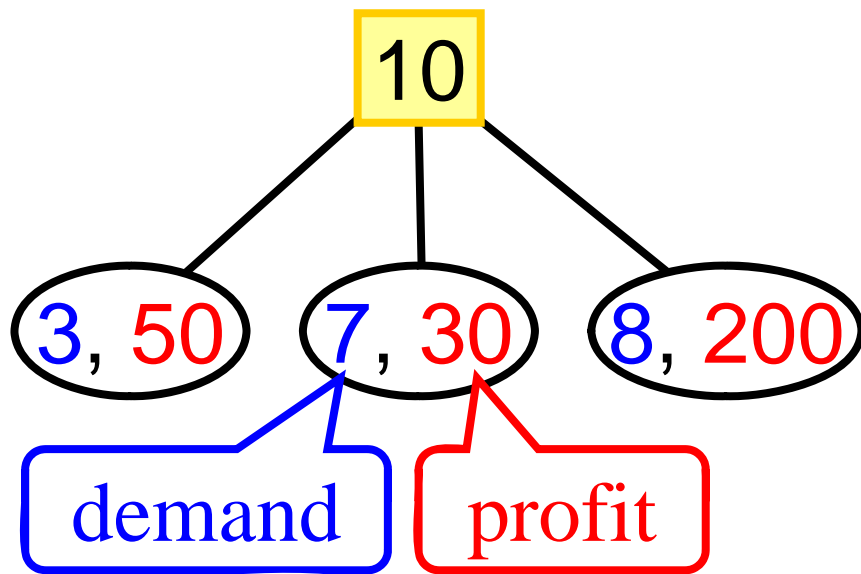
**NP-hard**

(2) **FPTAS**

## Variant (Generalization of Knapsack Problem)

---

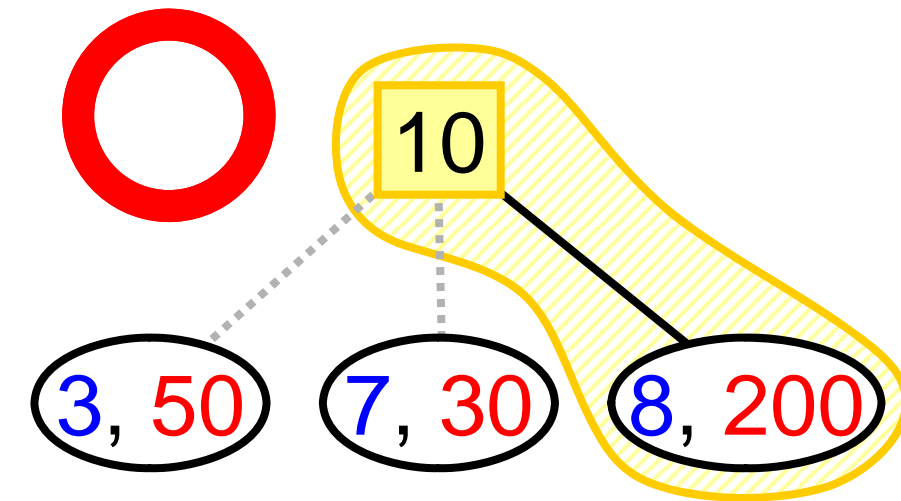
find a partition which maximizes **sum of profits** of all demand vertices that are supplied power.





# Variant (Generalization of Knapsack Problem)

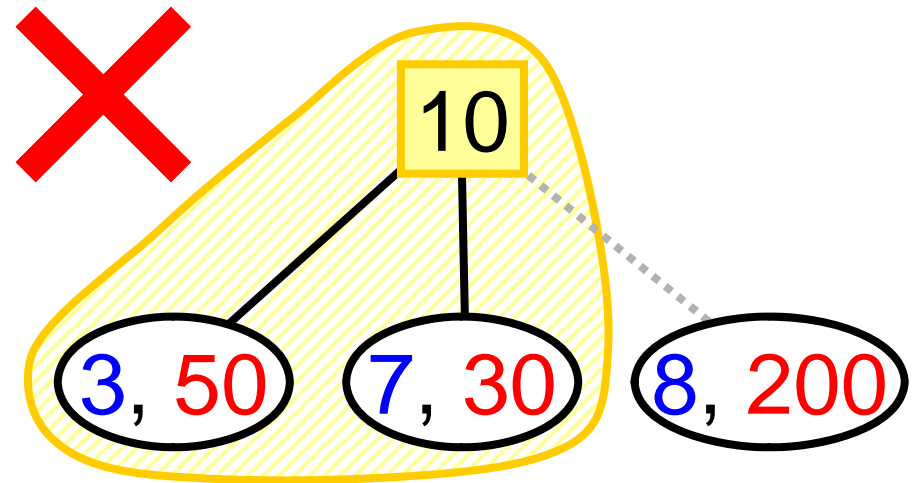
find a partition which maximizes **sum of profits** of all demand vertices that are supplied power.



Sum

$$\text{demands} = 8$$

$$\text{profits} = 200 \text{ max}$$



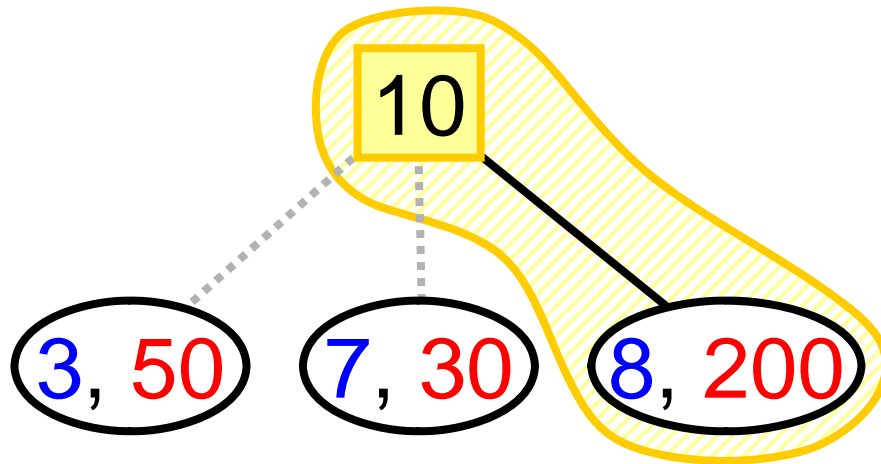
Sum

$$\text{demands} = 3+7 = 10$$

$$\text{profits} = 50+30 = 80$$

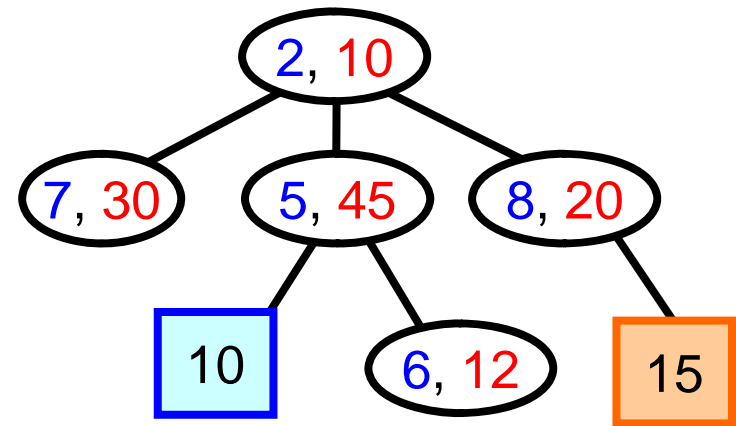
# Variant (Generalization of Knapsack Problem)

find a partition which maximizes **sum of profits** of all demand vertices that are supplied power.



FPTAS for KP

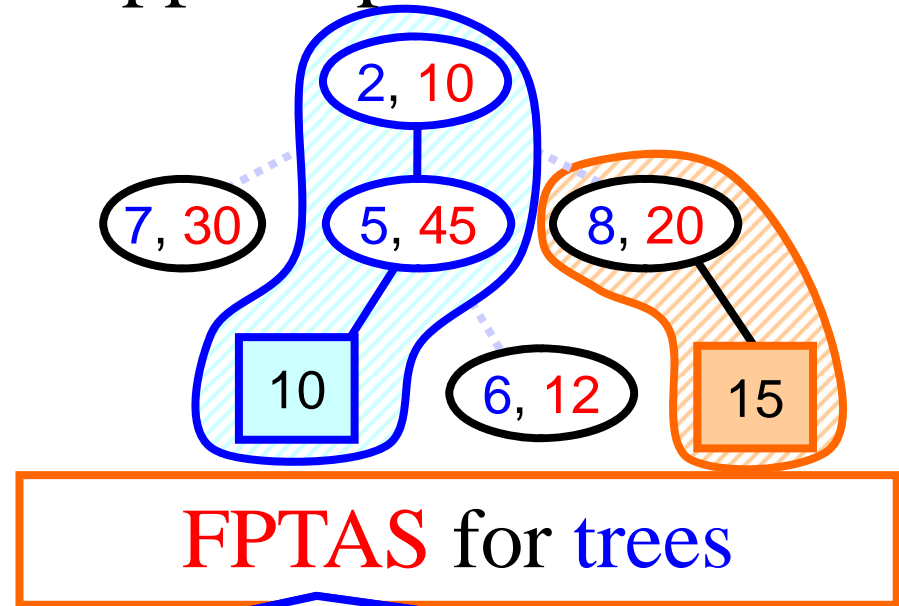
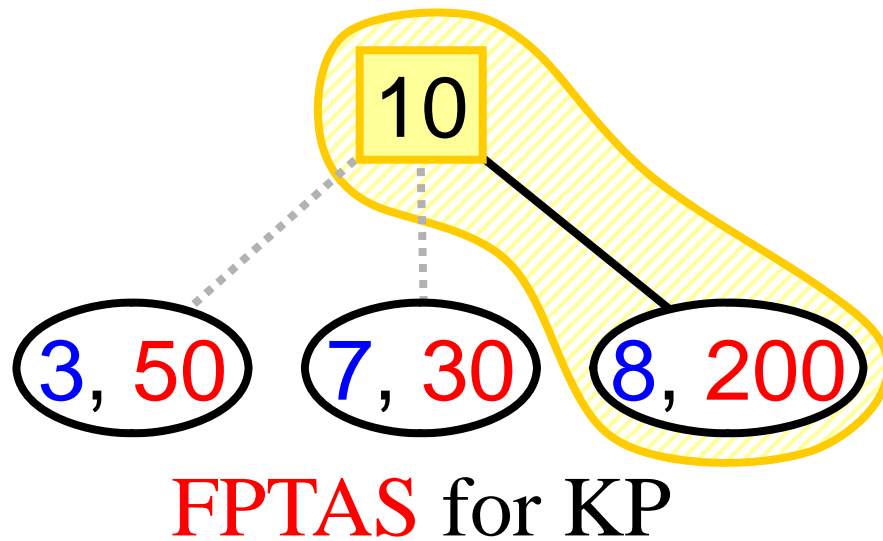
[Ibarra and Kim '75]



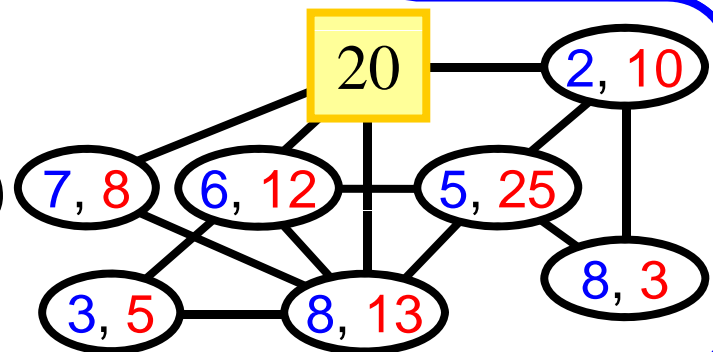
FPTAS for trees

# Variant (Generalization of Knapsack Problem)

find a partition which maximizes **sum of profits** of all demand vertices that are supplied power.

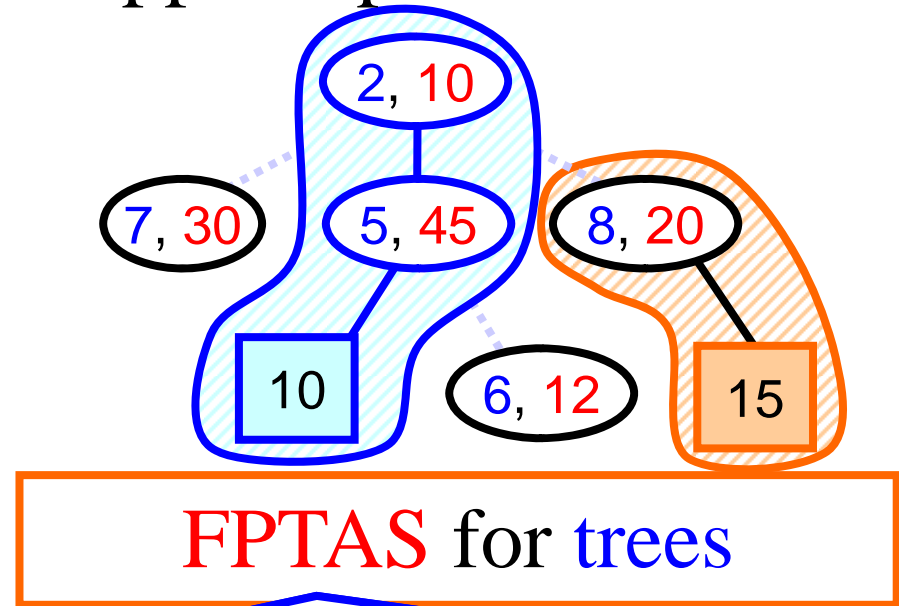
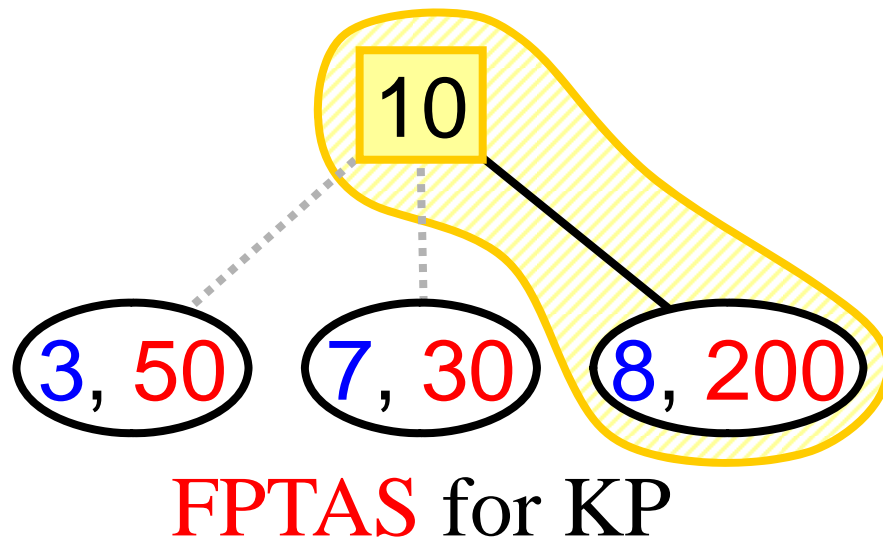


extendable to **partial  $k$ -trees**  
(graphs with bounded treewidth)  
if there is **exactly one supply**

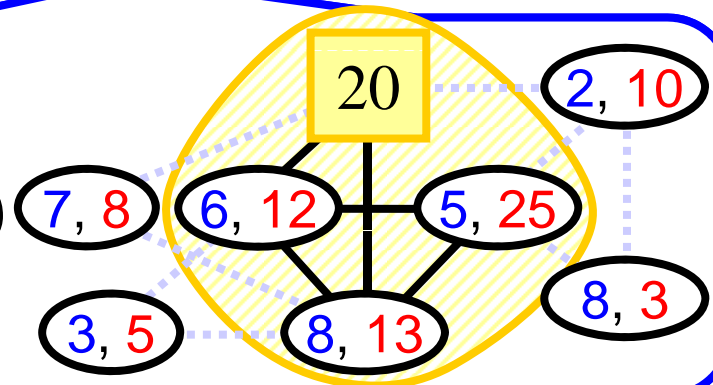


# Variant (Generalization of Knapsack Problem)

find a partition which maximizes **sum of profits** of all demand vertices that are supplied power.



extendable to **partial  $k$ -trees**  
(graphs with bounded treewidth)  
if there is **exactly one supply**



Thank You!



# Series-Parallel Graphs (recursively)

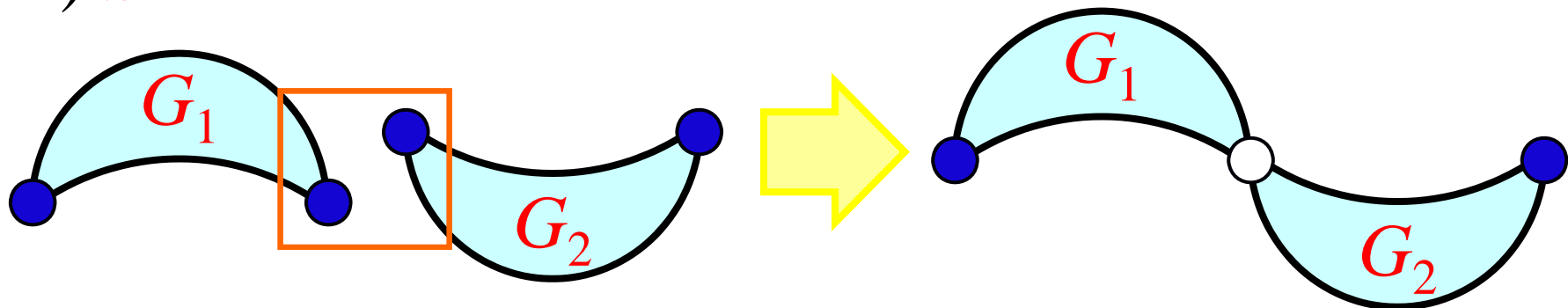
---

(1) A single edge is a SP graph.

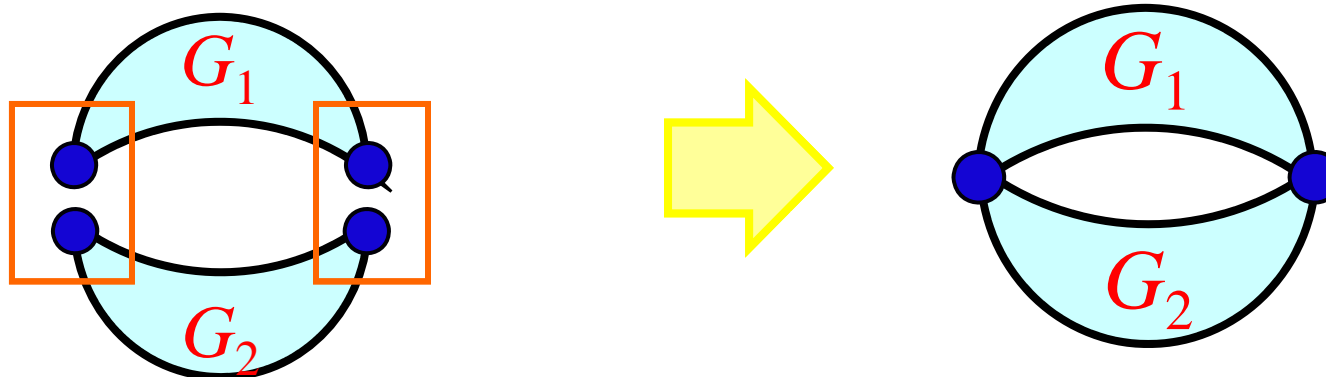


● :terminal

(2a) **Series** connection

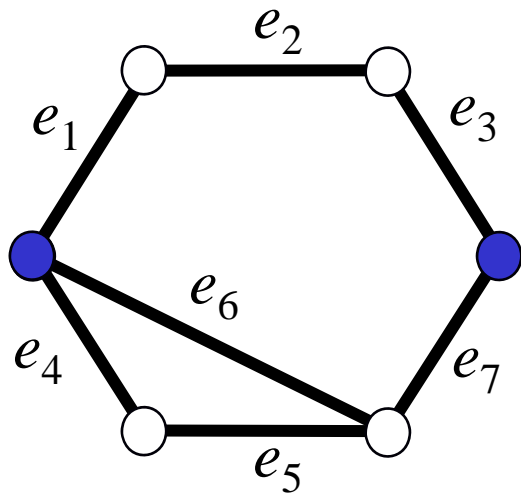


(2b) **Parallel** connection

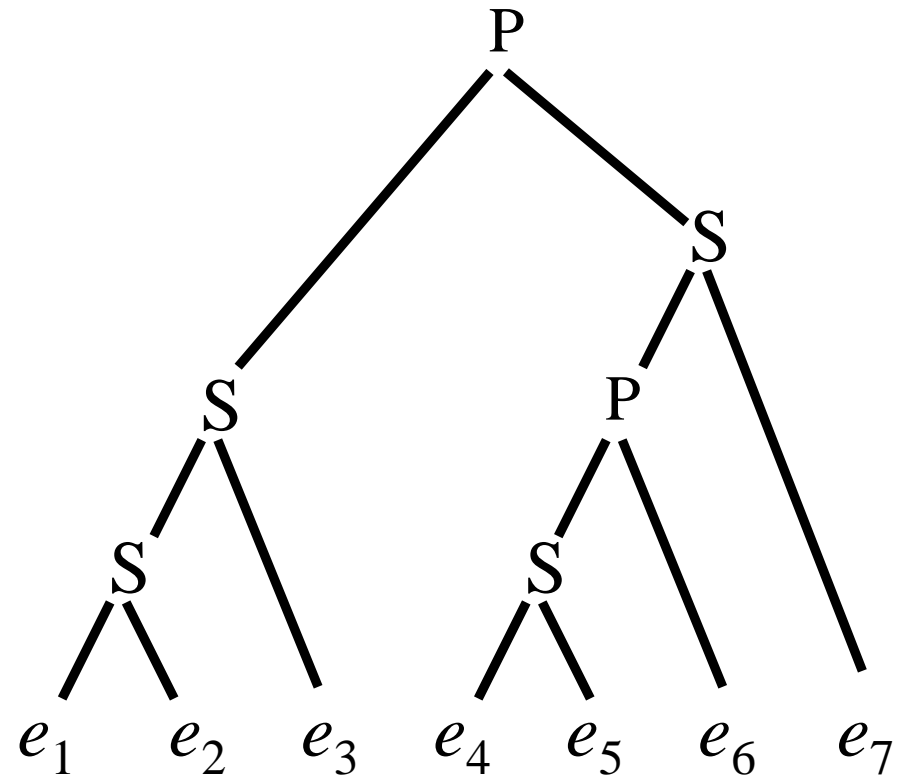


# SP Graph & Decomposition Tree

---



● :terminal



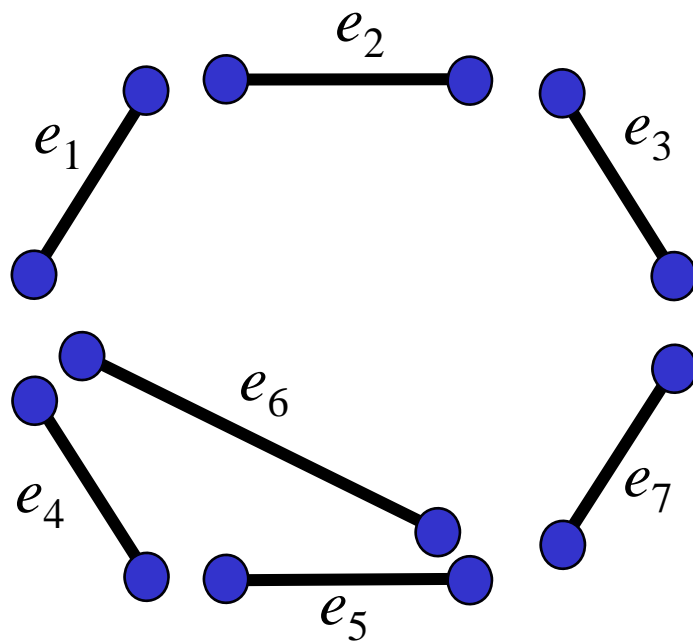
leaves

Decomposition tree



# SP Graph & Decomposition Tree

---



● :terminal

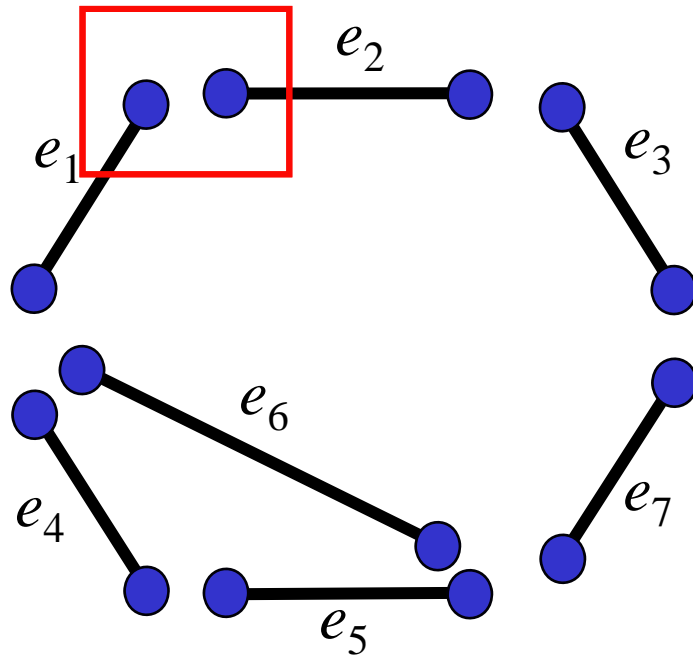
$e_1$     $e_2$     $e_3$     $e_4$     $e_5$     $e_6$     $e_7$

leaves

Decomposition tree

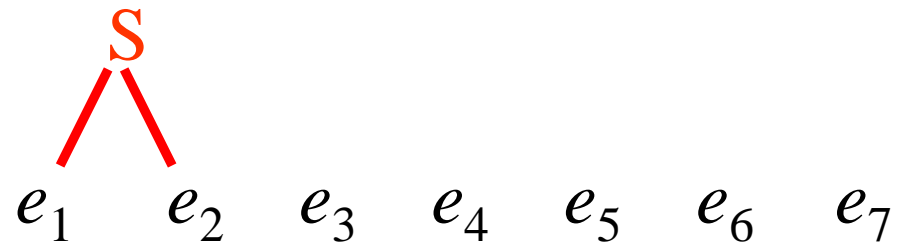
# SP Graph & Decomposition Tree

---



Series connection

● :terminal

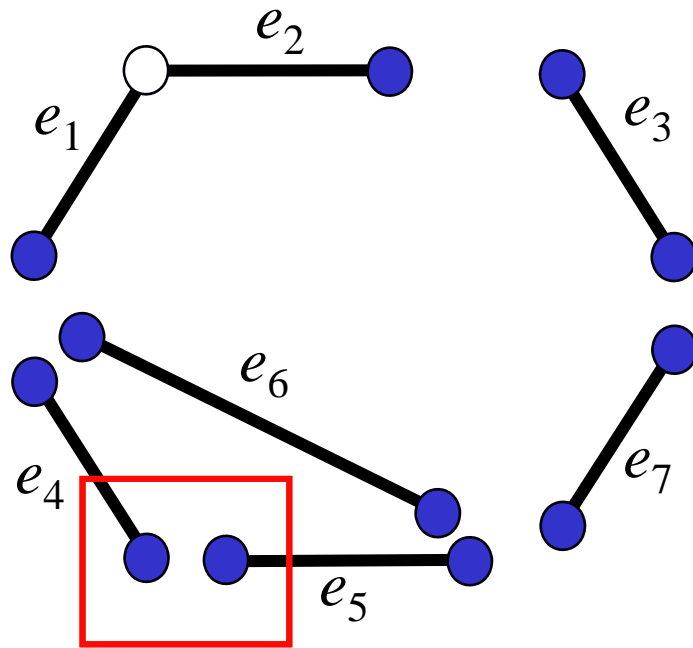


leaves

Decomposition tree

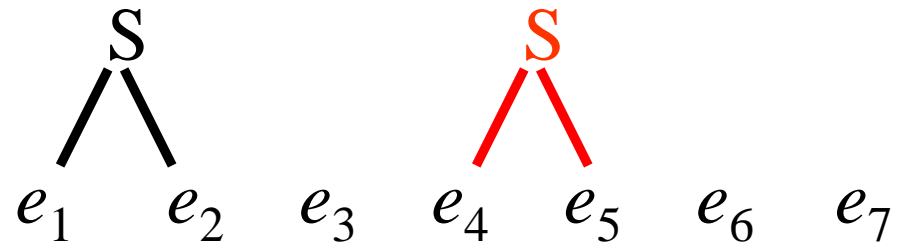
# SP Graph & Decomposition Tree

---



Series connection

● :terminal

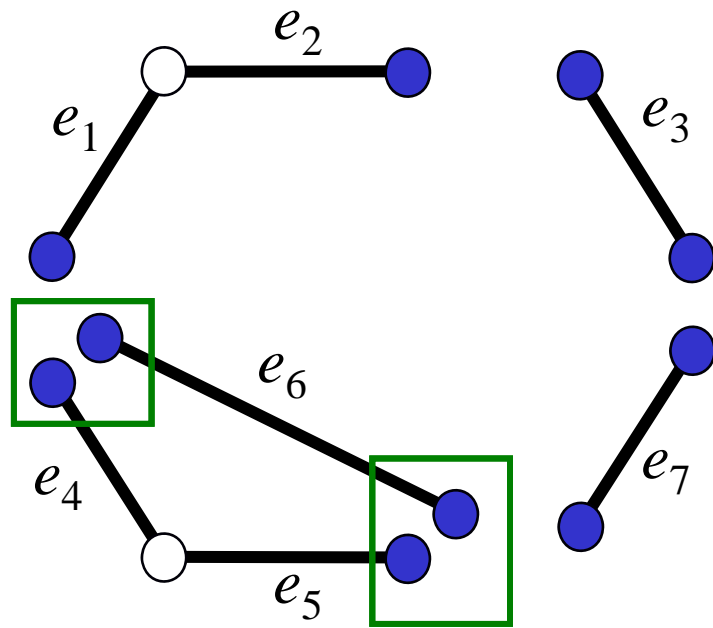


leaves

Decomposition tree

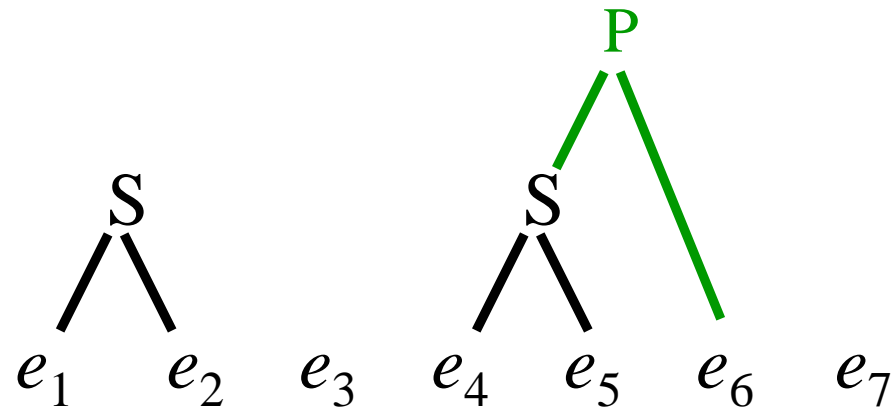
# SP Graph & Decomposition Tree

---



Parallel connection

● :terminal

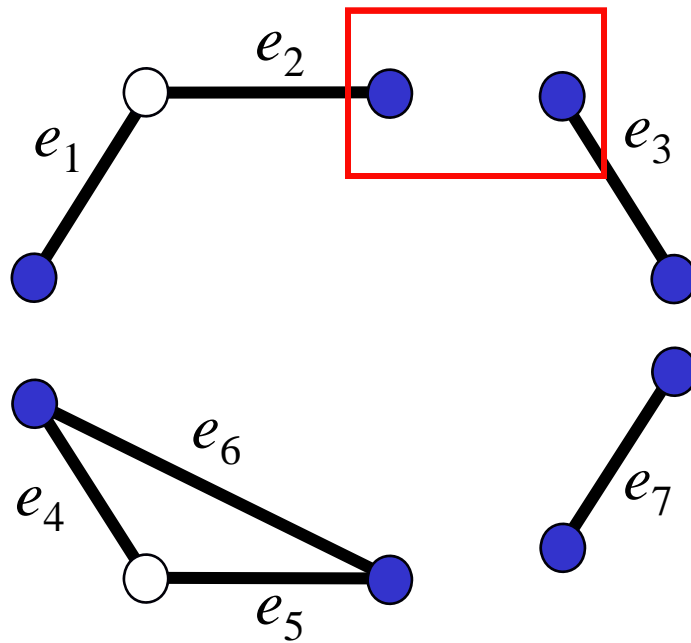


leaves

Decomposition tree

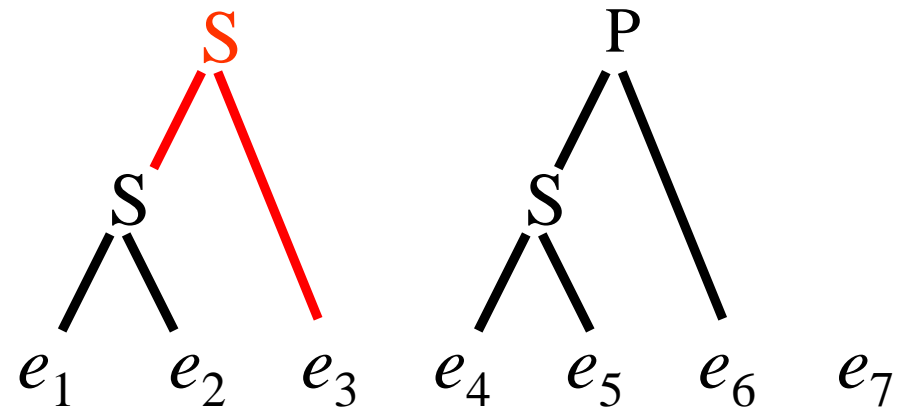
# SP Graph & Decomposition Tree

---



Series connection

● :terminal

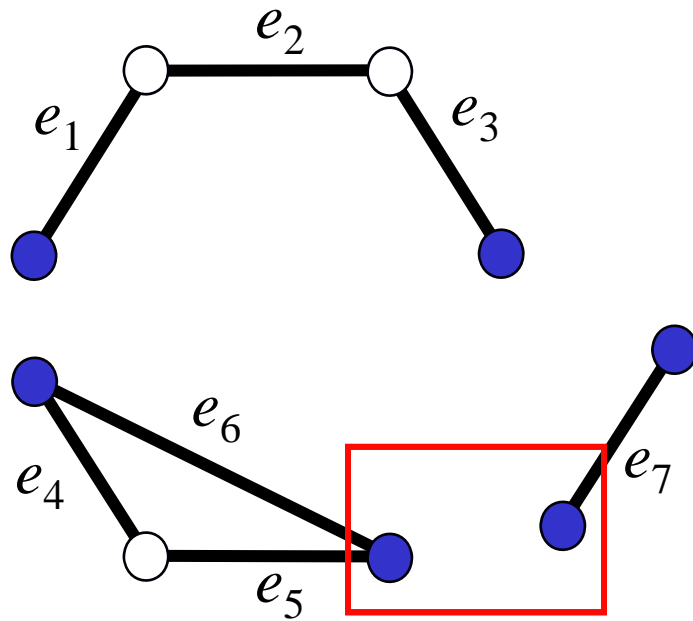


leaves

Decomposition tree

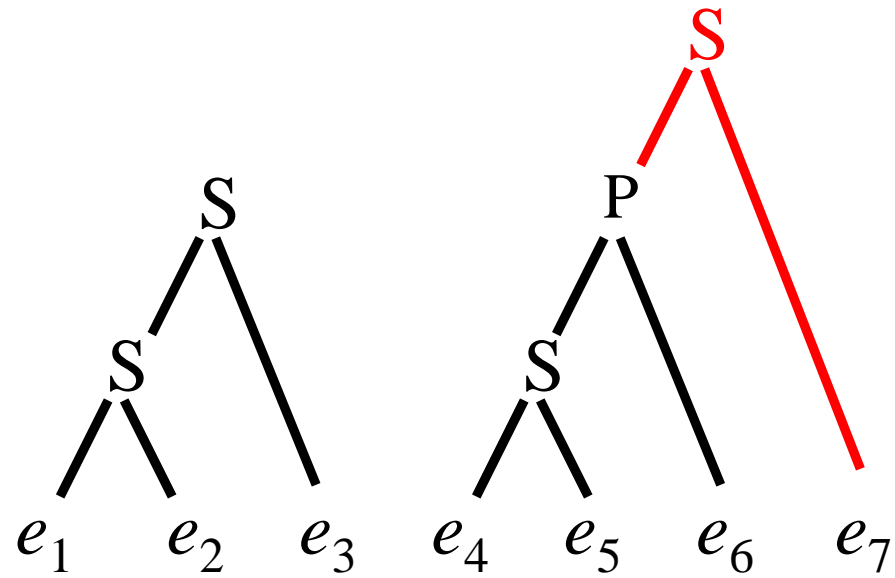
# SP Graph & Decomposition Tree

---



Series connection

● :terminal

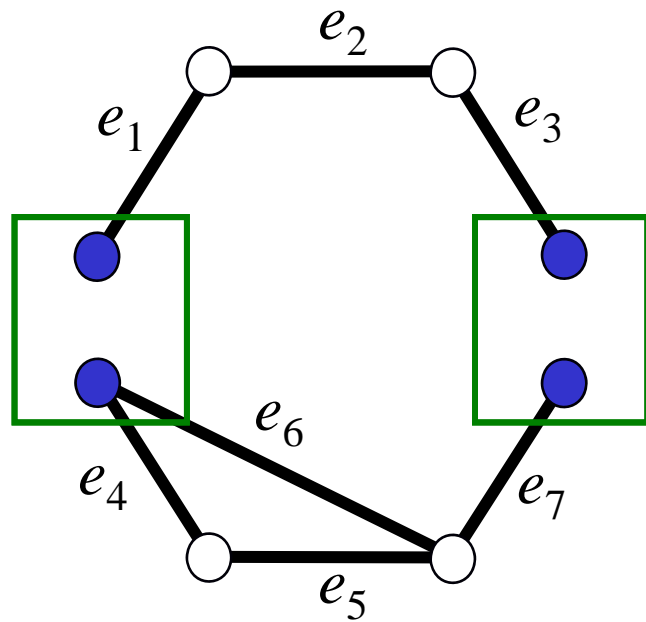


leaves

Decomposition tree

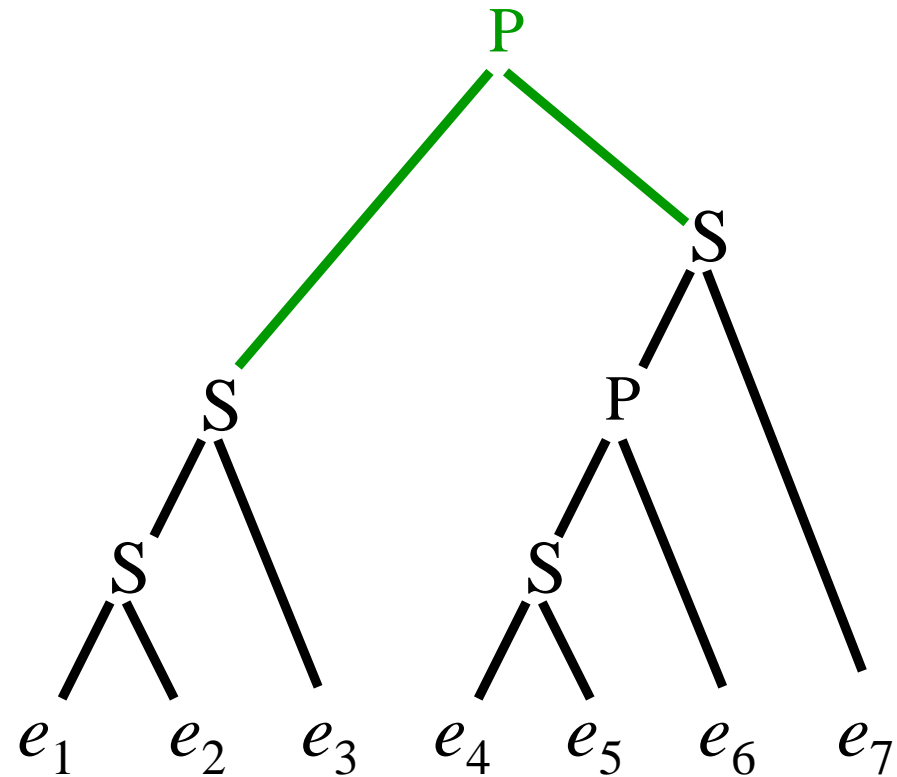
# SP Graph & Decomposition Tree

---



Parallel connection

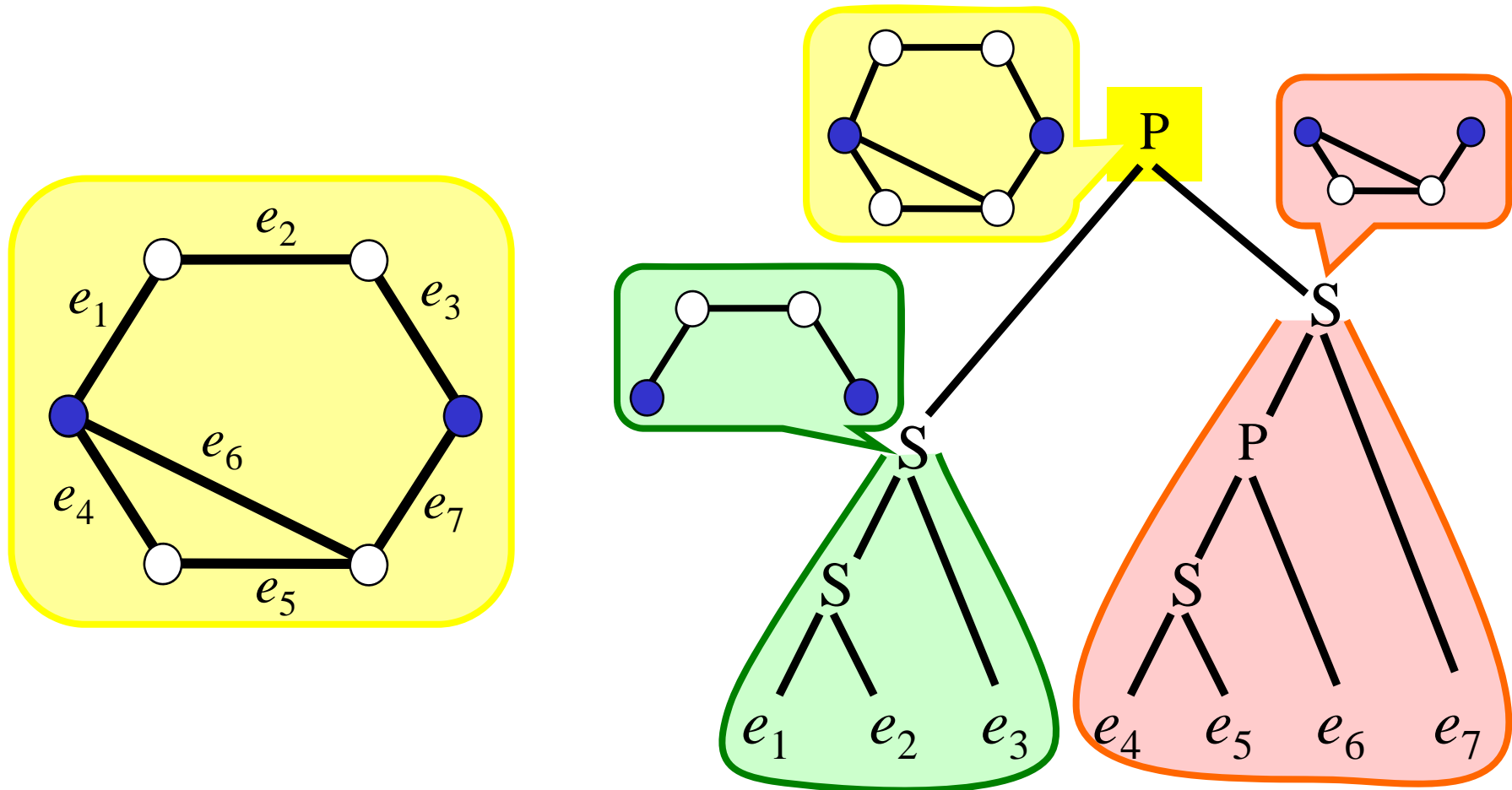
● :terminal



leaves

Decomposition tree

# SP Graph & Decomposition Tree



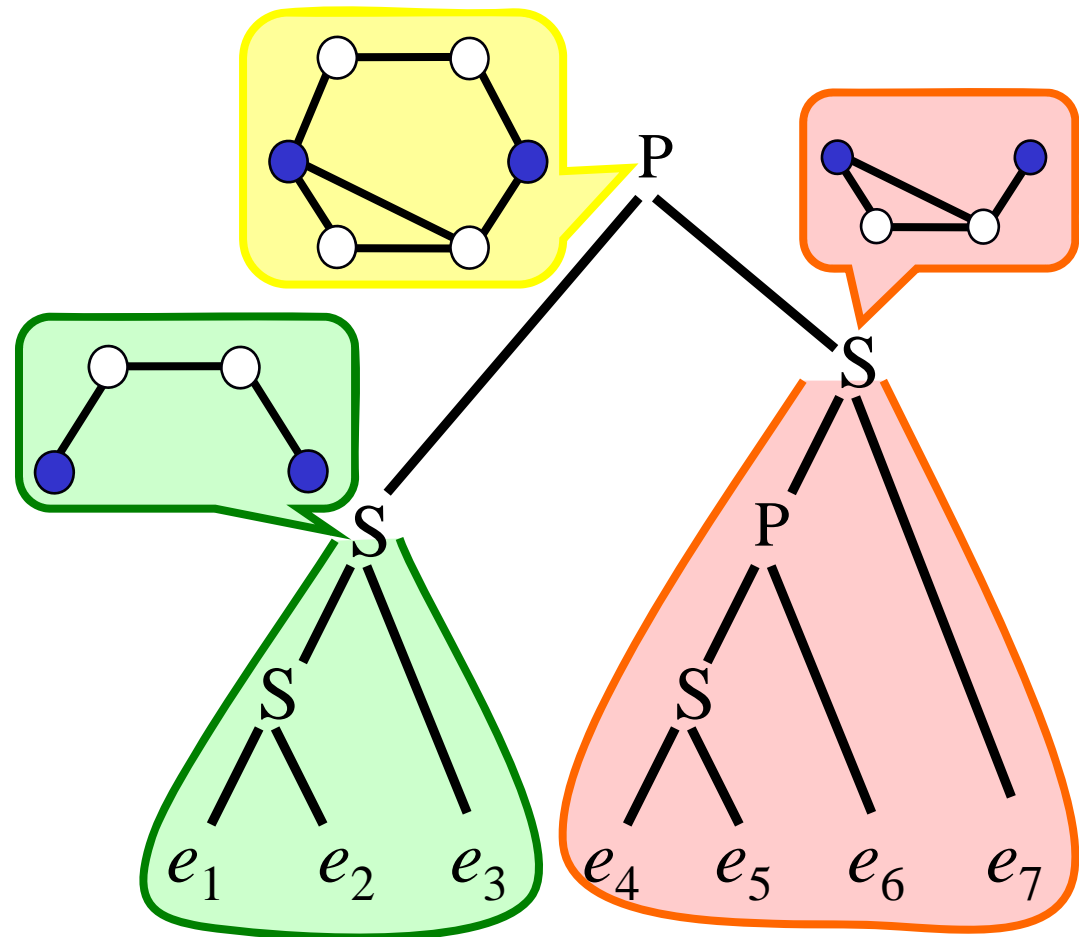
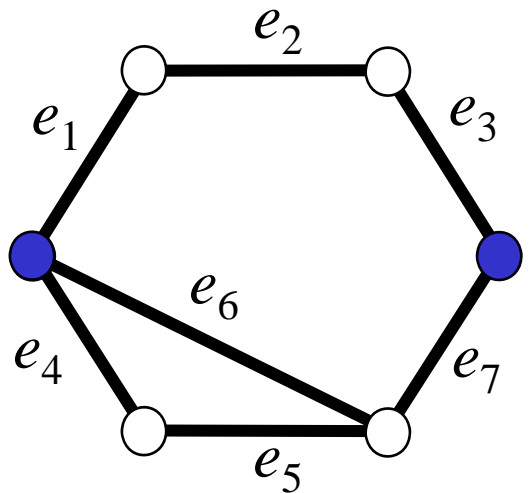
● :terminal

leaves  
Decomposition tree



# SP Graph & Decomposition Tree

DP algorithm



leaves

Decomposition tree

● :terminal

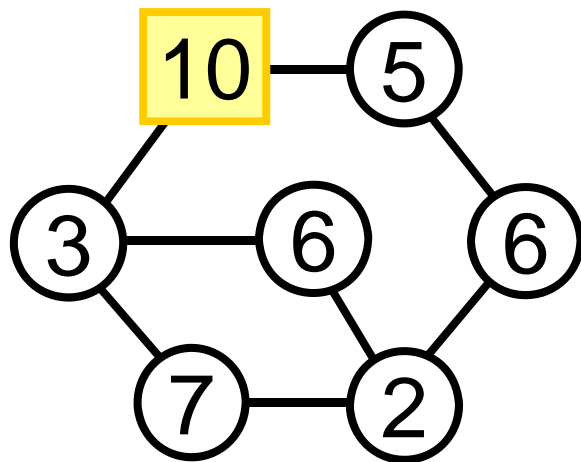
# Pseudo-Polynomial-Time Algorithm

Suppose that a SP graph has **exactly one supply**.

Max PP for such a SP graph can be solved in time  $O(F^2n)$  if the demands and the supply are integers.

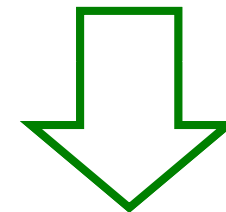
$F$  : sum of all demands

$n$ : # of vertices



max fulfillment  $\leq F$

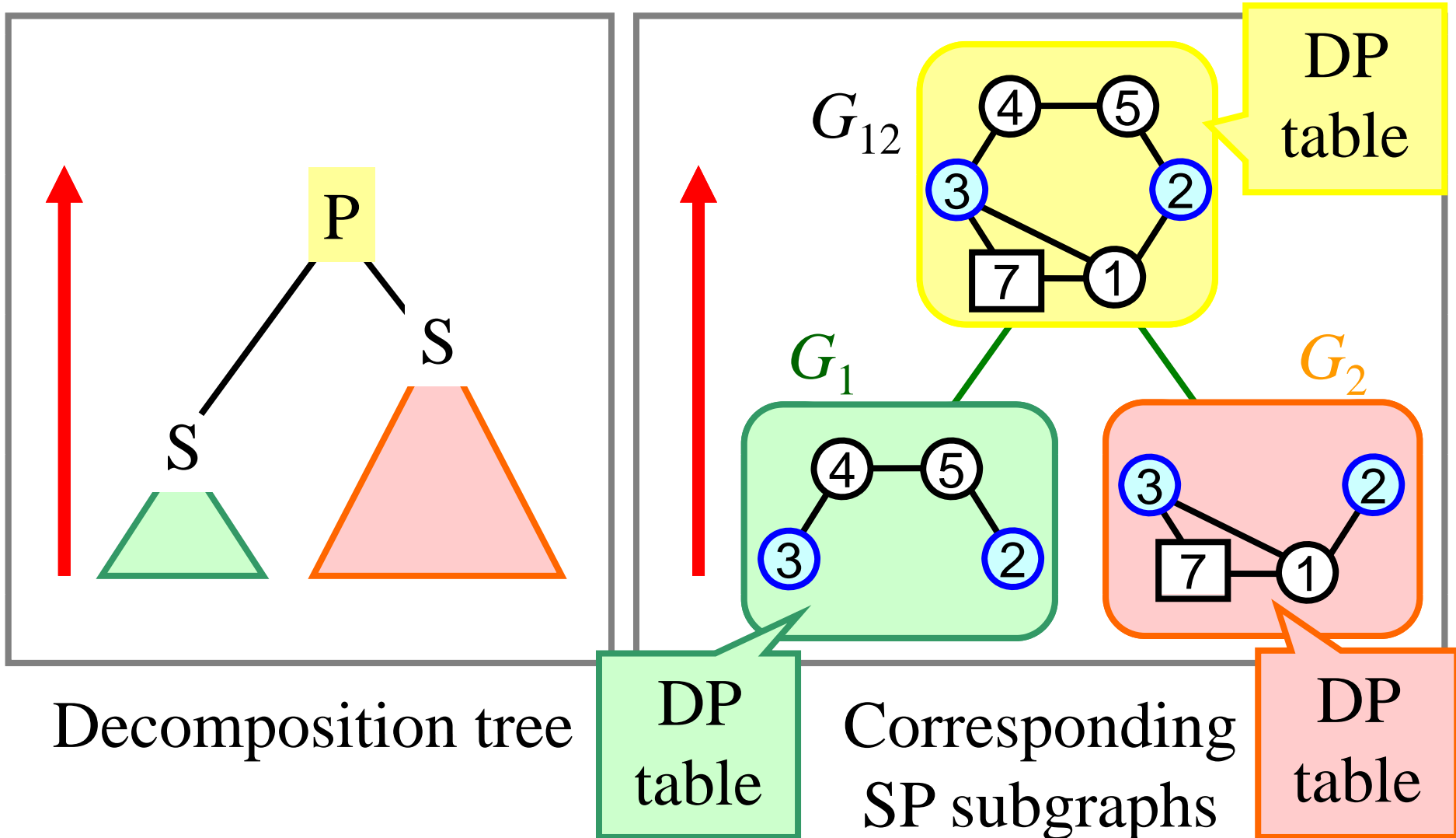
pseudo-polynomial-time algorithm



(2) FPTAS

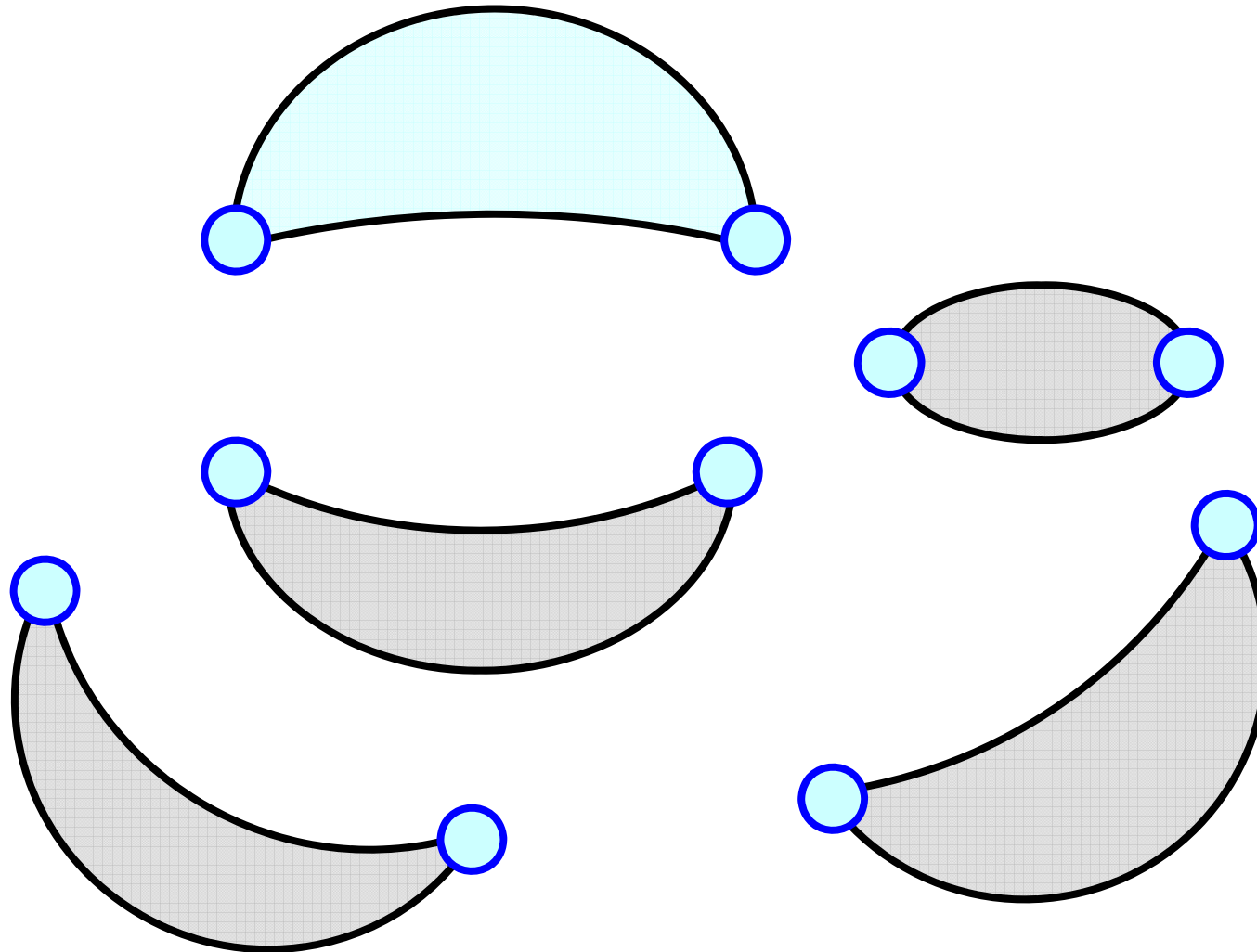
# Pseudo-Polynomial-Time Algorithm

What should we store in the table for Max PP ?



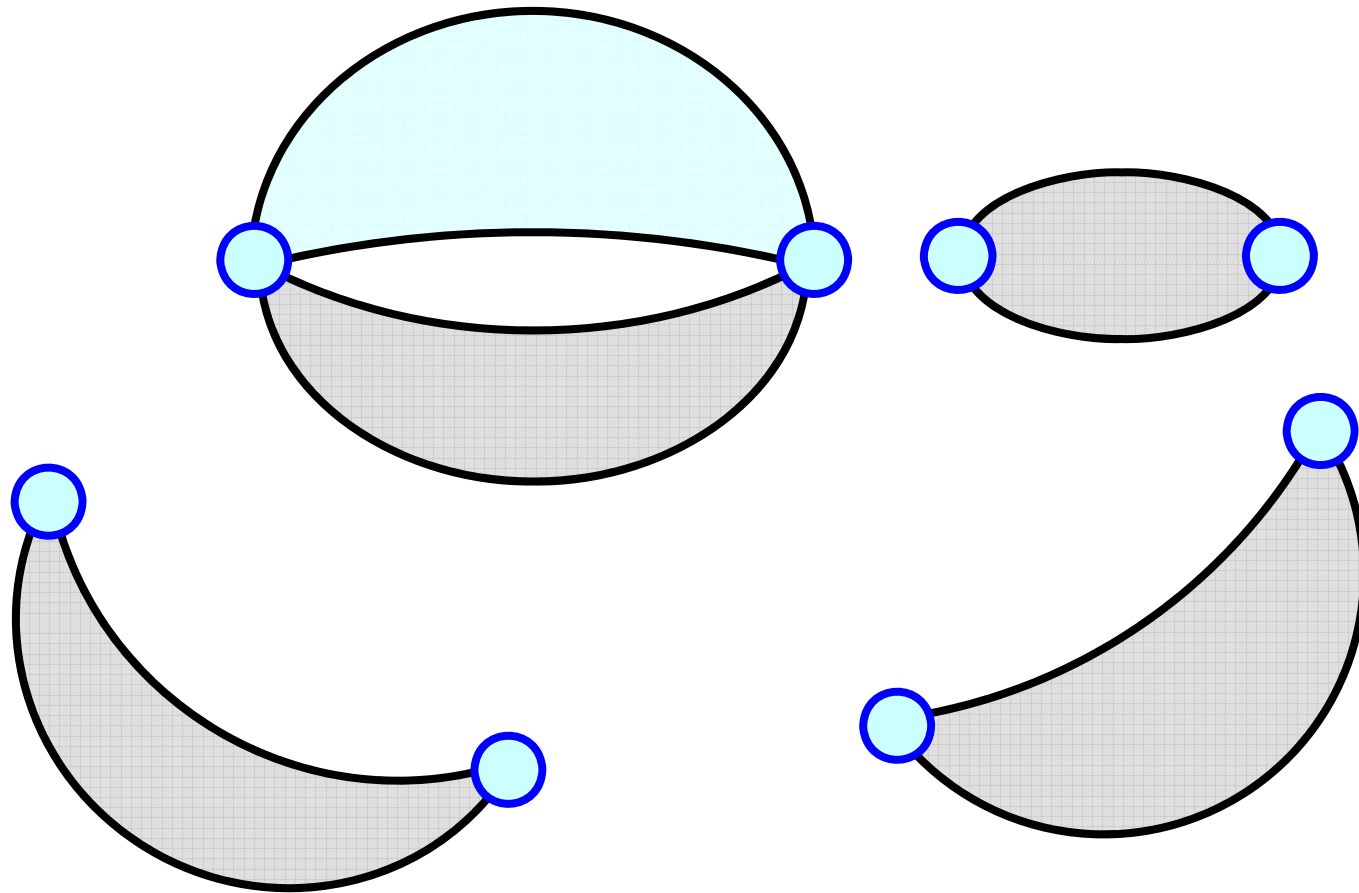
# Pseudo-Polynomial-Time Algorithm

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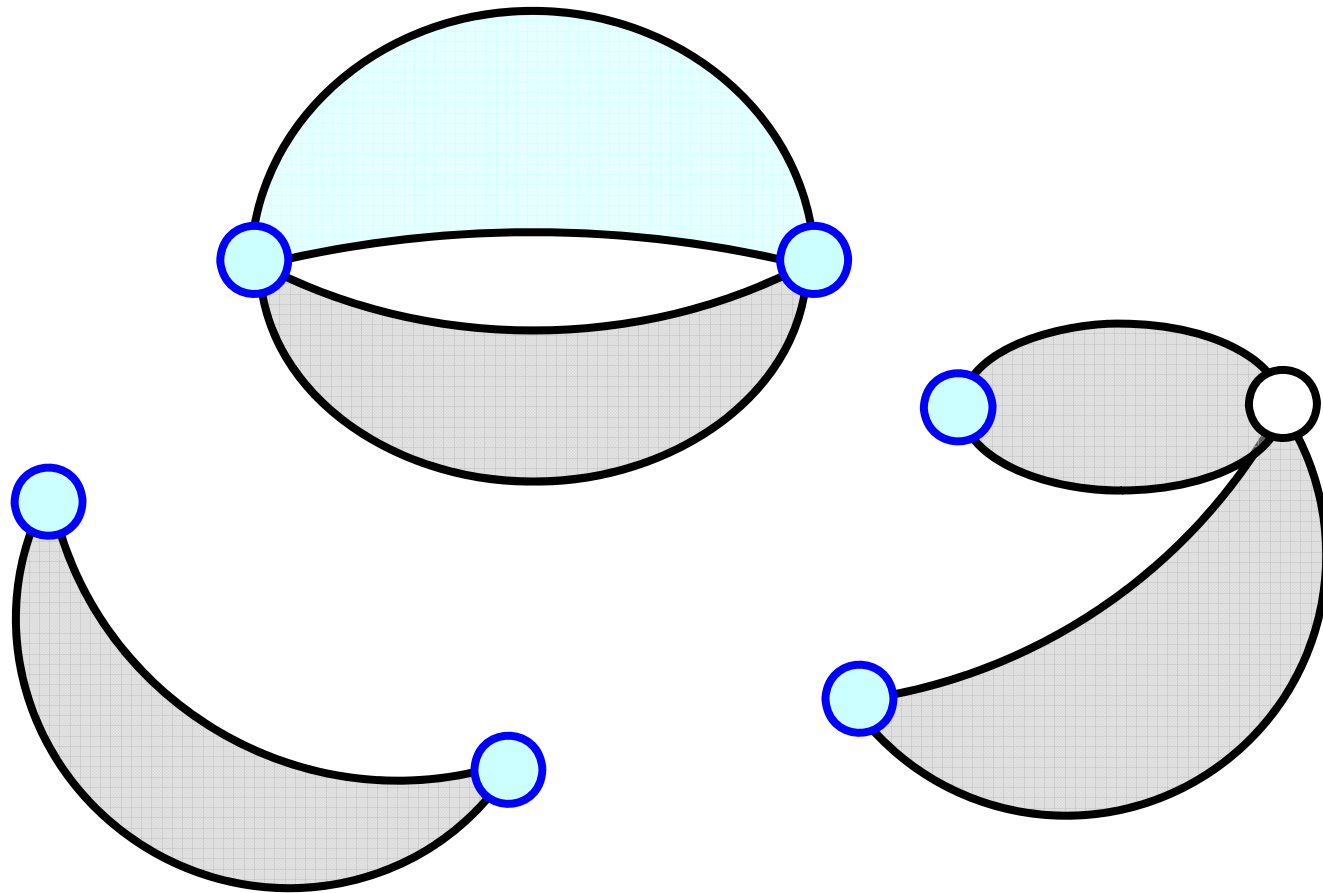
# Pseudo-Polynomial-Time Algorithm

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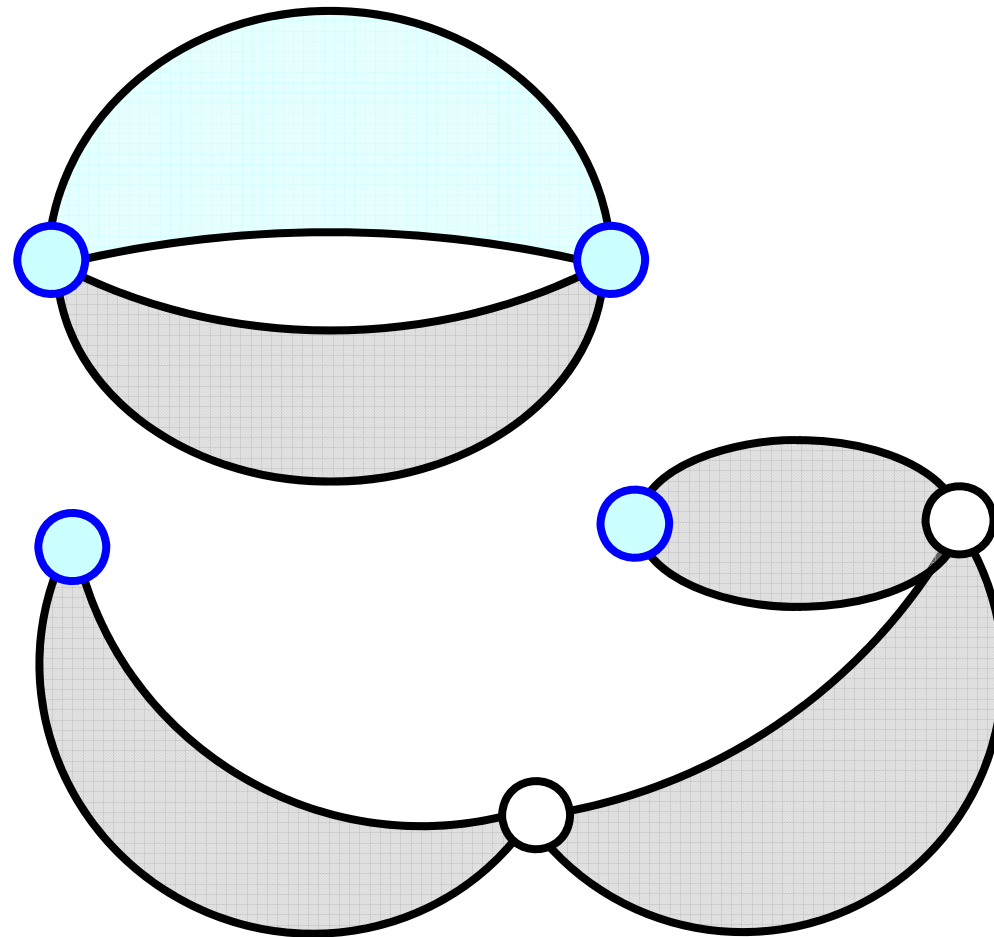
# Pseudo-Polynomial-Time Algorithm

---



# Pseudo-Polynomial-Time Algorithm

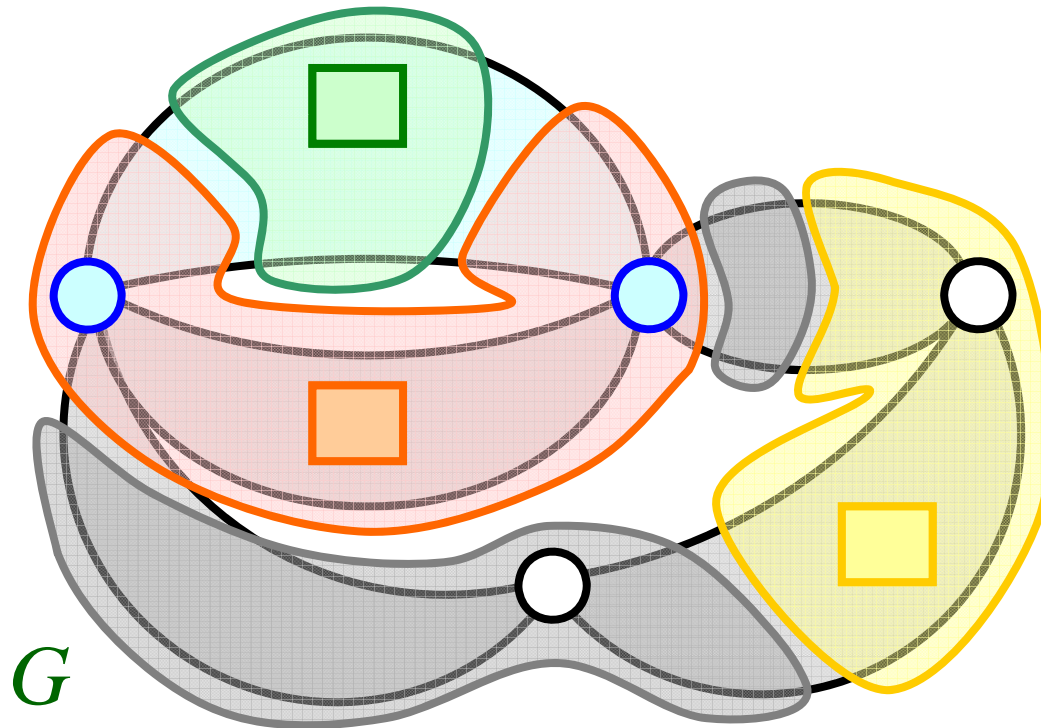
---



# Pseudo-Polynomial-Time Algorithm

---

If  $G$  had more than one supply,  
Max PP would find a **partition**.

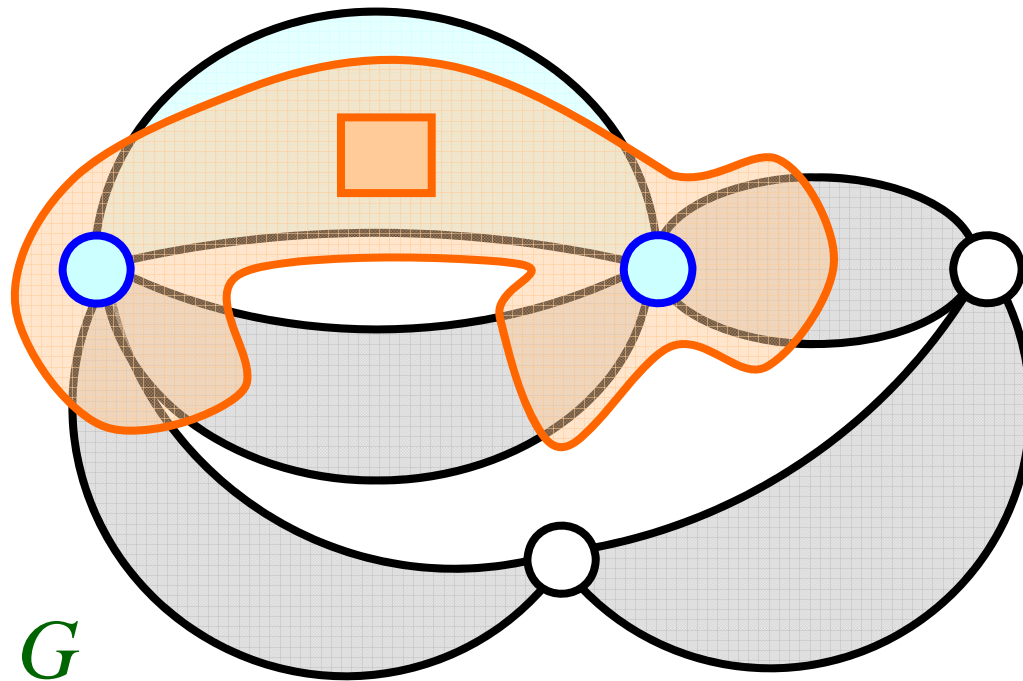




# Pseudo-Polynomial-Time Algorithm

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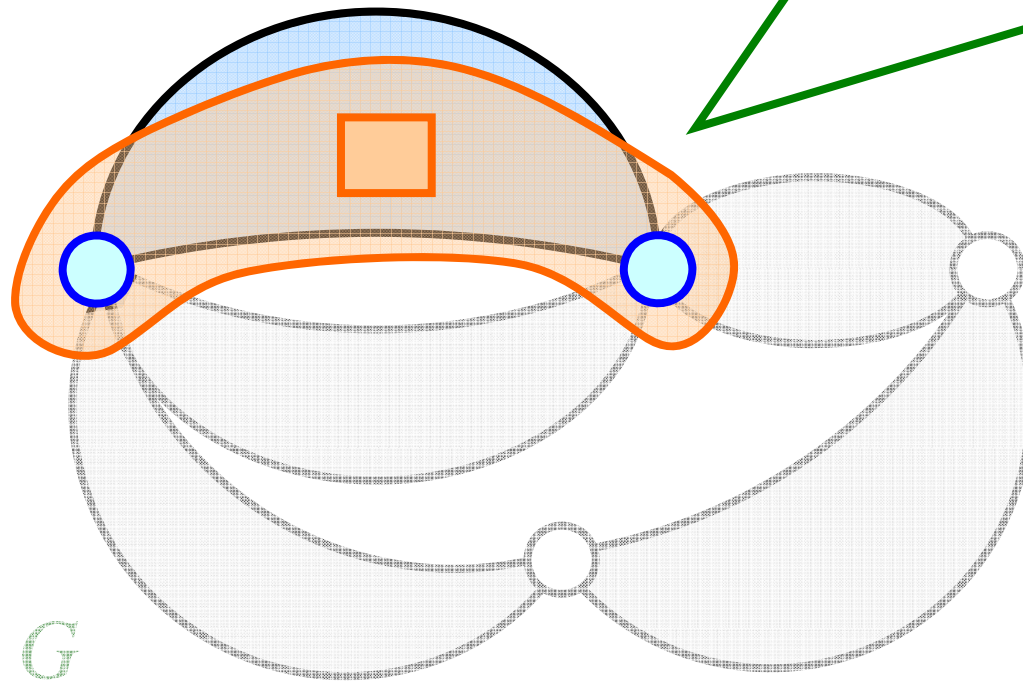
Max PP finds **only one component** with **the supply**



# Pseudo-Polynomial-Time Algorithm

---

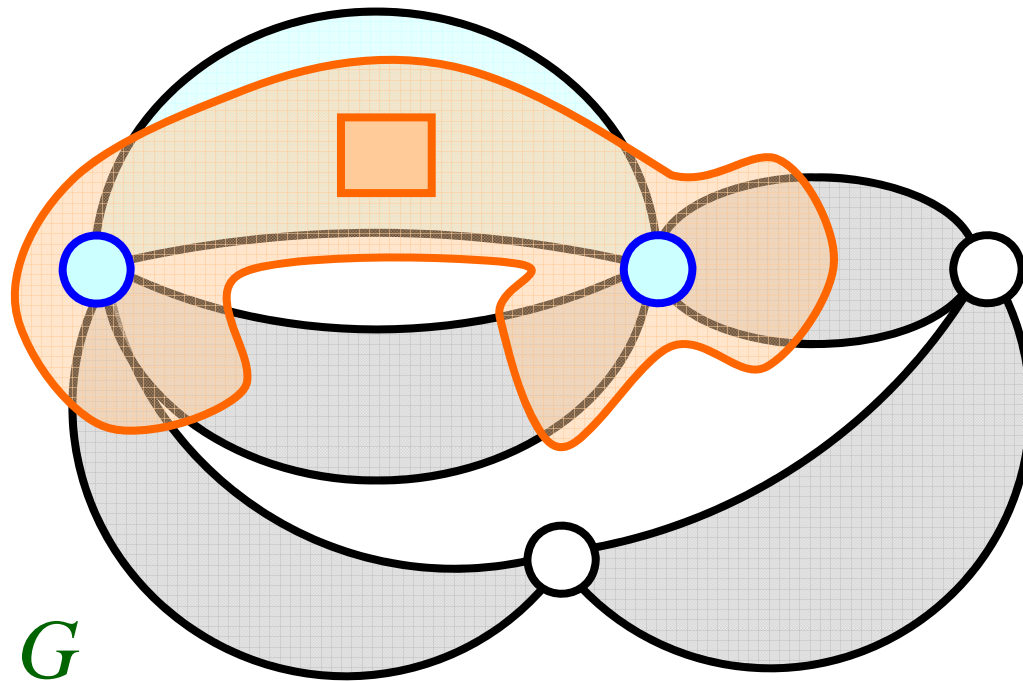
two terminals are supplied power and  
contained in same component



# Pseudo-Polynomial-Time Algorithm

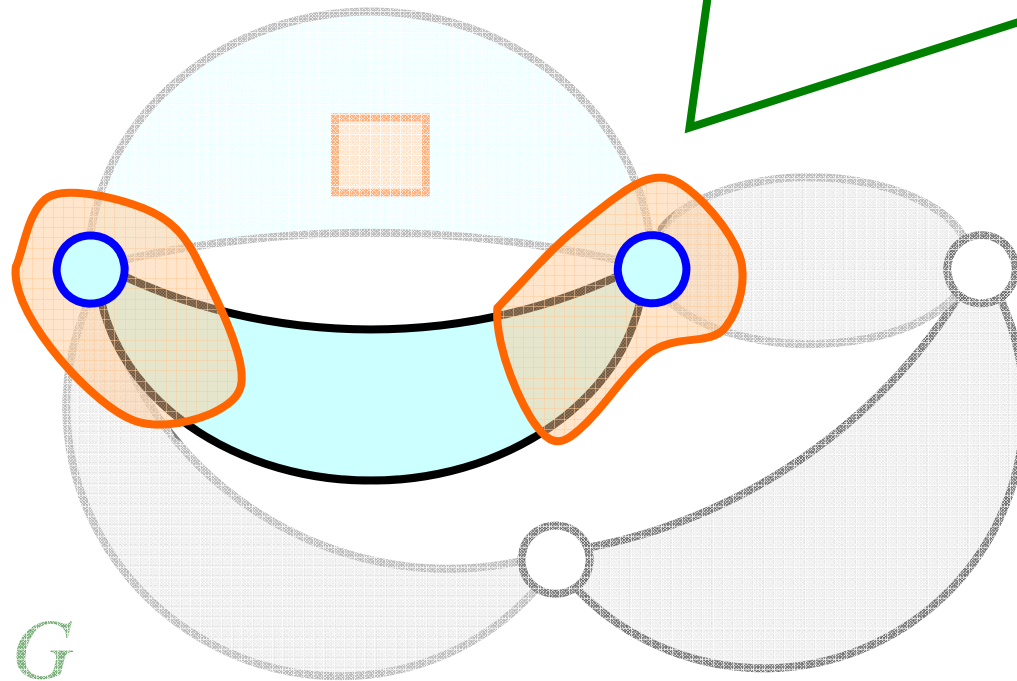
---

Max PP finds **only one component** with **the supply**



# Pseudo-Polynomial-Time Algorithm

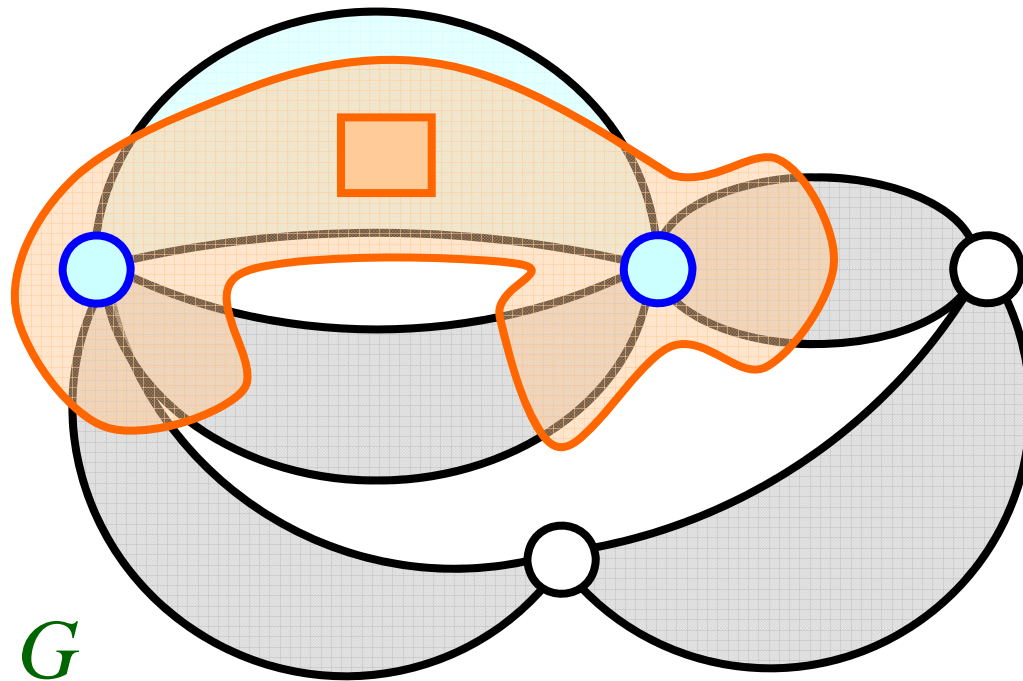
two terminals **will be supplied power**, but are contained in **different components**



# Pseudo-Polynomial-Time Algorithm

---

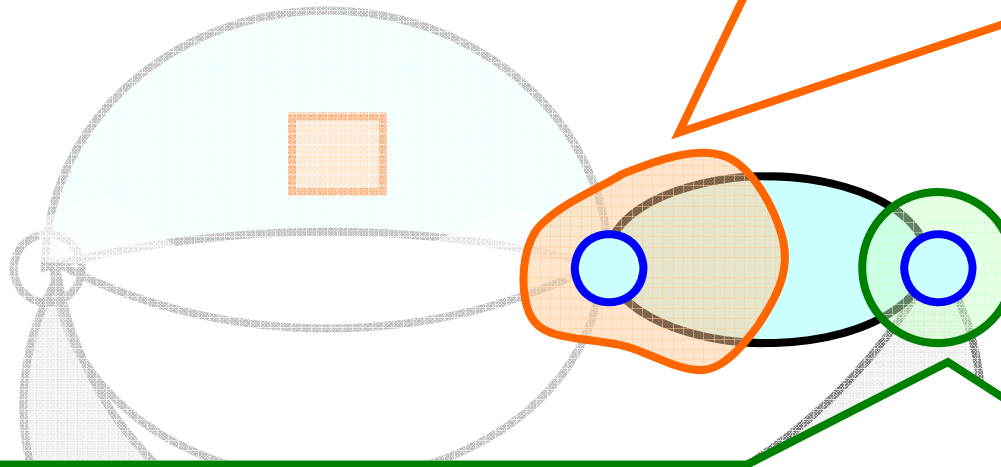
Max PP finds **only one component** with **the supply**



# Pseudo-Polynomial-Time Algorithm

---

this terminal will be supplied power

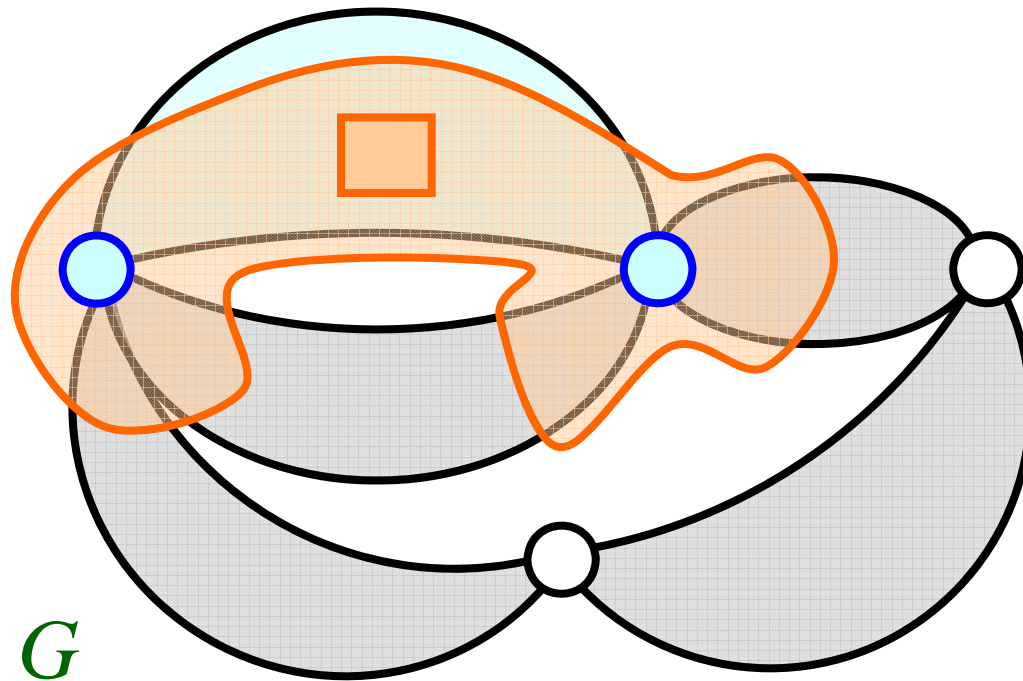


this terminal is never supplied power

# Pseudo-Polynomial-Time Algorithm

---

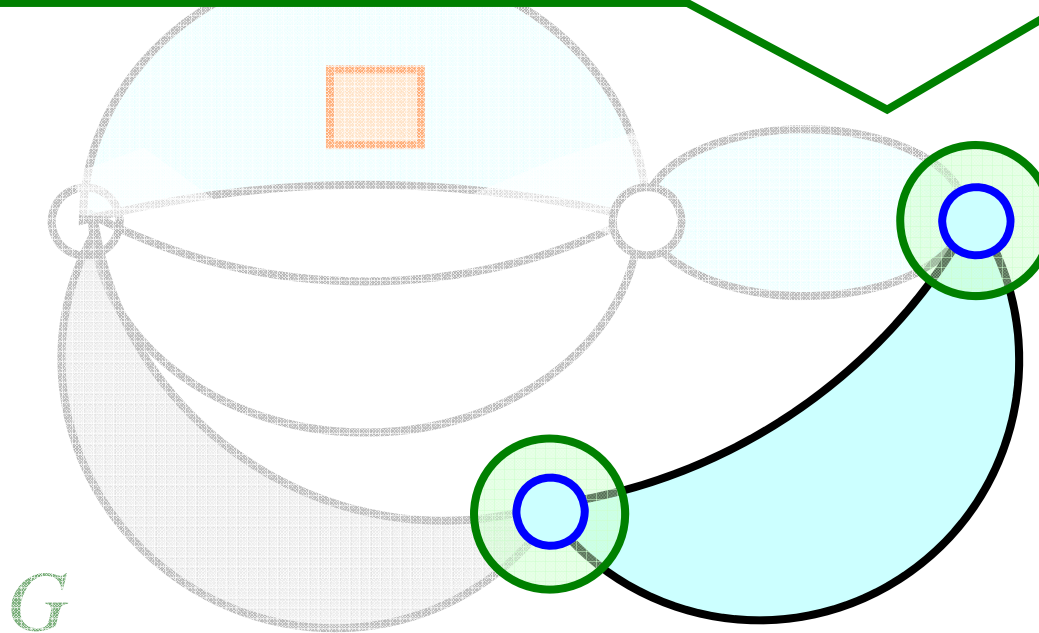
Max PP finds **only one component** with **the supply**



# Pseudo-Polynomial-Time Algorithm

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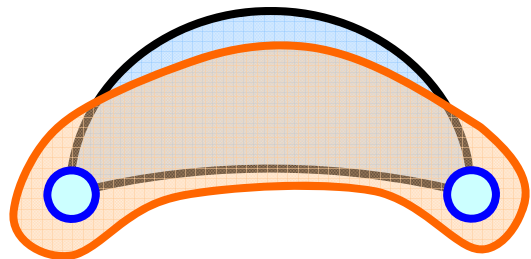
two terminals are never supplied power





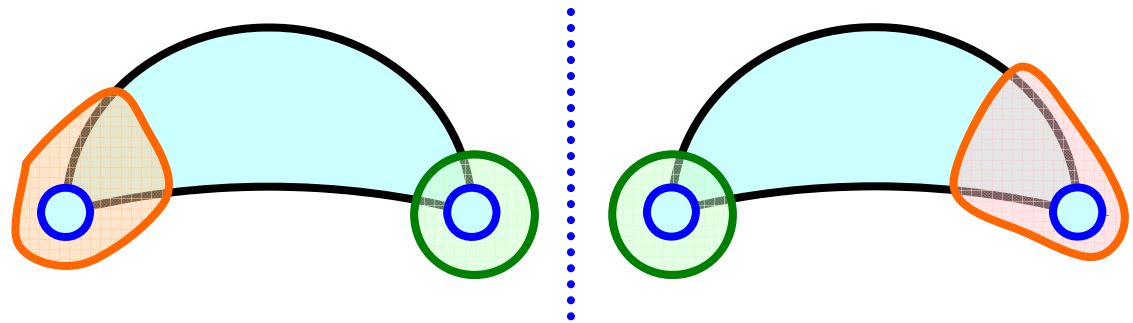
# Pseudo-Polynomial-Time Algorithm

both are/will be supplied power

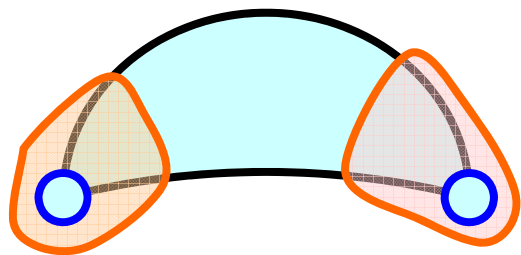


same component

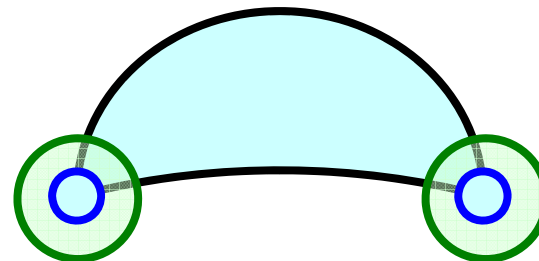
one is/will be supplied, but the other is never supplied



both are never supplied



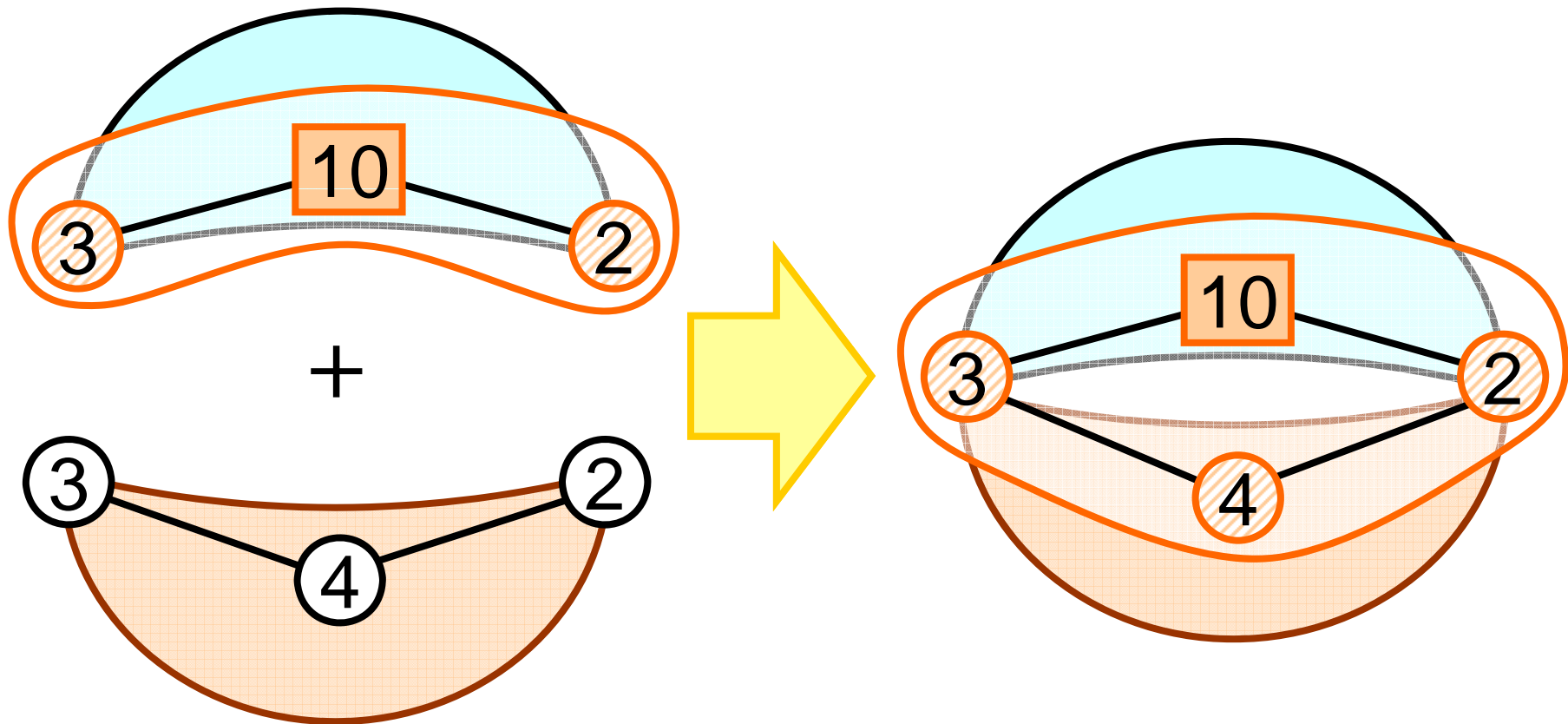
different components



# Pseudo-Polynomial-Time Algorithm

---

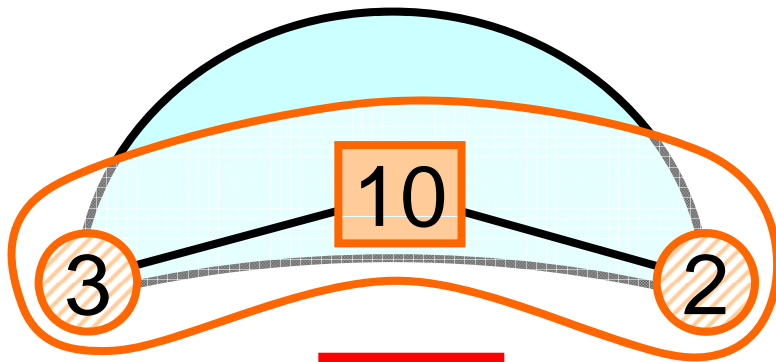
If the component has the **supply** vertex,  
then the component may have the “**marginal**” power.



# Pseudo-Polynomial-Time Algorithm

---

If the component has the **supply** vertex,  
then the component may have the “**marginal**” power.



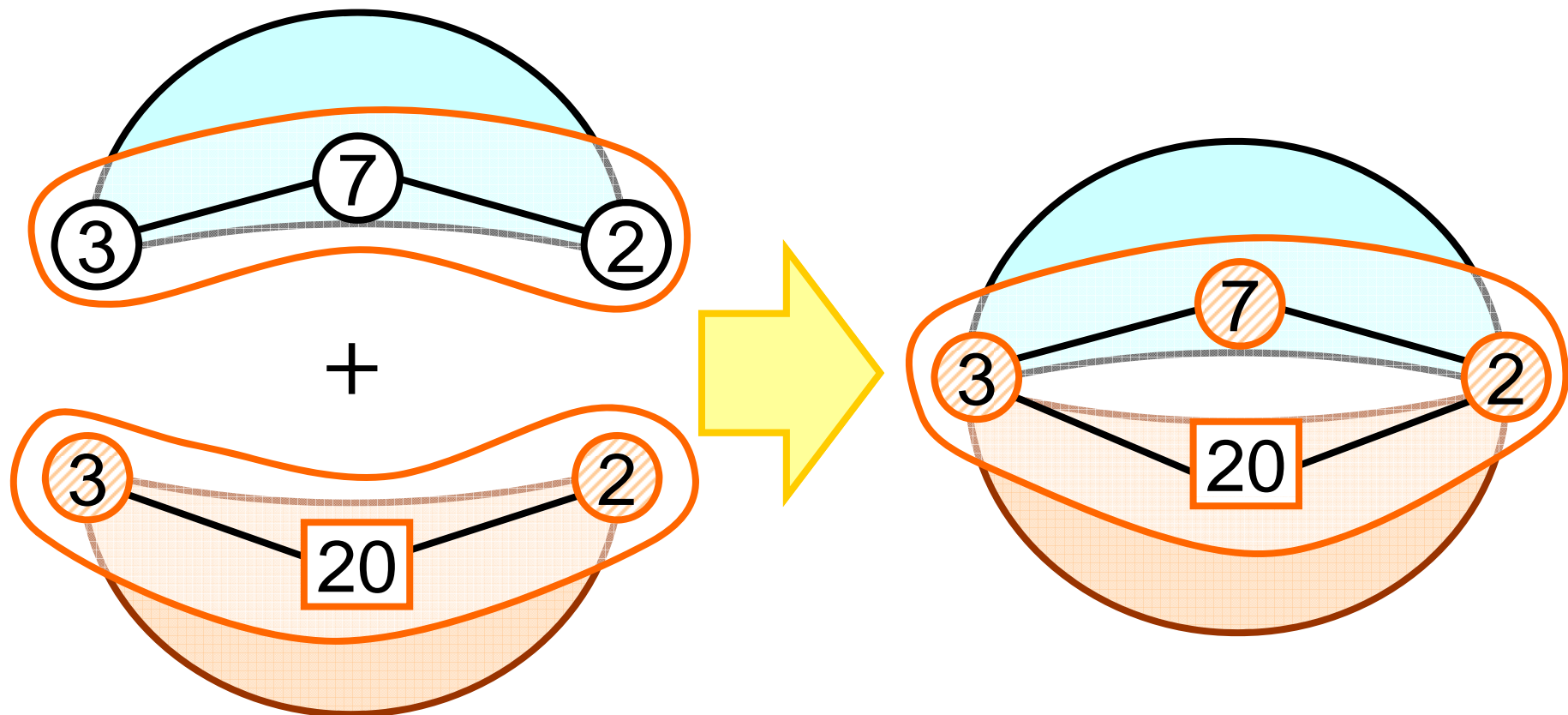
$$10 - (3+2) = 5$$

marginal power

# Pseudo-Polynomial-Time Algorithm

---

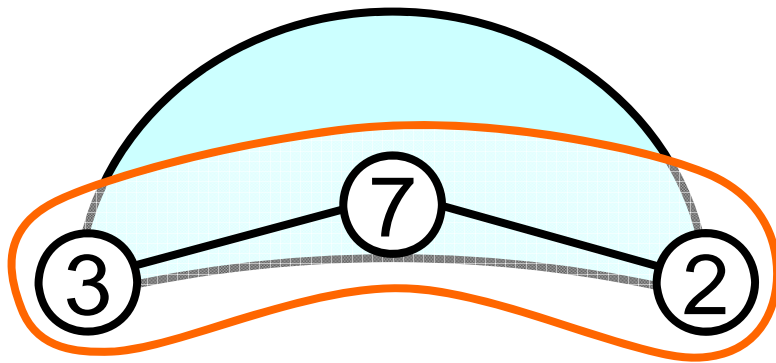
If the component has **no** supply vertex, the component may have the “deficient” power.



# Pseudo-Polynomial-Time Algorithm

---

If the component has **no** supply vertex, the component may have the “deficient” power.

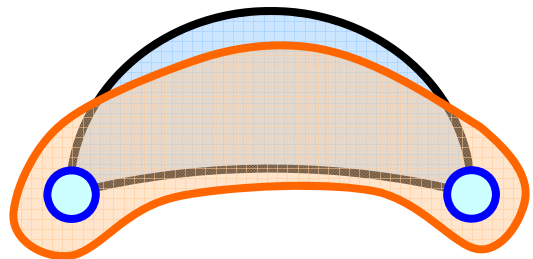


deficient power

$$3+7+2 = 12$$

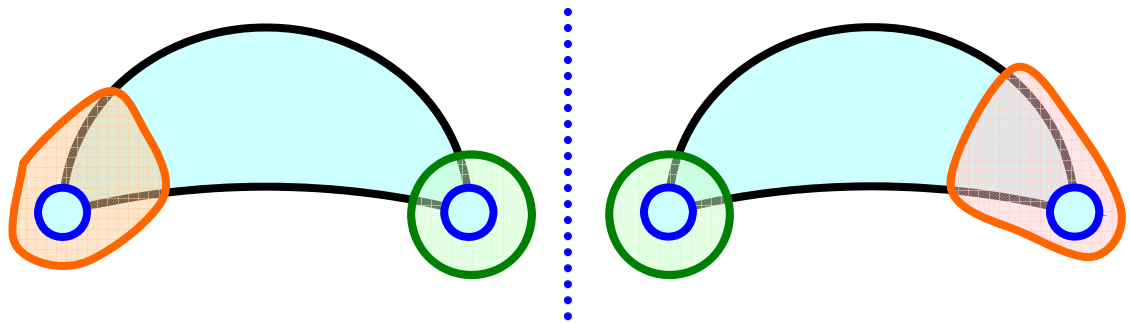
# Pseudo-Polynomial-Time Algorithm

both are/will be supplied power

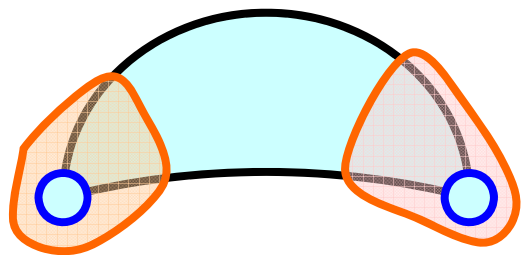


same component

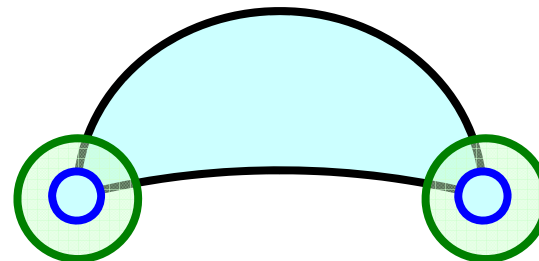
one is/will be supplied, but the other is never supplied



both are never supplied



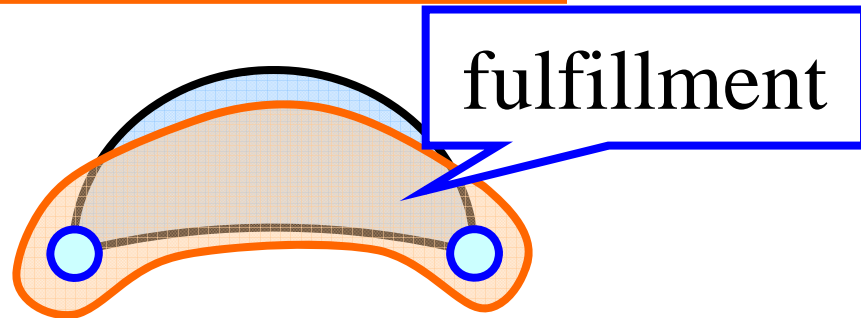
different components



# Pseudo-Polynomial-Time Algorithm

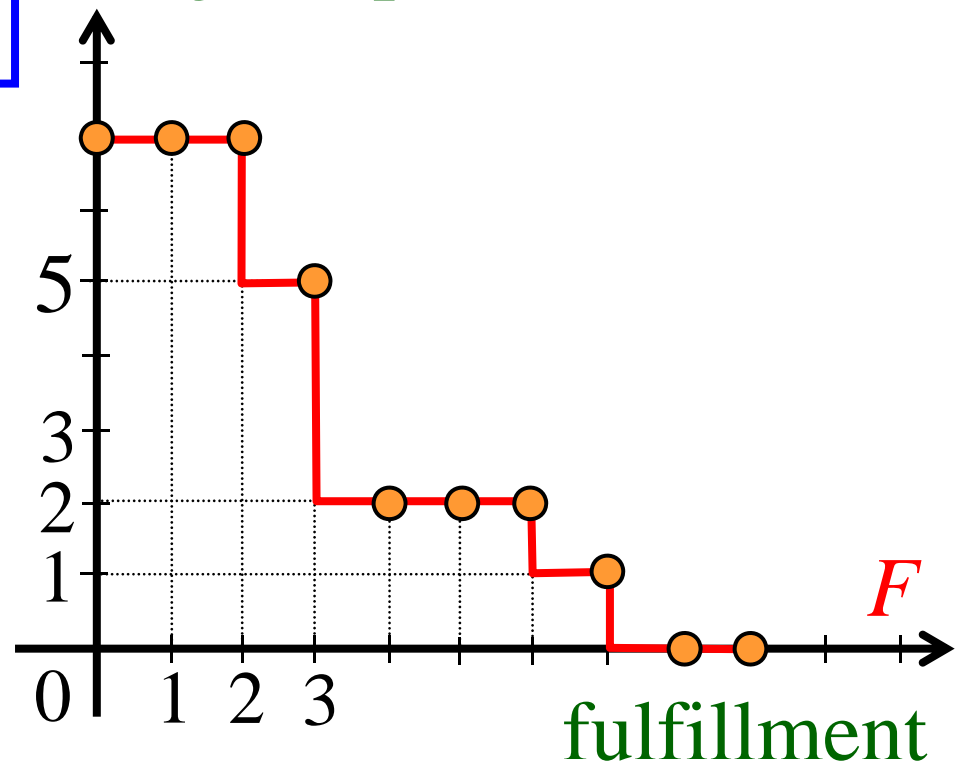
both are/will be  
supplied power

staircase, non-increasing



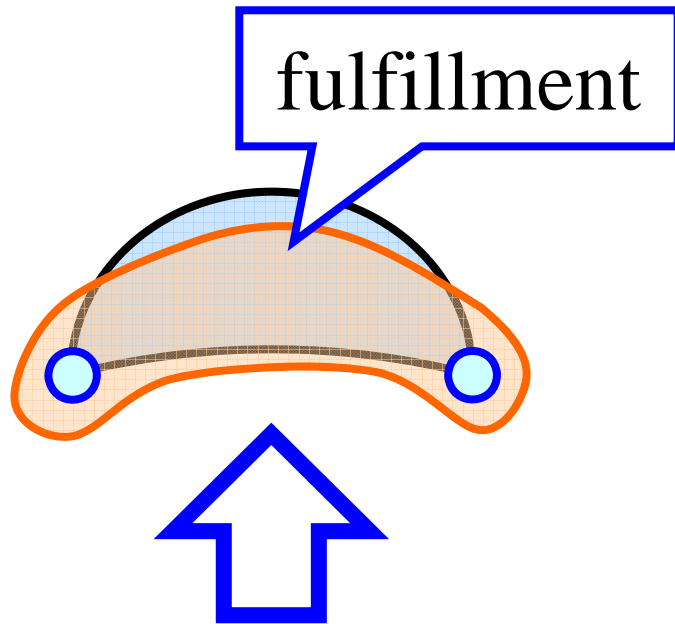
marginal power

marginal power



# Pseudo-Polynomial-Time Algorithm

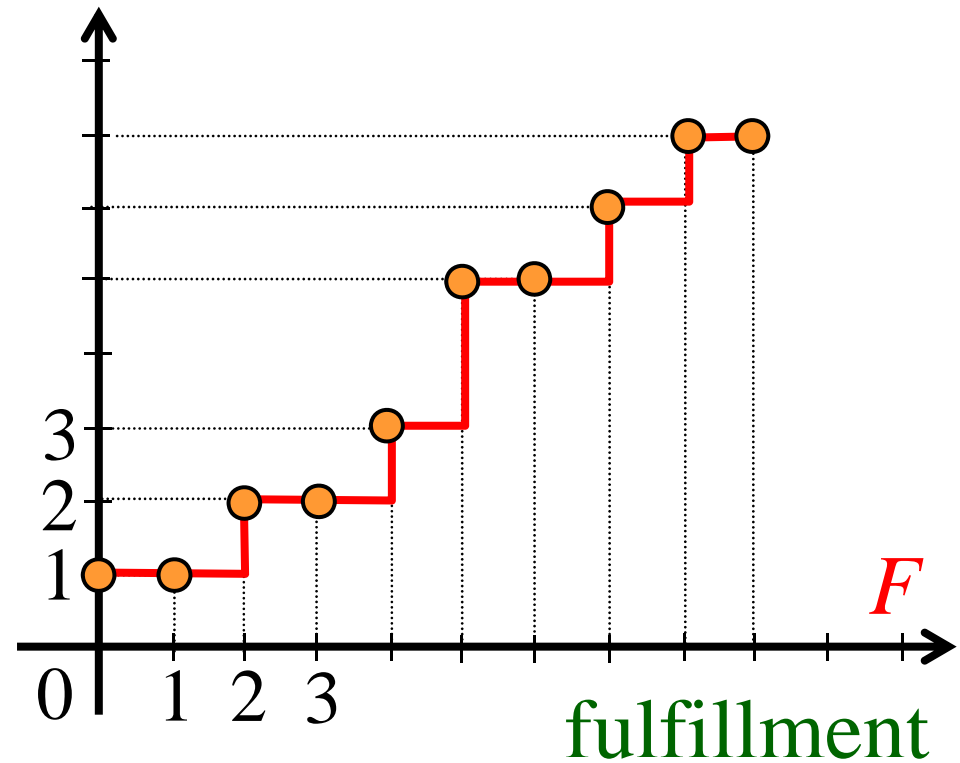
both are/will be supplied power



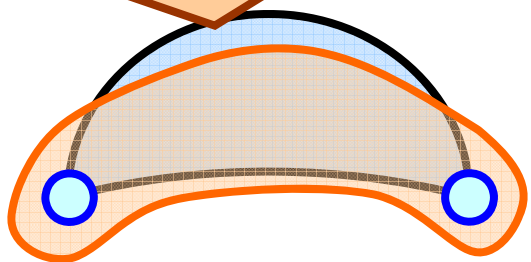
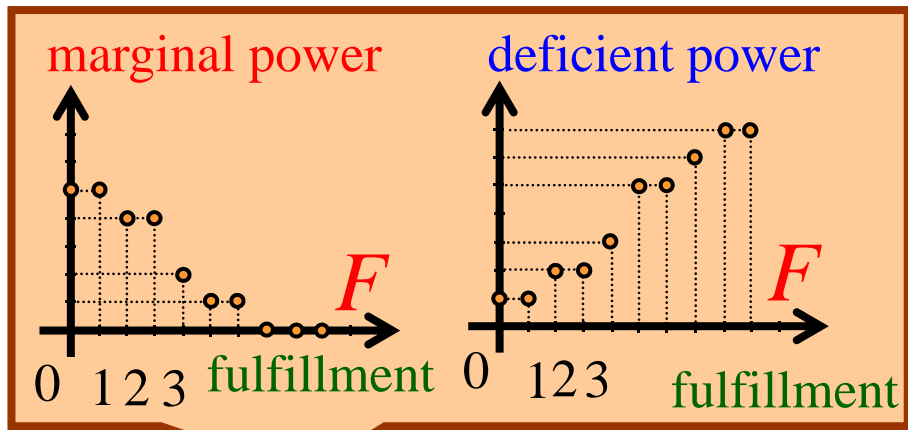
deficient power

staircase, non-decreasing

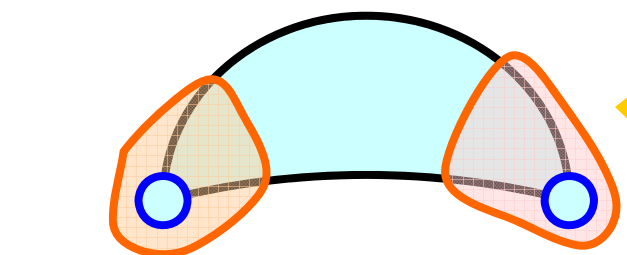
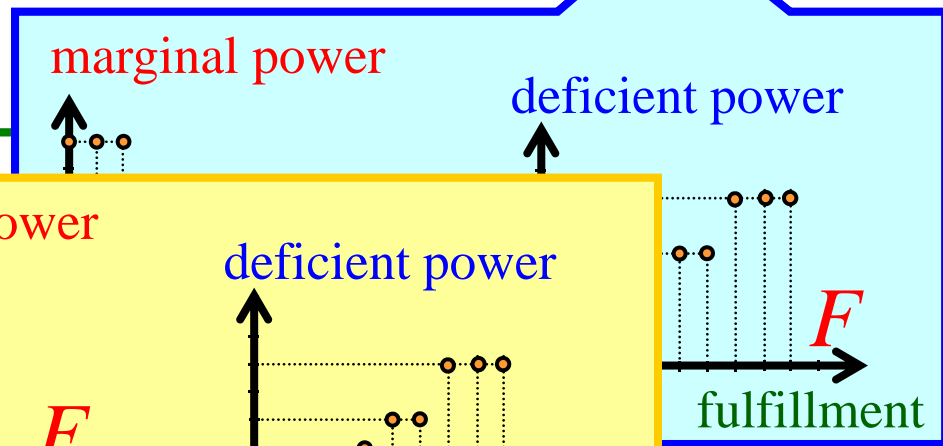
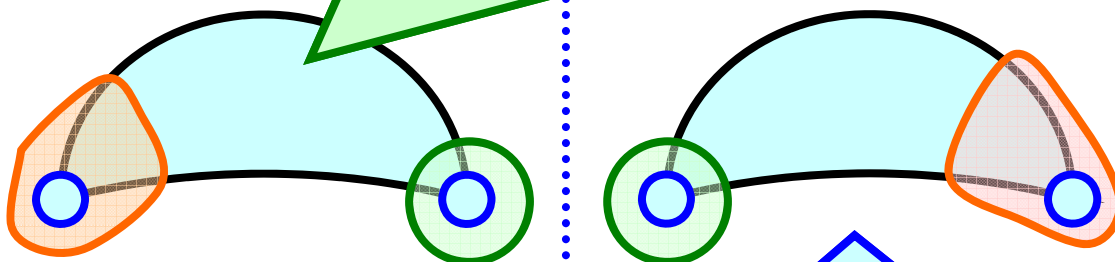
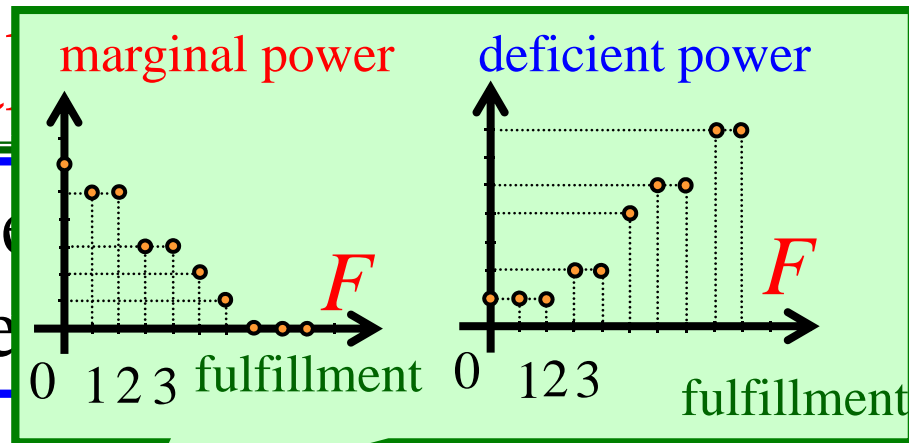
deficient power





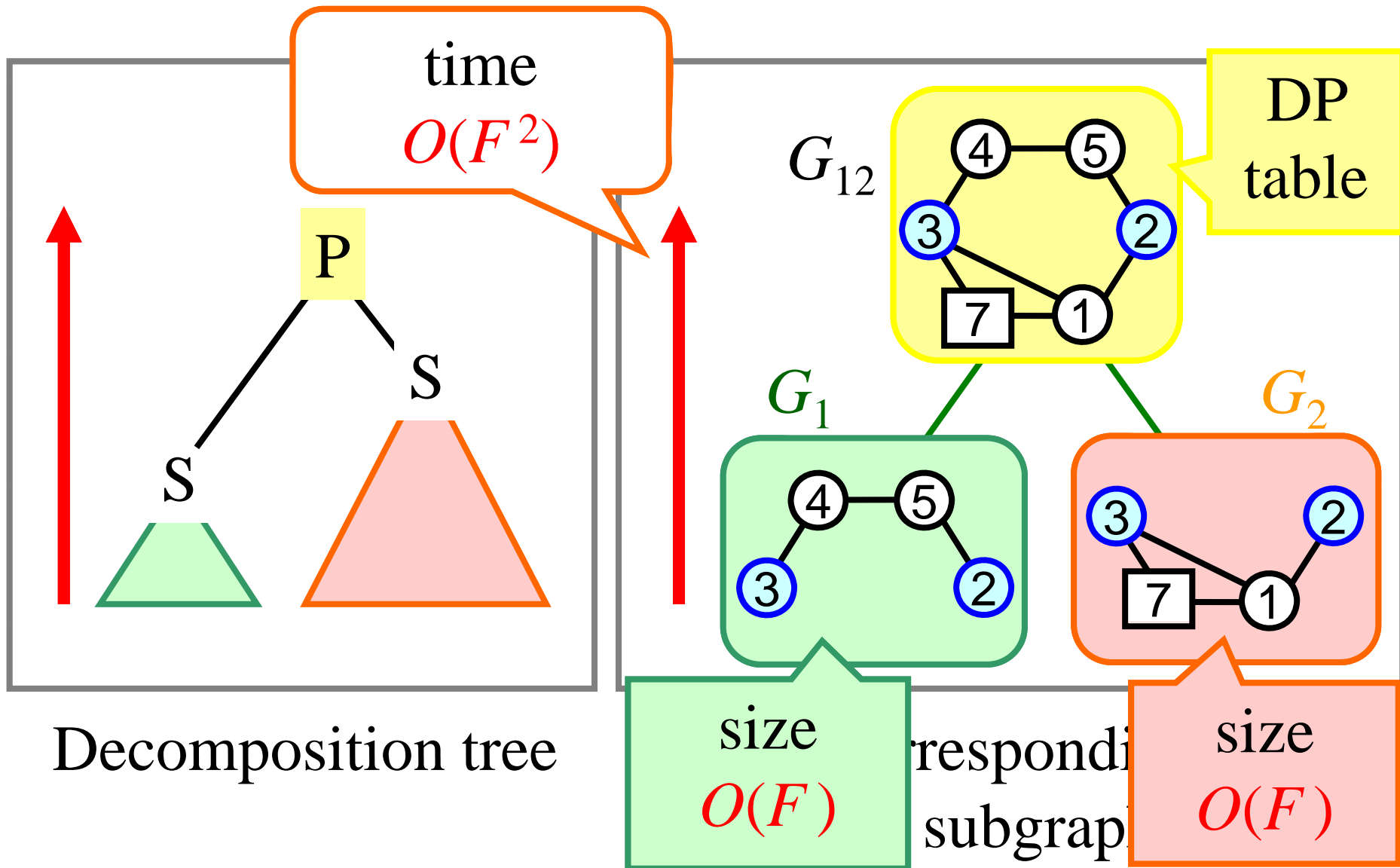


same component

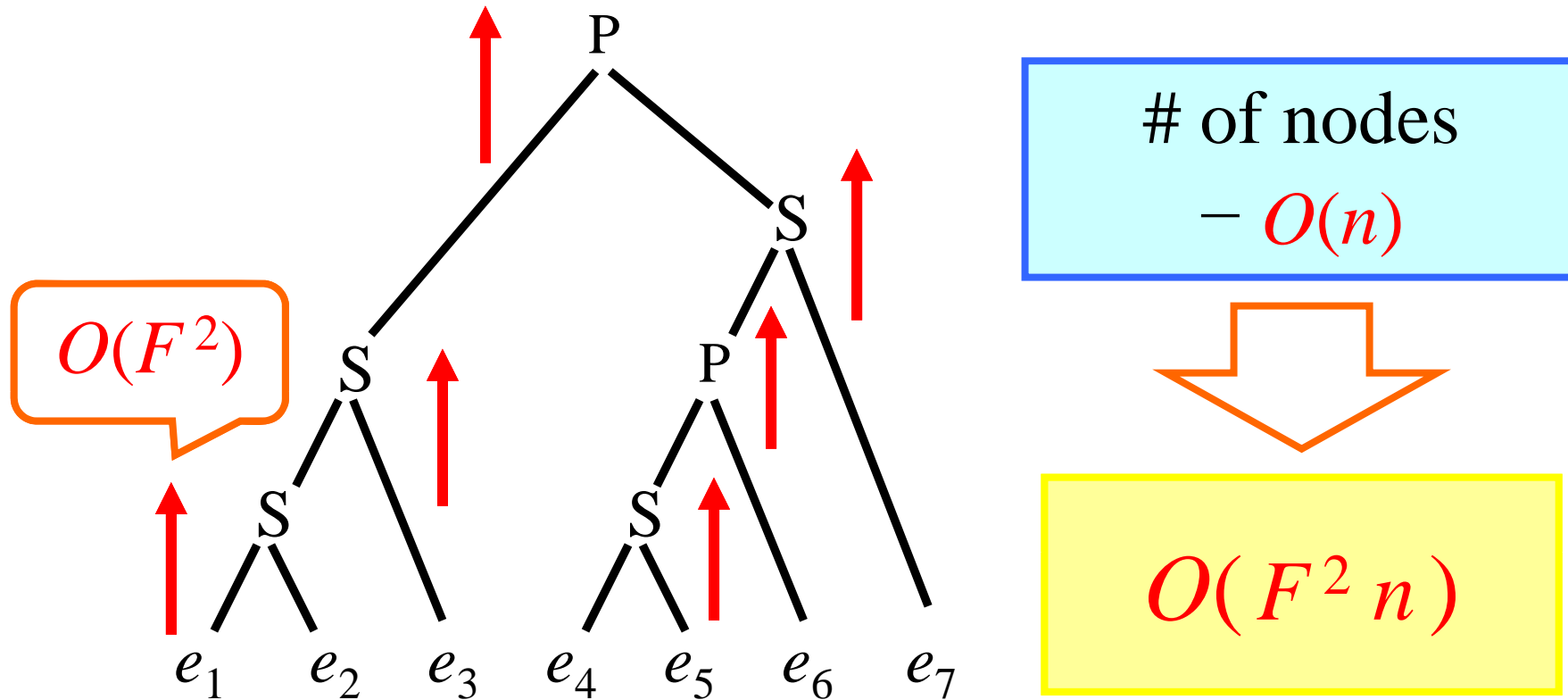


different component

# Pseudo-Polynomial-Time Algorithm



# Pseudo-Polynomial-Time Algorithm



Decomposition tree

$n$  : # of vertices in a given SP graph

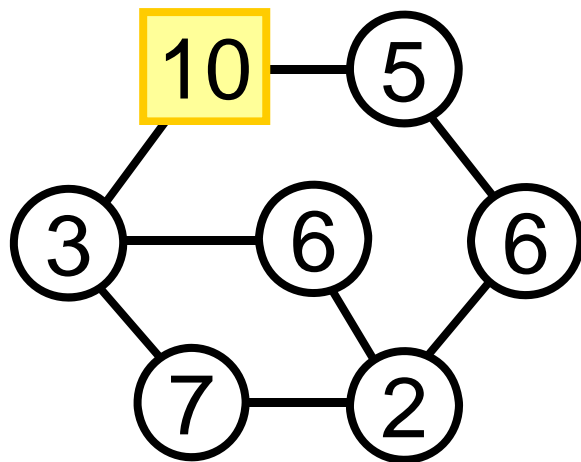
# Pseudo-Polynomial-Time Algorithm

Suppose that a SP graph has **exactly one supply**.

Max PP for such a SP graph can be solved in time  $O(F^2n)$  if the demands and the supply are integers.

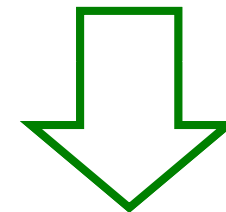
$F$  : sum of all demands

$n$ : # of vertices



max fulfillment  $\leq F$

pseudo-polynomial-time algorithm



(2) FPTAS

## (2) FPTAS

---

Let all demands and supply be **positive real numbers**.

For any  $\varepsilon$ ,  $0 < \varepsilon < 1$ , the algorithm finds a **component with the supply vertex** such that

$$\text{APPRO} > (1 - \varepsilon) \text{OPT}$$

in time **polynomial in both  $n$  and  $1/\varepsilon$** .

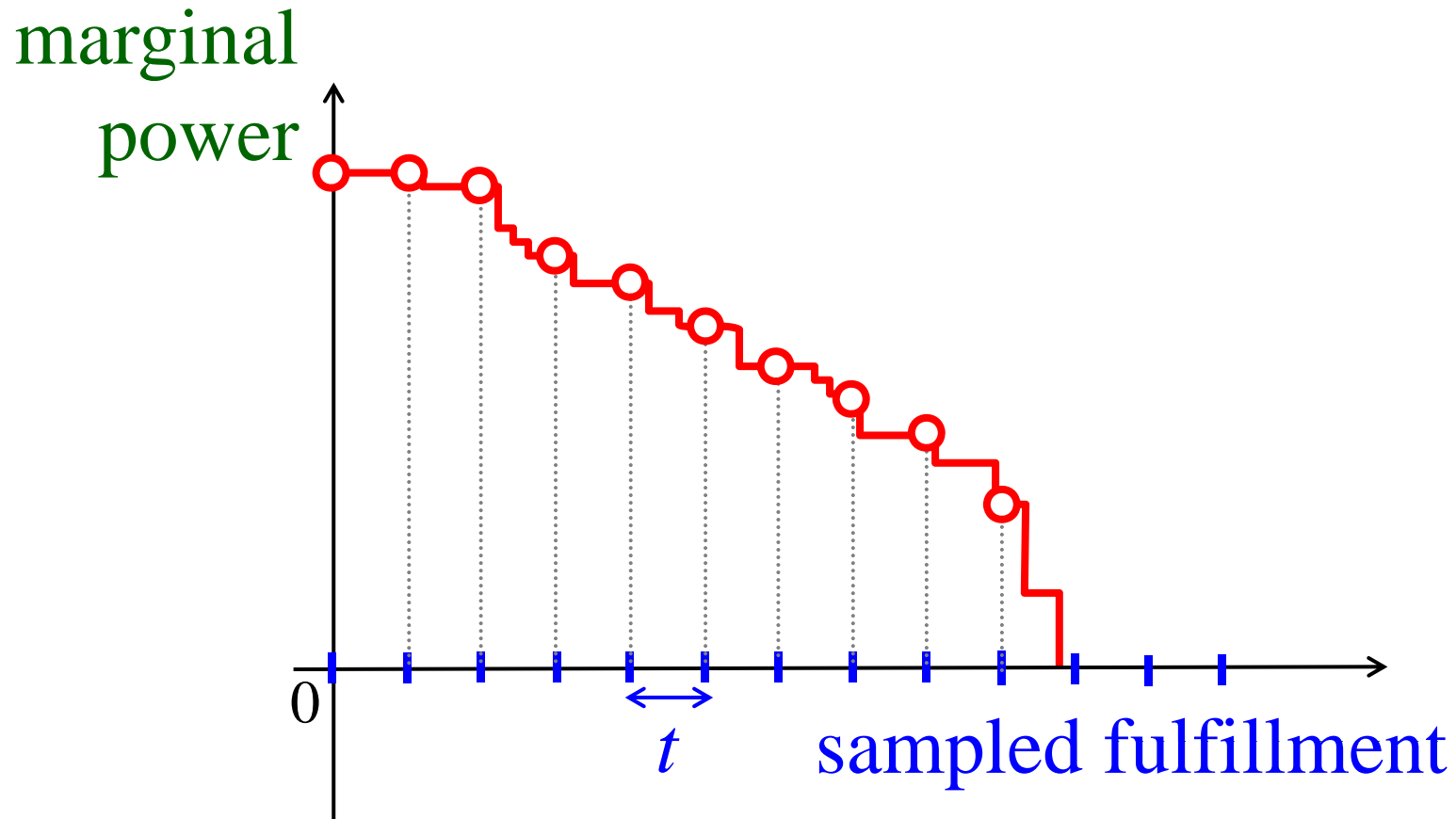
$$O\left(\frac{n^5}{\varepsilon^2}\right)$$

$n$  : # of vertices

## (2) FPTAS

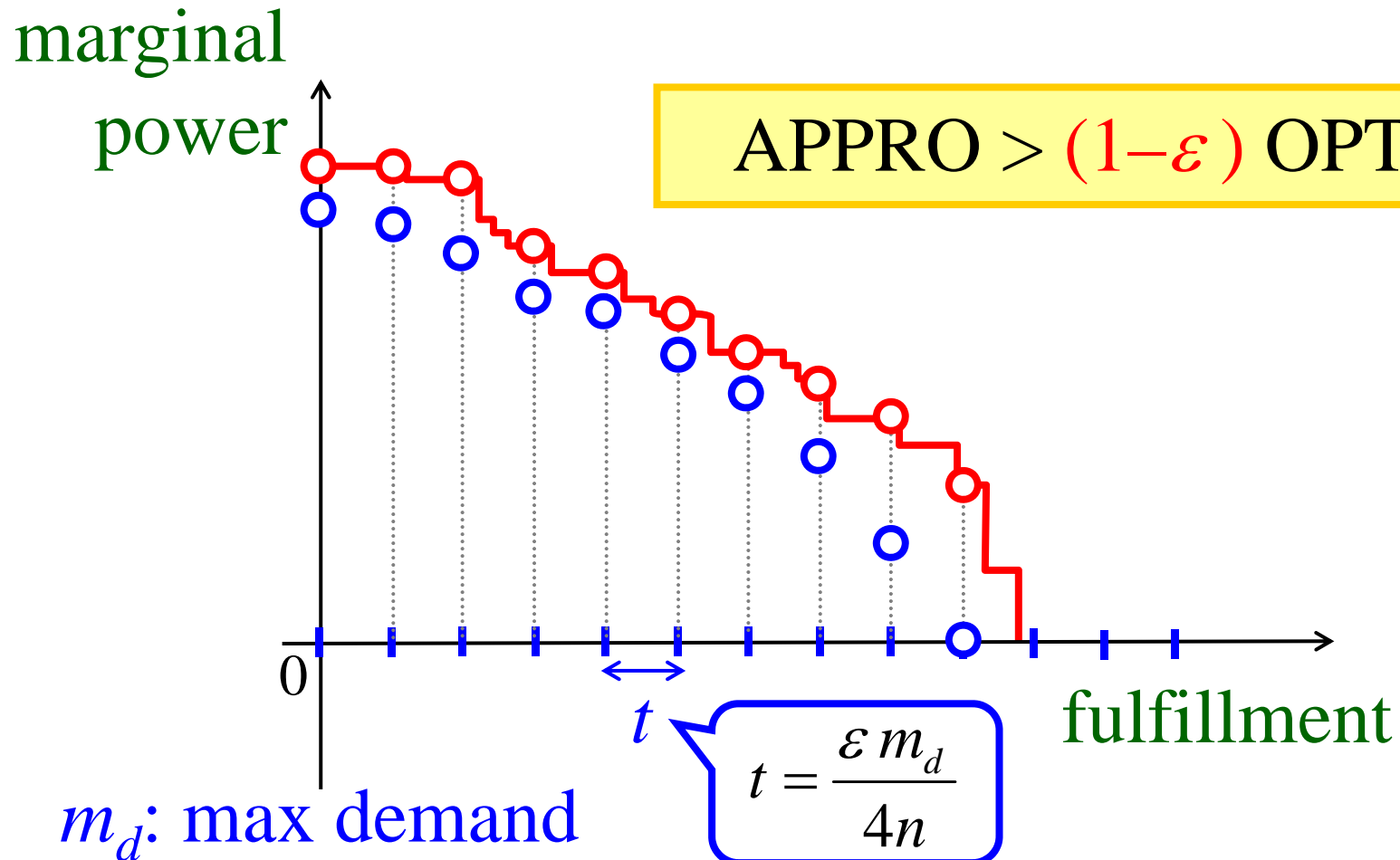
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The algorithm is similar to the previous algorithm.



## (2) FPTAS

The algorithm is similar to the previous algorithm.





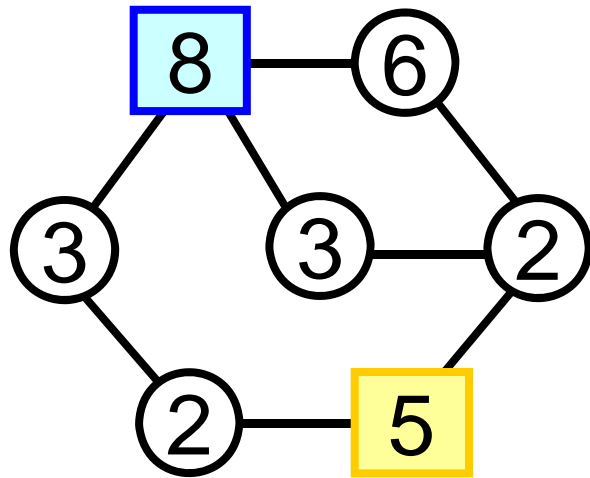


# Open Problem

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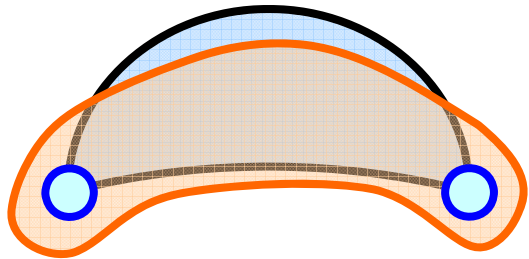
Is there an **approximation algorithm** for SP graphs having **more than one supply**?

- FPTAS or PTAS ?
- constant-factor ?



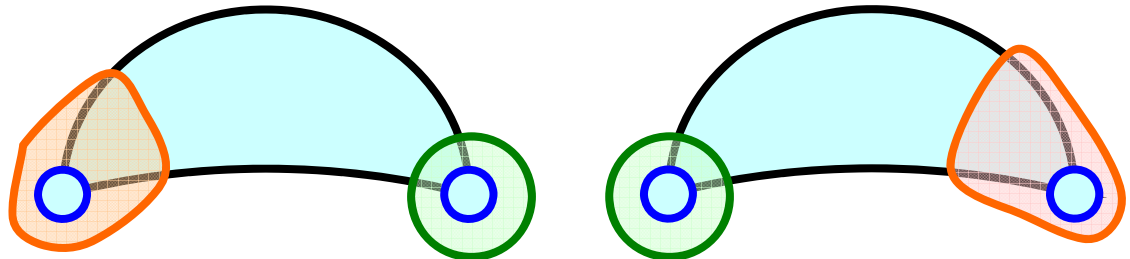
# Open Problem

both are/will be supplied power

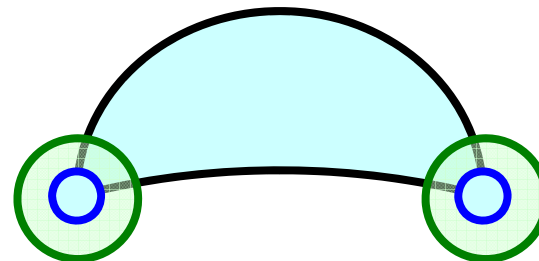


same component

one is/will be supplied, but the other is never supplied



both are never supplied



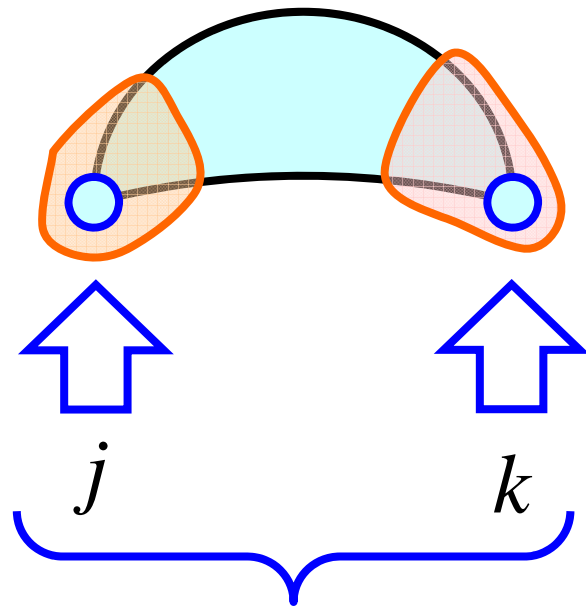
different components

# Open Problem

both are/will be supplied power

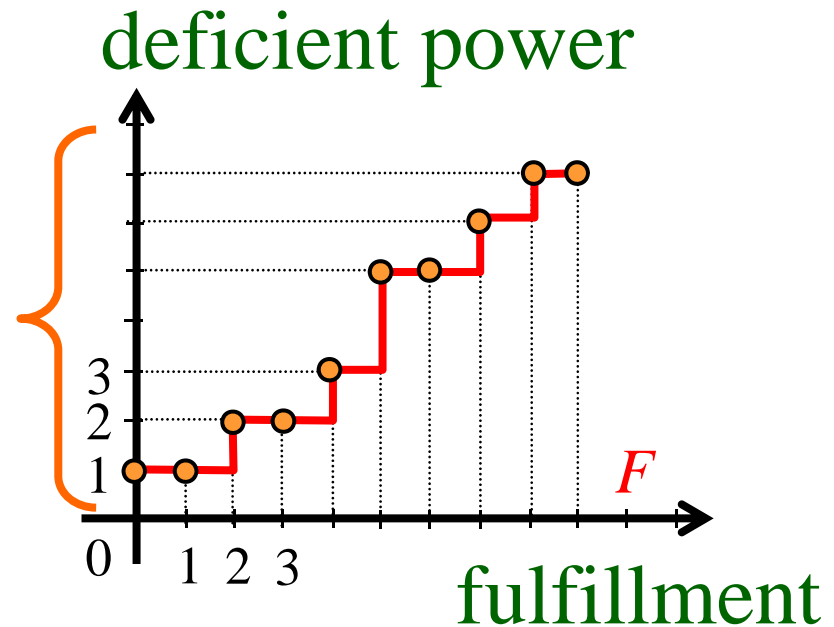
different components

The two components must become **ONE** component.



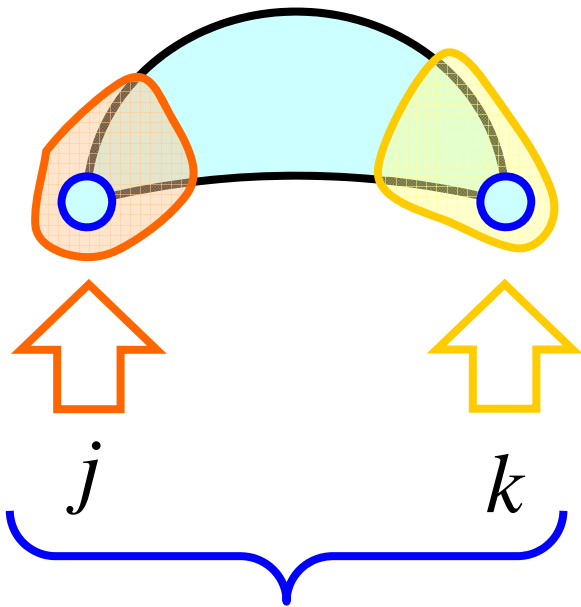
deficient power  
 $= j + k$

$j + k$   
(1D)

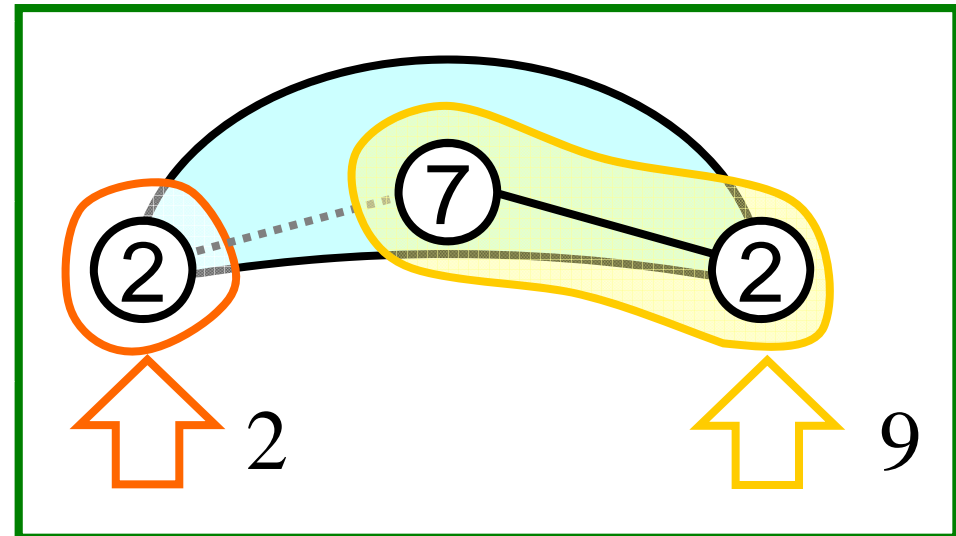
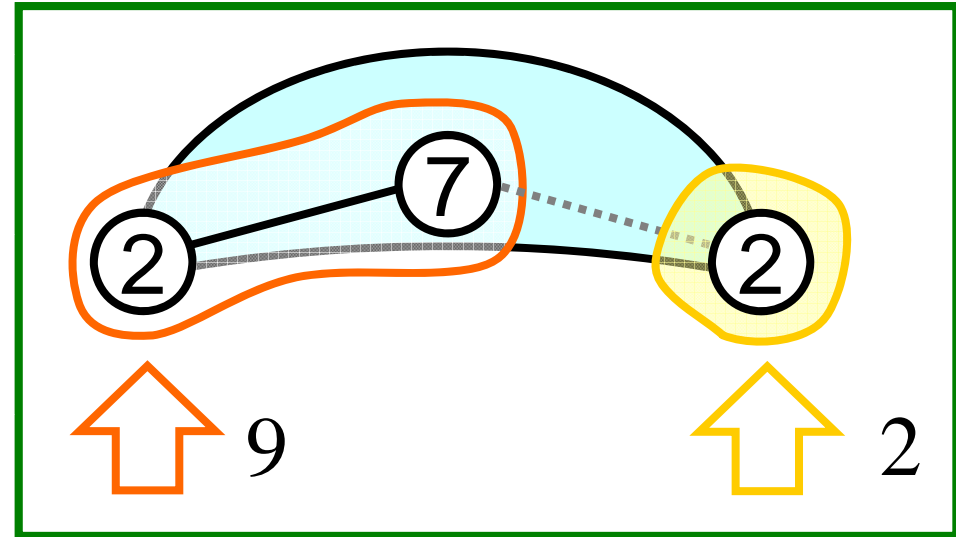


# Open Problem

If a given graph has **more than one supply**.



~~Efficient power  
 $= j + k$~~





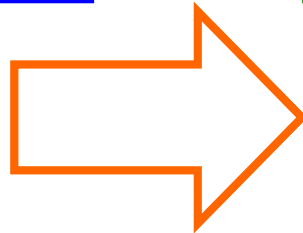
# Our Results

Max subset sum problem

Max PP

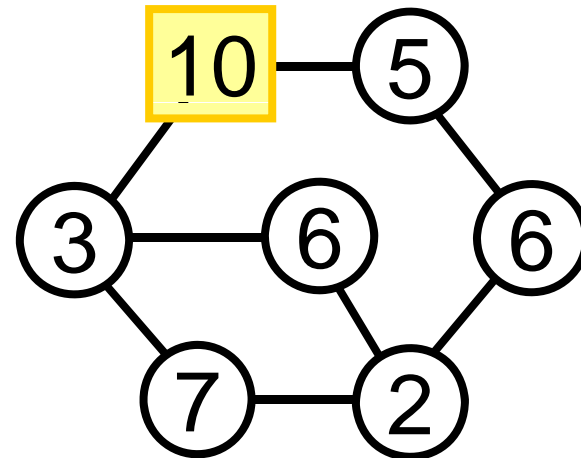
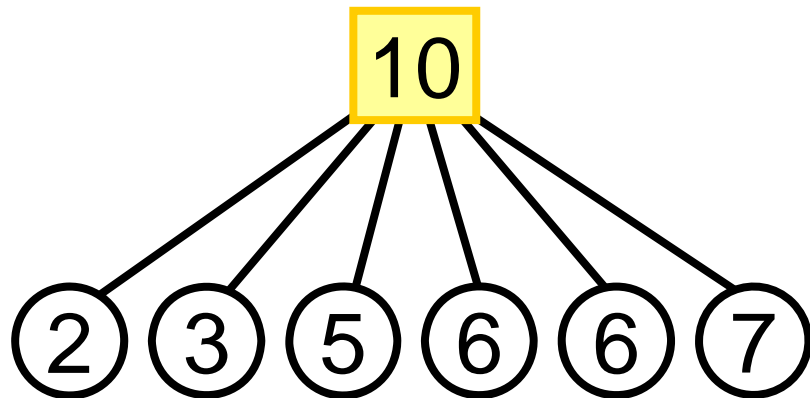
FPTAS

[Ibarra and Kim, 1975]



our FPTAS

straightforward ?



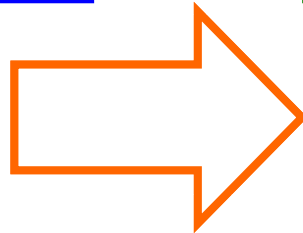
# Our Results

Max subset sum problem

Max PP

FPTAS

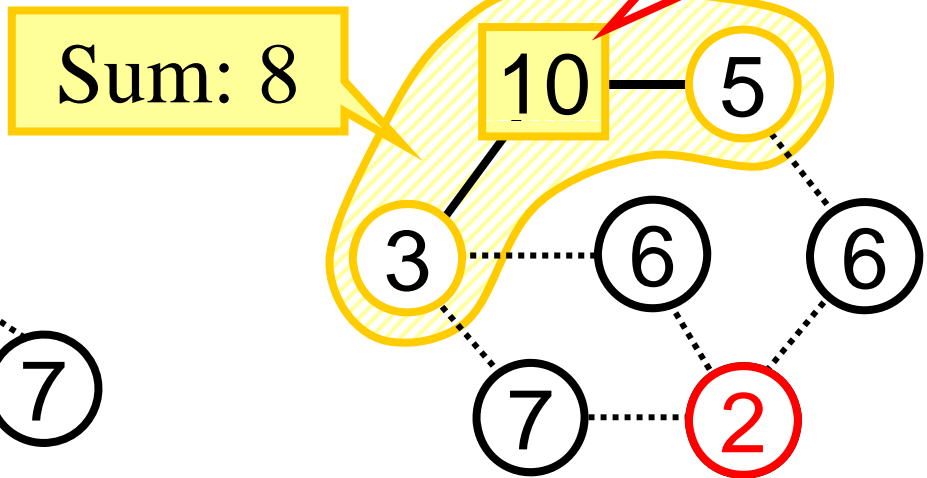
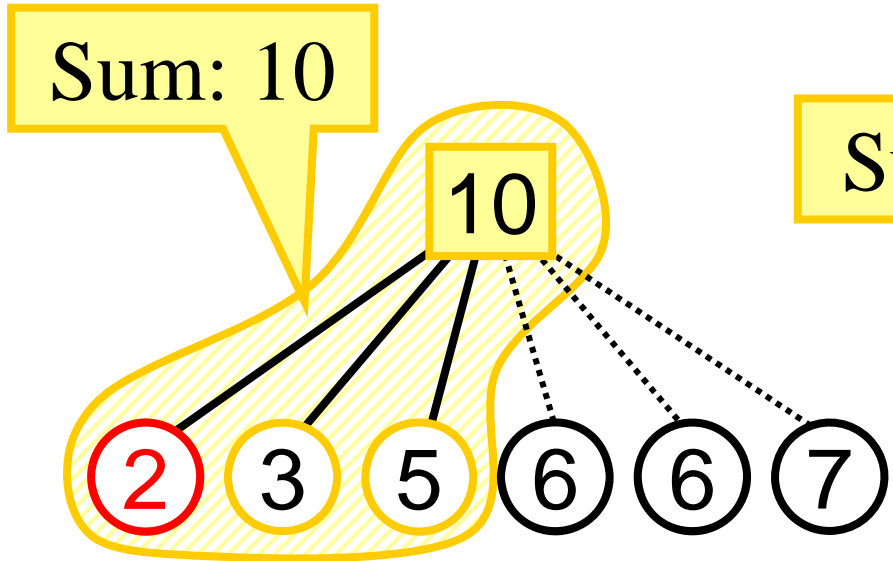
[Ibarra and Kim, 1975]



our FPTAS

straightforward ?

margin: 2



The graph structure plays crucial role.

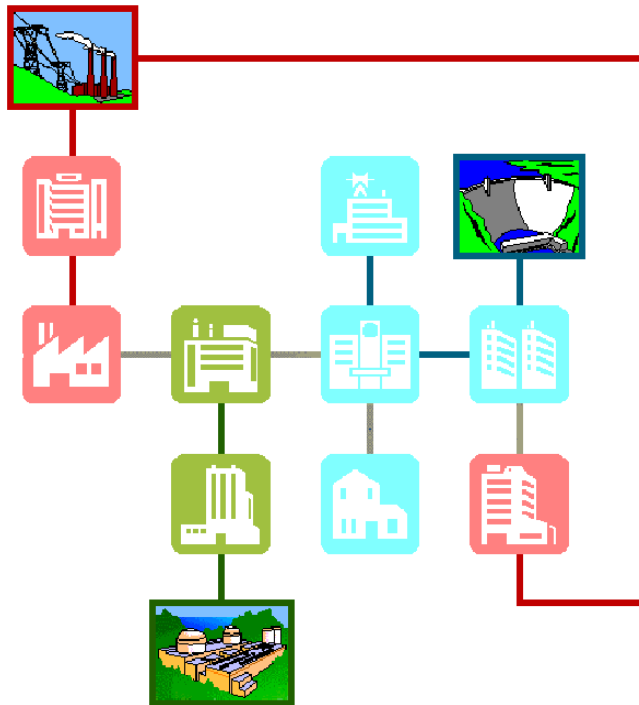




# Assumption of the Problem

Every demand vertex must be supplied from **exactly one supply vertex**.

➔ Max PP is applied to **Power delivery networks**.



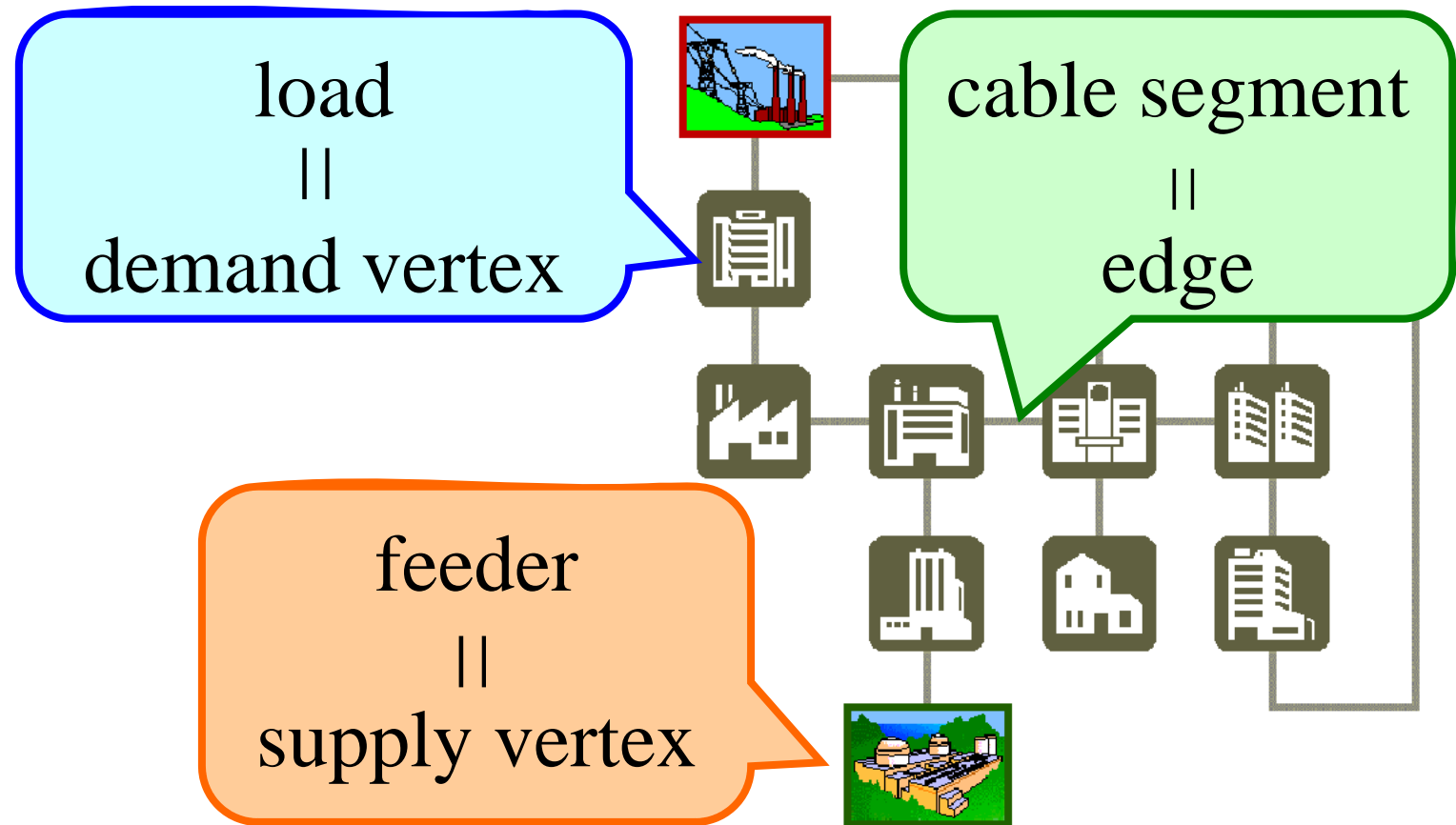
It is difficult to synchronize two or more sources.





# Application of Max PP

## Power delivery problem



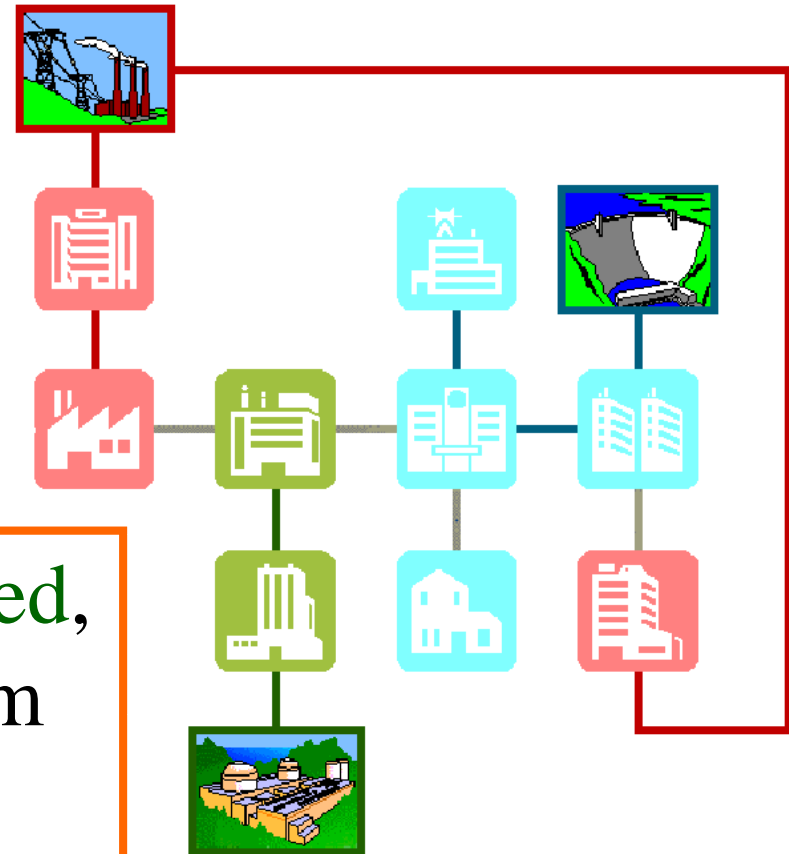
Power delivery network

# Application of Max PP

## Power delivery problem

Determine whether there exists a switching so that all loads can be supplied.

If not all loads can be supplied, we want to maximize the sum of loads supplied power.



➔ Max Partition Problem Power delivery network

